

# Lesson 05-04: TCP Congestion Control

CS 356 Computer Networks

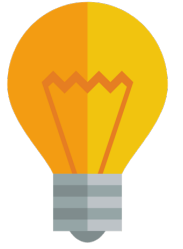
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## Example Protocols

## Responsible for

## Internet Reference Model



FTP, HTTP, SMTP

Application

application specific needs

TCP, UDP

Transport

process to process data transfer

IP

Network

host to host data transfer across different network

Ethernet, WiFi

Link

data transfer between physically adjacent nodes

802.3 PHY

Physical

bit-by-bit or symbol-by-symbol delivery

# Outline

## I. Approaches to Congestion Control

# Congestion control has 2 approaches

- **First, solely based on sender's detection**
  - Loss-based: Increase sending rate until a loss (timeout) and then cut back
  - Delay-based: Do the same until RTT reaches  $RTT_{congested}$
- **Second, network assisted approach**
  - Sender, network core (routers), and the receiver all participates

# Let's first look at the loss-based approach!

- AIMD
- TCP CUBIC

# Outline

1. Approaches to Congestion Control

 2. TCP CC Basic Principle:AIMD

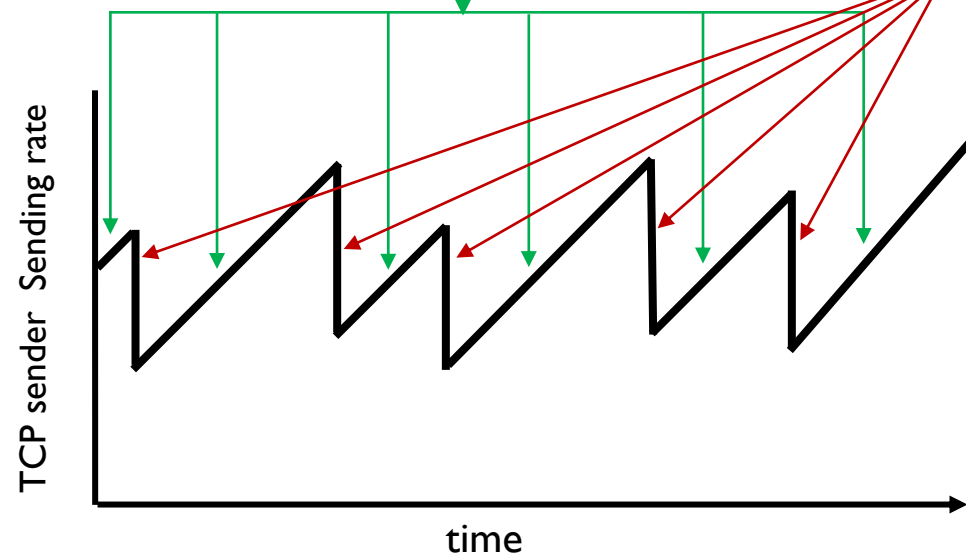
# AIMD: sender increases sending rate until packet loss then decrease sending rate on loss

## Additive Increase

increase sending rate by 1 MSS every RTT until loss detected

## Multiplicative Decrease

cut sending rate in half at each loss event



**AIMD** sawtooth behavior: **probing** for bandwidth

# Why AIMD?

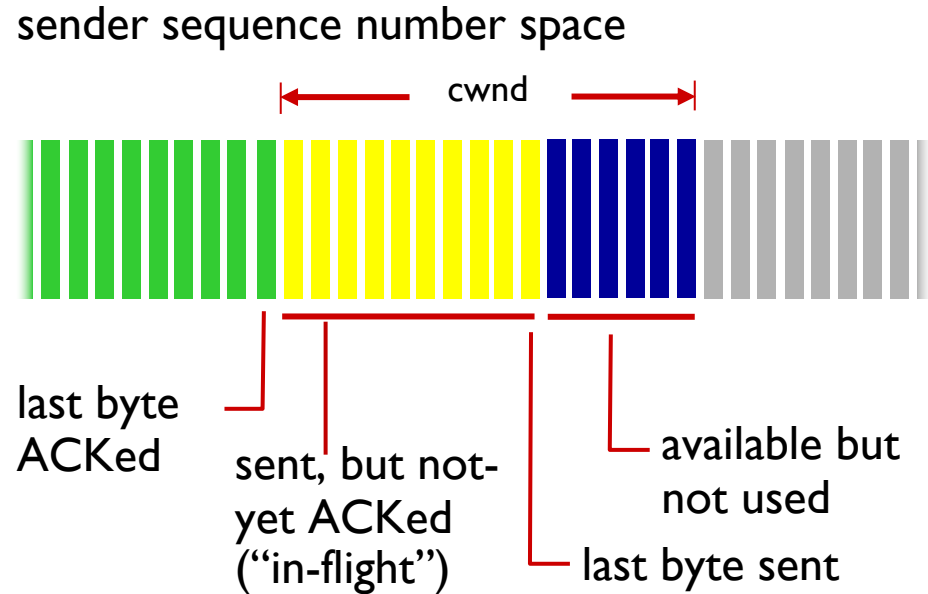
- AIMD has been shown to:
  - optimize congested flow rates network wide!
  - have desirable stability properties
  - Does not need coordination among other TCP senders



# AIMD is implemented by 2 variables

- **Congestion window (cwnd)**
  - Max bytes TCP sender can send out
  - Additive increase when no loss
- **Slow Start Threshold (ss threshold)**
  - Upon loss ss threshold is set to half of cwnd
  - Helps with multiplicative decrease

# Congestion Window: TCP sending rate is limited by `cwnd`




$$\text{TCP sending rate} \approx \frac{\text{cwnd}}{\text{RTT}} \text{ bytes/sec}$$

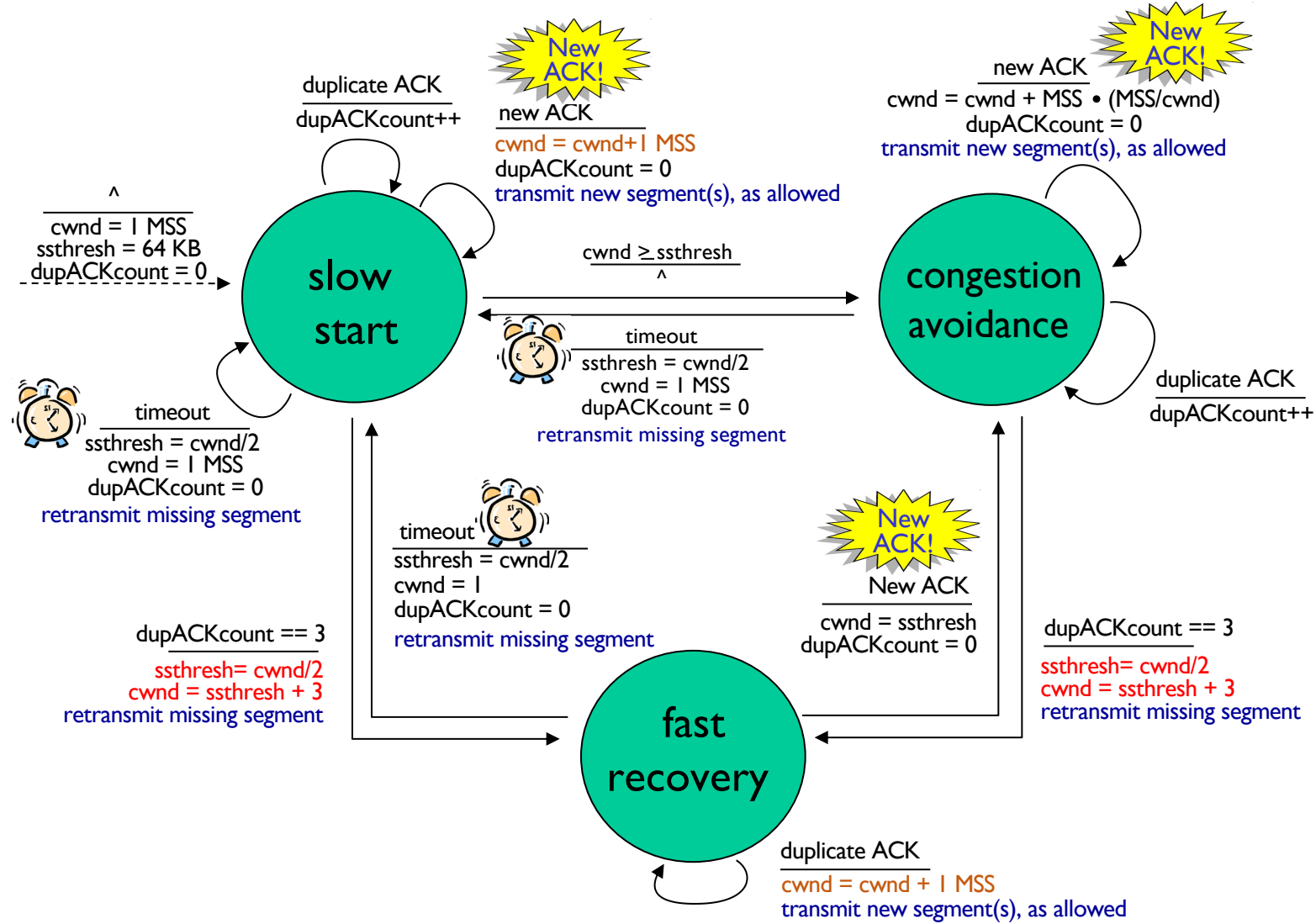
$$\text{LastByteSent} - \text{LastByteAked} \leq \text{cwnd}$$

**cwnd is dynamically adjusted in response to observed congestion**

# Outline

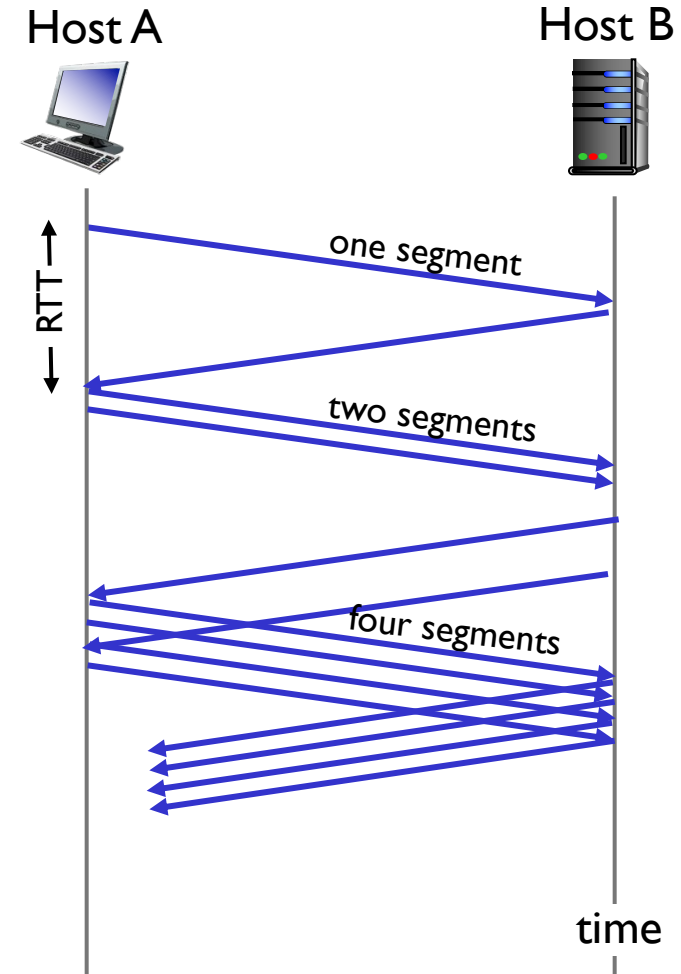
1. Approaches to Congestion Control
2. TCP's AIMD
-  3. 3 States in TCP Congestion Control

# 3 states of TCP Congestion Control



# TCP slow start is not that slow

- when connection begins, increase rate exponentially until first loss event:
  - initially  $\text{cwnd} = 1 \text{ MSS}$
  - double  $\text{cwnd}$  every RTT
  - done by incrementing  $\text{cwnd}$  for every ACK received



Initial rate is slow but ramps up exponentially fast!

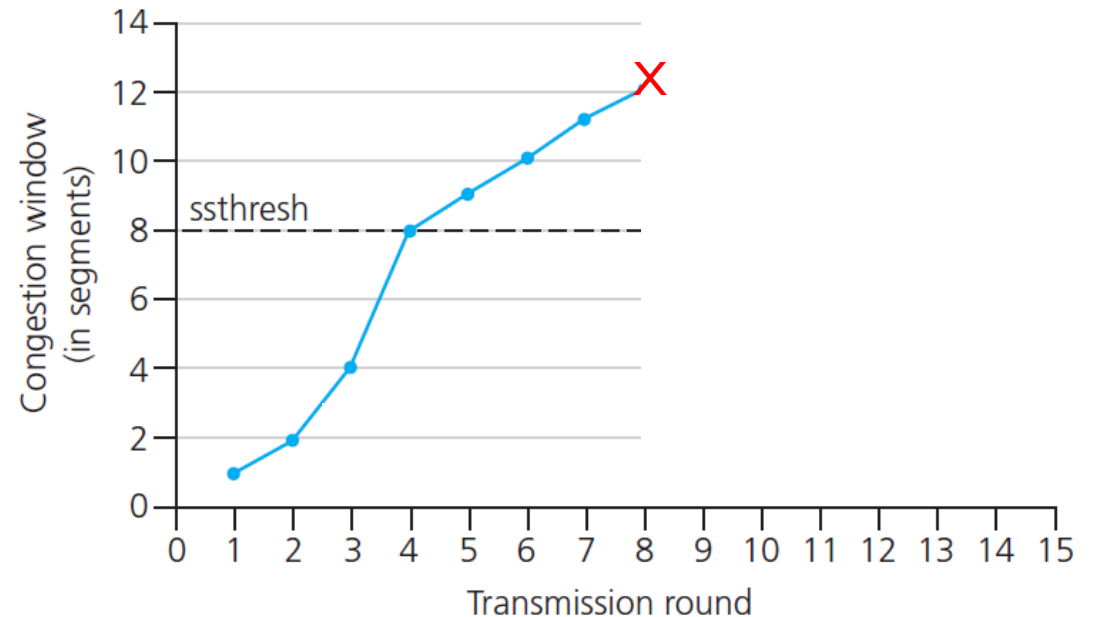
# TCP: from slow start to congestion avoidance

Q: when should the exponential increase switch to linear?

A: when cwnd gets to 1/2 of its value before timeout.

## Implementation:

- variable ssthresh
- on loss event, ssthresh is set to 1/2 of cwnd just before loss event



cwnd < ssthresh implies we are in slow start state

# TCP Reno vs TCP Tahoe

- Reno: Cut to roughly half on loss detected by triple duplicate ACK
- Tahoe: Cut to 1 MSS when loss detected (either t-d-ACK or timeout)

Reno implements all 3 states  
whereas Tahoe only has 2 states (no fast recovery state)

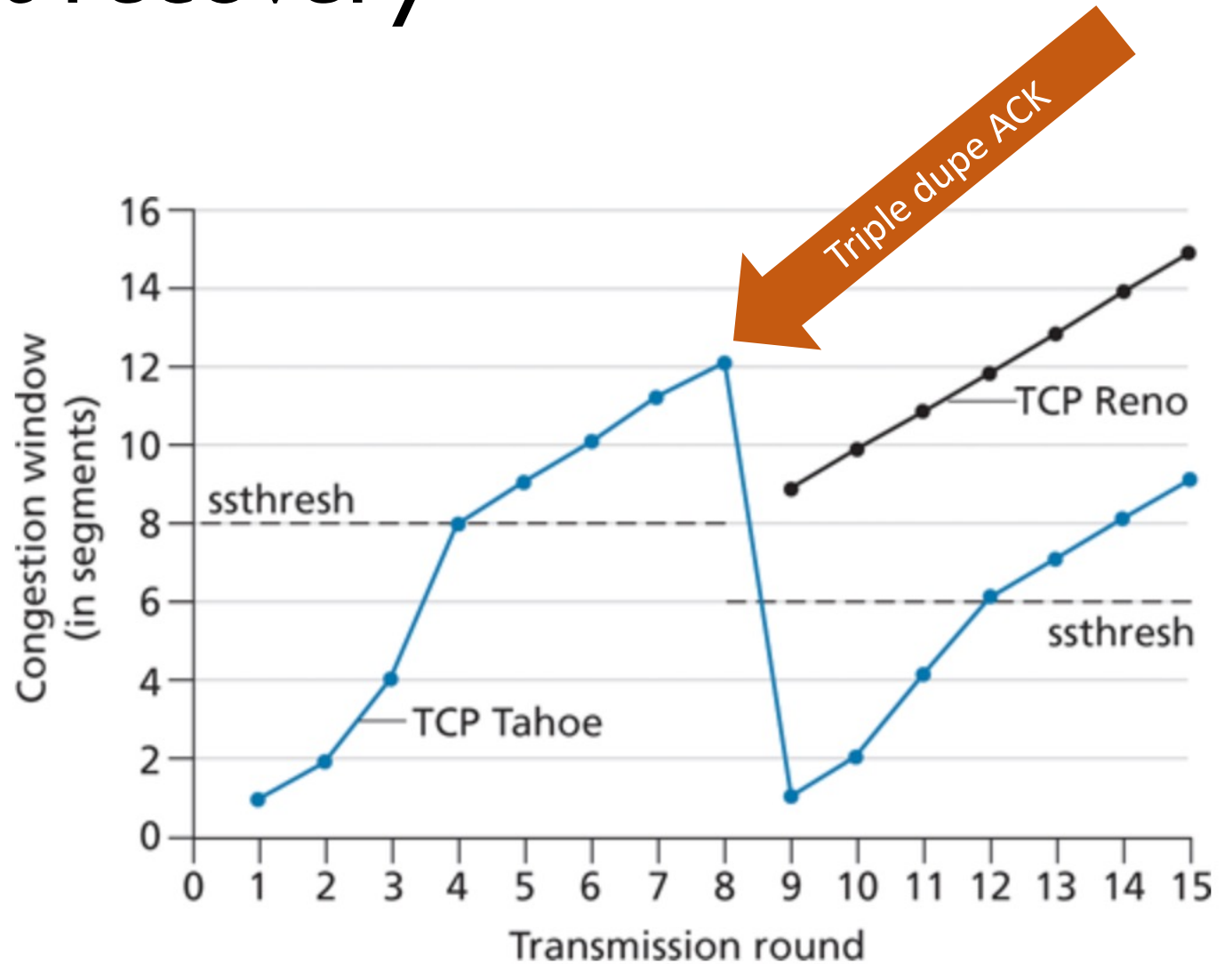
# Tahoe vs Reno's fast recovery

## Tahoe

- $ssthresh = cwnd/2$
- $cwnd = 1 \text{ MSS}$

## Reno

- $ssthresh = cwnd/2$
- $cwnd = ssthresh + 3MSS$





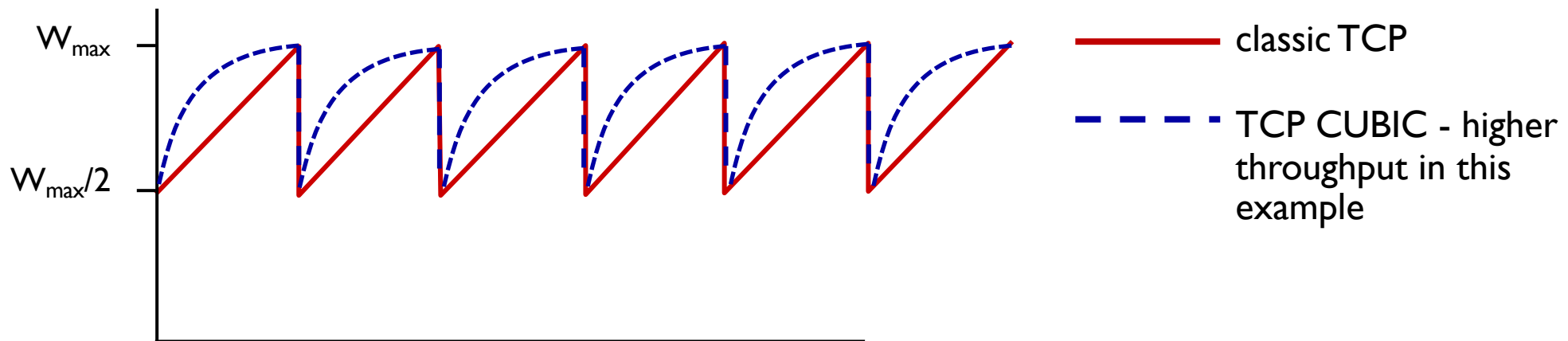
# Outline

1. Approaches to Congestion Control
2. 3 States in TCP Congestion Control
3. TCP's AIMD
-  4. **TCP CUBIC**

Is there a better way  
to “probe” available bandwidth?

# TCP CUBIC: more aggressive initially but more cautious later with higher probability of loss

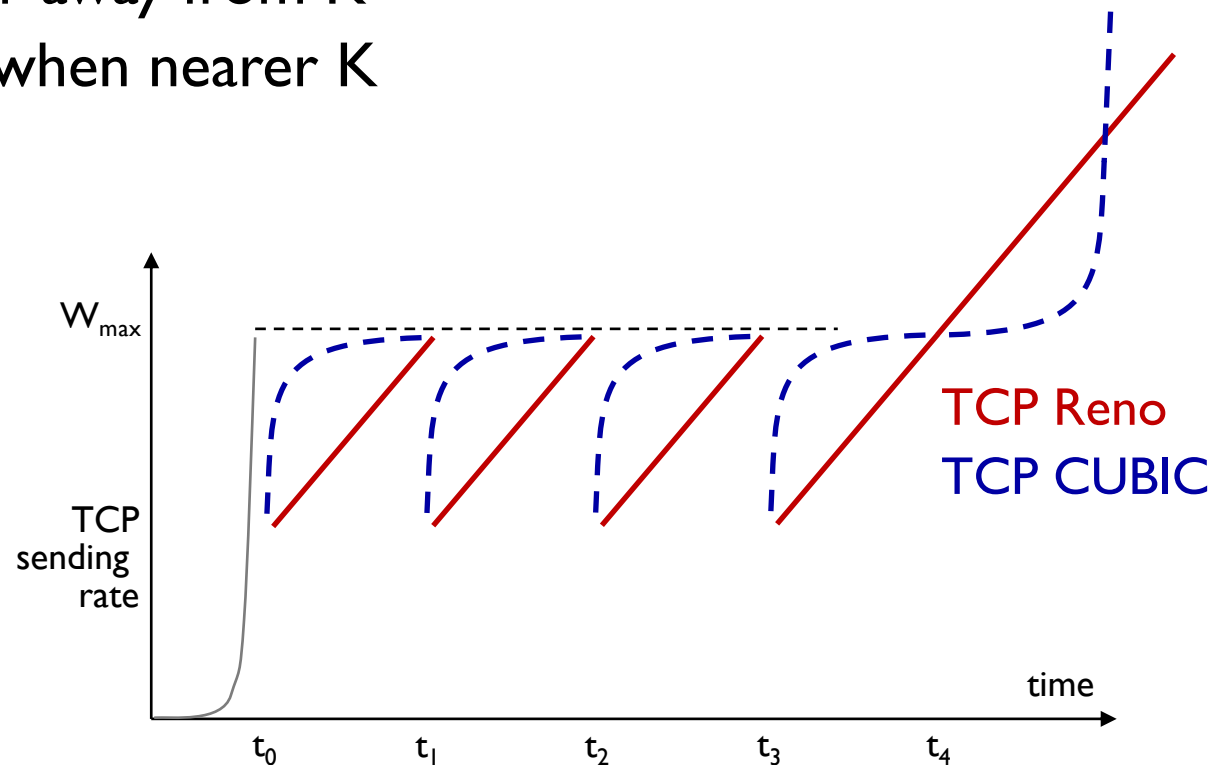
- Insight/intuition:
  - $W_{\max}$ : sending rate at which congestion loss was detected
  - congestion state of bottleneck link probably (?) hasn't changed much
  - after cutting rate/window in half on loss, initially ramp to to  $W_{\max}$  **faster**, but then approach  $W_{\max}$  more **slowly**



# TCP CUBIC has higher throughput than Reno

- Tune-able  $K$ : point in time when TCP window size will reach  $W_{\max}$
- increase  $W$  as a function of the **cube** of  $|K - \text{current time}|$ 
  - larger increases when further away from  $K$
  - smaller increases (cautious) when nearer  $K$

CUBIC is default in Linux,  
widely used among popular  
Web servers

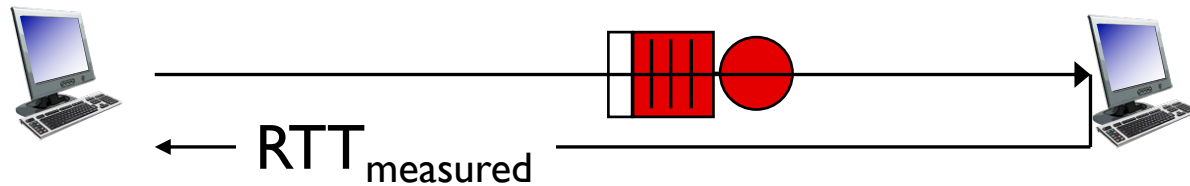


# Outline

1. Approaches to Congestion Control
2. 3 States in TCP Congestion Control
3. TCP's AIMD
4. TCP CUBIC
-  5. Delay-based CC

# Delay-based TCP CC monitors throughput

Keeping the pipe “just full enough, but no fuller”




$$\text{Throughput}_{\text{measured}} = \frac{\text{\# bytes sent in last RTT interval}}{\text{RTT}_{\text{measured}}}$$

- $\text{RTT}_{\text{min}}$  - minimum observed RTT
- uncongested throughput -  $\text{cwnd}/\text{RTT}_{\text{min}}$

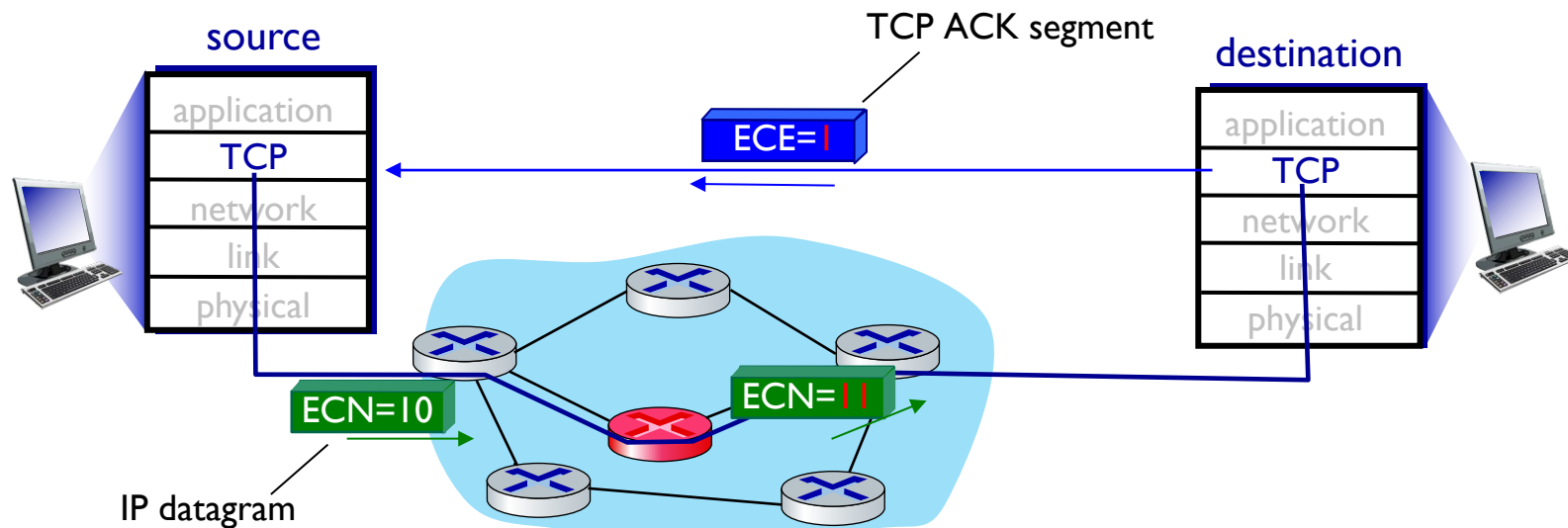
if  $\text{Throughput}_{\text{measured}}$  “very close” to  $\text{cwnd}/\text{RTT}_{\text{min}}$  //not congested  
increase cwnd linearly  
else if  $\text{Throughput}_{\text{measured}}$  “far below”  $\text{cwnd}/\text{RTT}_{\text{min}}$  //congested  
decrease cwnd linearly

# Outline

1. Approaches to Congestion Control
2. 3 States in TCP Congestion Control
3. TCP's AIMD
4. TCP CUBIC
5. Delay-based CC
-  6. Network assisted CC

# Network-assisted approach: Explicit congestion notification (ECN)

- two bits in IP header (ToS field) marked **by network router** to indicate congestion
  - **policy to determine marking chosen by network operator**
- congestion indication carried to destination
- destination sets ECE bit on ACK segment to notify sender of congestion
- involves both IP (IP header ECN bit marking) and TCP (TCP header C,E bit marking)

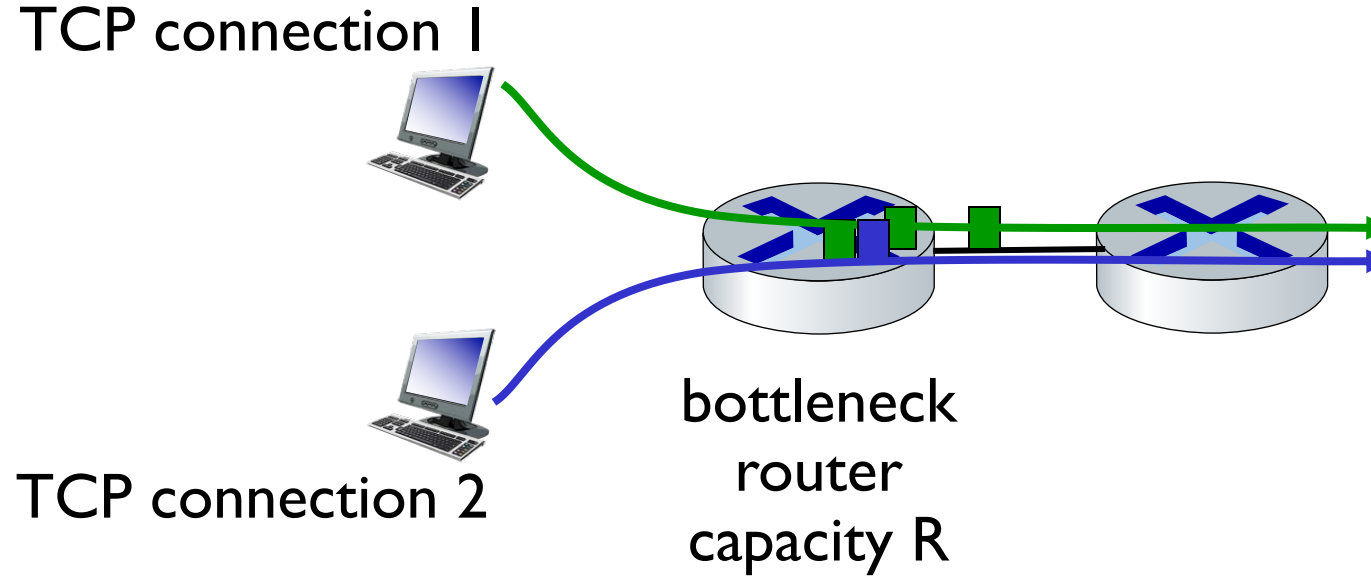




# Backup slides

# TCP fairness

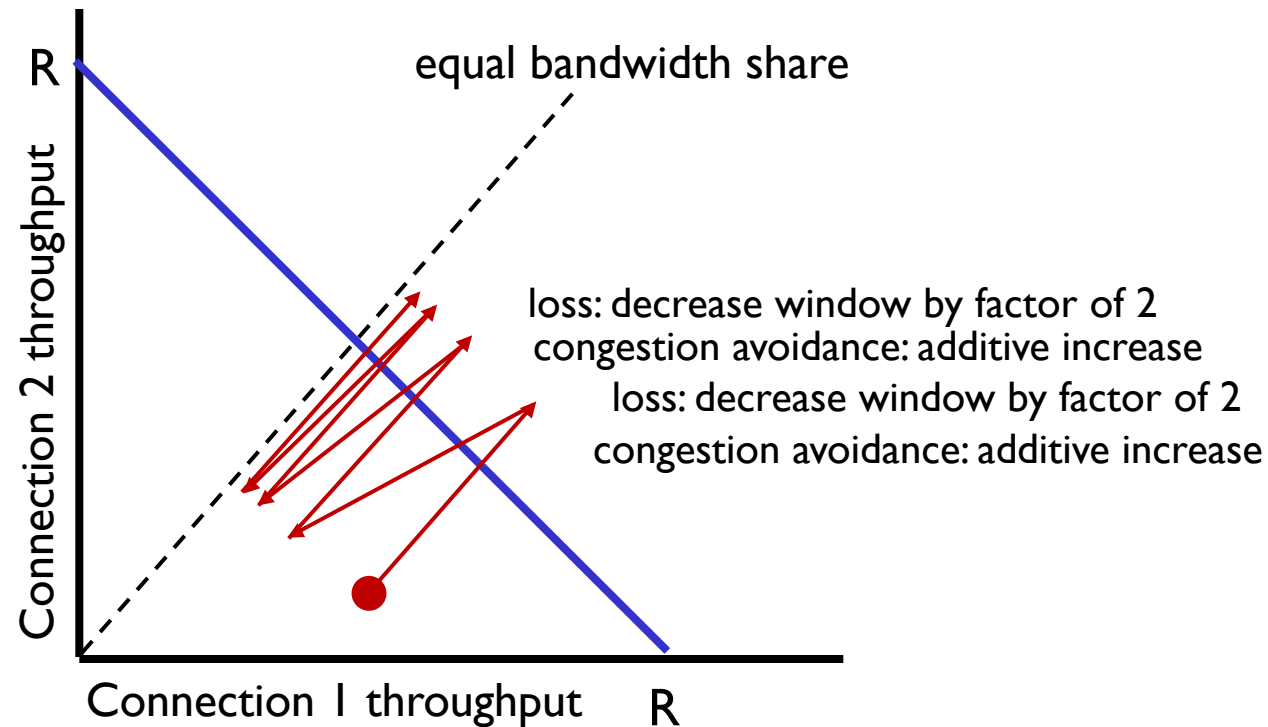
**Fairness goal:** if  $K$  TCP sessions share same bottleneck link of bandwidth  $R$ , each should have average rate of  $R/K$



# Q: is TCP Fair?

Example: two competing TCP sessions:

- additive increase gives slope of 1, as throughput increases
- multiplicative decrease decreases throughput proportionally



Is TCP fair?

A: Yes, under idealized assumptions:

- same RTT
- fixed number of sessions only in congestion avoidance

# Fairness: must all network apps be “fair”?

## Fairness and UDP

- multimedia apps often do not use TCP
  - do not want rate throttled by congestion control
- instead use UDP:
  - send audio/video at constant rate, tolerate packet loss
- there is no “Internet police” policing use of congestion control

## Fairness, parallel TCP connections

- application can open multiple parallel connections between two hosts
- web browsers do this , e.g., link of rate  $R$  with 9 existing connections:
  - new app asks for 1 TCP, gets rate  $R/10$
  - new app asks for 11 TCPs, gets  $R/2$

# Acknowledgements

Slides are adopted from Kurose' Computer Networking Slides