CRASH COURSE ON COMPUTER ARCHITECTURE

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Topics covered in lecture

- **Instruction level parallelism (ILP)**
  - Pipelined execution
  - Superscalar
  - Out-of-order execution
  - Limit on ILP: data and control dependences between instructions

- **Out-of-order execution implementation**
  - Out of order execution, in-order completion (commit)
  - RAW dependences: forwarding
  - WAR and WAW dependences: register renaming
  - Control dependences: branch prediction and speculative execution
Texbooks and References

- Try to hit the tip of the iceberg
- Explain main concepts only
- Not enough to develop your own microprocessor...
- But allow better understand behavior and performance of your program
- Hennesy, Patterson, Computer Architecture: Quantative Approach, 6th Ed.
- Blaauw, Brooks, Computer Architecture: Concepts and Evolution
Layers of Abstraction

- Application
- Algorithms
- Programming Languages
- Operating Systems/Libraries
- Instruction Set Architecture
- Microarchitecture
- Gates/Register-Transfer Level (RTL)
- Circuits
- Physics

Interface between HW and SW

Software
Basic CPU Actions

1. Fetch instruction by PC from memory
2. Decode it and read its operands from registers
3. Execute calculations
4. Read/write memory
5. Write the result into registers and update PC
Non-Pipelined Processing

- Instructions are processed sequentially, one per cycle
- How to speed-up?
  - SW: decrease number of instructions
  - HW: decrease the time to process one instruction or overlap their processing. i.e. make pipeline
Pipeline

- Processing is split into several steps called “stages”
- Each stage takes one cycle
- The clock cycle is determined by the longest stage
- Instructions are overlapped
  - A new instruction occupies a stage as soon as the previous one leaves it
Pipeline vs Non-Pipeline
Pipeline vs Non-Pipeline

- Pipeline improves throughput, not latency
- Effective time to process instruction is one clock
  - Clock length is defined by the longest stage
Pipeline Limitations

- Max speed of the pipeline is one instruction per clock
- It is rare due to dependencies among instructions (data or control) and in-order processing
Recap from basic architecture course: Data Dependences

• A statement/instruction $S_2$ is said to be data dependent on statement/instruction $S_1$ if
  – $S_1$ executes before $S_2$ in the original program
  – $S_1$ and $S_2$ access the same data item
  – At least one of the accesses is a write.
Data Dependence

Flow dependence (RAW, True dependence)

S1: \( X = A + B \)
S2: \( C = X + A \)

Anti dependence (WAR)

S1: \( A = X + B \)
S2: \( X = C + D \)

Output dependence (WAW)

S1: \( X = A + B \)
S2: \( X = C + D \)
Data Dependence

• Dependences indicate an execution order that must be honored.
• Executing statements/instructions in the order of the dependences guarantee correct results.
• Statements/instructions not dependent on each other can be reordered, executed in parallel, or coalesced into a vector operation.
Pipeline Limitations

- Various types of hazards:
  - read after write (RAW), *true/flow dependence*
  - write after read (WAR), *anti-dependence*
  - write after write (WAW), *output dependence*
Superscalar: Wide Pipeline

• Pipeline exploits instruction level parallelism (ILP)
• Can we improve? Execute, instructions in parallel
  • Need to double HW structures
  • Max speedup is 2 instructions per cycle (IPC=2)
  • The real speedup is less due to dependencies and in-order execution
Is Superscalar Good Enough?

• Theoretically can execute multiple instructions in parallel
  • Wide pipeline => more performance

• But...
  • Only independent subsequent instructions can be executed in parallel
  • Whereas subsequent instructions are often dependent
  • So the utilization of the second pipe is often low

• Solution: *out-of-order execution*
  • Execute instructions based on the “data flow” graph, rather than program order
  • Still need to keep the visibility of in-order execution
Data Flow Analysis

Example:

1. \( r_1 \leftarrow r_4 \div r_7 \)
2. \( r_8 \leftarrow r_1 + r_2 \)
3. \( r_5 \leftarrow r_5 + 1 \)
4. \( r_6 \leftarrow r_6 - r_3 \)
5. \( r_4 \leftarrow \text{load} \ [r_5 + r_6] \)
6. \( r_7 \leftarrow r_8 \times r_4 \)

Data Flow Graph

In-order execution

Out-of-order execution
Instruction “Grinder”

- Then technology allowed building wide HW, but the code representation remained sequential
- Decision: extract parallelism back by means of hardware
- Compatibility burden: needs to look like sequential hardware
Why Order is Important?

• Many mechanisms rely on original program order
  
  – Precise exceptions: nothing after instruction caused an exception can be executed
    
    (1) \( r3 \leftarrow r1 + r2 \)
    (2) \( r5 \leftarrow r4 / r3 \)
    (3) \( r2 \leftarrow r7 + r6 \)

  What if they are executed in the following order: (1) \( \rightarrow \) (3) \( \rightarrow \) (2) and then (2) leads to exception?

  – Memory model: inter-thread communication requires that the memory accesses are ordered

    | LD A | ST B |
    |------|------|
    | LD B | ST A |

    Load A returns new data, Load B returns old data = NOT ALLOWED

    | LD A | LD B |
    |------|------|
    | ST B | ST A |

    Both loads return new data = NOT ALLOWED
Maintaining Architectural State

- **Solution**: support two state, speculative and architectural
- Update arch state in program order using special buffer called ROB (reorder buffer) or instruction window
  - Instructions written and stored in-order
  - Instruction leaves ROB (retired) and update arch state only if it is the oldest one and has been executed
Out-of-order execution: key ideas

• Out-of-order execution, in-order completion (commit/retire)
  • Precise exceptions, memory model of processor

• (I) Dataflow execution: instructions execute when operands are available
  • Reorder buffer (ROB): window of instructions
  • Instructions enter ROB in program order, retire from ROB in program order
  • Instructions in ROB execute when operands are available
  • However, results are stored in ROB until instruction retires

• (II) Register renaming
  • Goal: eliminate flow and anti-dependences on registers
  • Two register files
    • Architected register file: registers visible to programmer and ISA
    • Physical register file:
      • Larger set of registers that hold values for inflight instructions
      • Not visible to programmer or ISA, managed by hardware
  • OOO processors implement both dataflow execution and register renaming but useful to study separately

• (III) Branch prediction
  • How to get a big window of instructions to work on
OOO execution without renaming

• Invariants (ROB):
  1. At most one instruction in flight writes to a given register
  2. Instruction executes when all operands available but result stored in result slot of ROB entry
  3. Destination register is updated only when instruction is retired

• Invariant (Registers):
  4. Rx.v → No instruction in flight writes to register rx
  5. ! Rx.v → An inflight instruction will write to rx and ROB entries for younger instructions that need that value are in Rx.consumers
Actions: \((r_3 \leftarrow r_1 + 9\) in ROB\# n)

- **Enter:**
  
  if (! R3.v) //inflight instruction will modify r3
  wait until R3.v = true; (Invariant 1)
  R3.v = false; (Invariant 1)
  Enter instruction into ROB\# n;
  if (R1.v) then
    \{ROB[n].slot1 = R1.value; (Invariant 4)
    Mark instruction as ready;\}
  else Add \#n to R1.consumers; (Invariant 5)

- **Retire:**
  
  R3.value = ROB[n].result;
  R3.v = true; (Invariant 3)
  Notify all ROB entries in R3.consumers; (Invariant 5)

- **Execute:**
  
  Dispatch to free functional unit;
  Write result back to ROB[n].result
Dependences and precise exceptions

- **Flow dependences**
  - Invariants (4) and (5,3)

- **Anti- and output dependences**
  - Invariant (1)

- **Precise exceptions**
  - Invariants (2) and (3)

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**Invariants (ROB):**
1. At most one instruction in flight writes to a given register
2. Instruction executes when all operands available but result stored in result slot of ROB entry
3. Destination register is updated only when instruction is retired

**Invariant (Registers):**
4. Rx.v = true → No instruction in flight writes to register rx
5. if (! Rx.v) ROB entries for all inflight instructions that read rx are in Rx.Consumers
Limitations of scheme:

• Consider instruction \( r3 \leftarrow r1 + 9 \)
• Register r3 value not forwarded to consumers in ROB until instruction retires
• Why not forward value to consumers in ROB as soon as it is computed?
  • More parallelism
• One implementation of idea: register renaming
OOO execution with renaming

- Two sets of registers
  - Architected registers: registers visible to the ISA and programmer
  - Physical registers:
    - Different and larger set of registers that hold values temporarily while instructions are in flight
    - Not visible to ISA or programmer, managed entirely by hardware

- Register renaming
  - Eliminate anti- and output-dependences within instruction window by using physical registers

- There can be several instructions in flight that write to same architected register, but they will write to different physical registers
OOO execution with renaming

• Invariants (ROB):
  1. At most one instruction in flight writes to a given *physical* register
  2. Instruction executes when all operands available but result stored in physical register
  3. Architected register is updated when instruction is retired

• Invariant (Registers):
  4. $\text{Rx}.v \rightarrow$ No instruction in flight writes to register $\text{rx}$
  5. $(\neg \text{Rx}.v) \rightarrow$ Youngest instruction that writes to $\text{rx}$ will store result in $\text{Rx}[\text{PR#}]$
  6. $(\neg \text{Rx}.v \text{ and } \text{Rx.PR#}.v) \rightarrow$ Youngest instruction that writes to $\text{rx}$ has completed and value is in $\text{Rx.PR#}.v$
  7. $(\neg \text{Rx}.v \text{ and } ! \text{Rx.PR#}.v) \rightarrow$ Youngest instruction that writes to $\text{rx}$ has not completed and ROB entries for all inflight instructions that read its value are in $\text{Rx}[\text{PR#}].consumers$
OOO execution with renaming: (r3 ← r1 + 9 in ROB# n)

- **Enter:**
  - PRm = free physical register;
  - R3.PR# = PRm;
  - R3[v] = false;
  - PRm.v = false;
  - Enter instruction and PRm into ROB[n]; (Invariant 5)
  - if (R1.v) then
    - {ROB[n].slot1 = R1.value; (Invariant 4)
     Mark instruction as ready;}
  - else if (R1.PR#.v) then
    - {ROB[n].slot1 = R1.PR#.value; (Invariants 5 and 6)
     Mark instruction as ready;}
  - else
    - Add #n to R1.PR#.consumers; (Invariant 7)

- **Retire:**
  - R3.value = R3.PR#.value;
  - R3.v = true; (Invariant 3)

- **Execute:**
  - Dispatch to free functional unit;
  - pr = ROB[n].PR# //physical register associated with r3
  - Write result to pr.value;
  - pr.v = true; (Invariant 6)
  - Notify all ROB entries in pr.Consumers; (Invariant 7)
How large should ROB be?

• In principle, larger the better
  • Find more independent instructions
  • Hide longer memory latencies

• Example
  • Many CPUs have ROB of size ~200

• Main limitation: branches

• On average, 1 in every 5 instructions is branch

• How to fetch instructions into ROB when branch has not been resolved?

• One solution: guess randomly which way branch will go
  • Probability of getting one branch right: 50%
  • Probability that 100\textsuperscript{th} instruction in window will be executed is \((0.5)^{20} = 0.0001\%\)
Dynamic Branch Prediction

- Dynamic branch prediction approach:
  - As soon as branch is fetched (at IF stage) change the PC to the predicted path
  - Switch to the right path after the branch execution if the prediction was wrong
- It required complex hardware at IF stage that will predicts:
  - Is it a branch
  - Branch taken or not
  - Taken branch target
- Structure performs such function is called BPU
How To Predict Branch?

- A saturating counter or bimodal predictor is a state machine with four states:

- Why four states?
  - Bimodal predictor make only one mistake on a loop back branch (on the loop exit)

- Advantages:
  - Small — only 2 bits per branch
  - Predicts well branches with stable behaviour

- Disadvantages
  - Cannot predict well branches which often change their outcome:
    - e.g. $T, NT, T, NT, T, NT, T, NT, T, ...$

More elaborate branch predictors exist: (e.g.) Perceptron-based branch predictor (Calvin Lin)
Using History Patterns

- Remember not just most often outcome, but most often outcome after certain history patterns.

![Diagram of history patterns and pattern history table]

- Branch sequence: 1 0 0 1 0 0 1 0 0
- History buffer
- Prediction: 1
- Result: TRUE
Local Predictor

- Local branch predictor has a separate history buffer and pattern table for each branch
Global Predictor

- Global predictor have common history and pattern table for all branches
- Can have very large history
  ```
  if (a == 3)
  {
    ...
  }
  ...
  if (a > 6)
  {
    ...
  }
  ```
- Can see correlation among different branches
- The real branch predictor is a combination of different local, global and more sophisticated predictors
Topics covered in lecture

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  • Superscalar
  • Out-of-order execution
  • Limit on ILP: data and control dependences between instructions

• Out-of-order execution implementation
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  • RAW dependences: forwarding
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  • Control dependences: branch prediction and speculative execution
Intel Processor Roadmap

- Tick-Tock model
  - A new microarchitecture (Tock) is followed by process compaction (Tick)
Haswell Floorplan

- 22nm process
- 1.4 Billion transistors
- Die size: 160 mm²
FrontEnd

- Instruction Fetch and Decode
  - 32 KB 8-way Icache
  - 4 decoders, up to 4 inst/cycle
  - CISC to RISC transformation
  - Decode Pipeline supports 16 bytes per cycle
FrontEnd: Instruction Decode

- Four decoding units decode instructions into uops
  - The first can decode all instructions up to four uops in size
- Uops emitted by the decoders are directed to the Decode Queue and to the Decoded Uop Cache
- Instructions with >4 uoops generate their uops from the MSROM
  - The MSROM bandwidth is 4 uops per cycle
FrontEnd: Decode UOP Cache

- The UC is an accelerator of the legacy decode pipeline
  - Caches the uops coming out of the instruction decoder
  - Next time uops are taken from the UC
  - The UC holds up to 1536 uops
  - Average hit rate of 80% of the uops

- Skips fetch and decode for the cached uops
  - Reduces latency on branch mispredictions
  - Increases uop delivery bandwidth to the OOO engine
  - Reduces front end power consumption

- The UC is virtually addressed
  - Flushed on a context switch
FrontEnd: Loop Stream Detector

- LSD detects small loops that fit in the Decode Queue
  - The loop streams from the uop queue, with no more fetching, decoding, or reading uops from any of the caches
  - Works until a branch misprediction
  - The loops with the following attributes qualify for LSD replay
    - Up to 56 uops
    - All uops are also resident in the UC
    - No more than eight taken branches
    - No CALL or RET
    - No mismatched stack operations (e.g. more PUSH than POP)
FrontEnd: Macro-Fusion

- Merge two instructions into a single uop
  - Increased decode, rename and retire bandwidth
  - Power savings from representing more work in fewer bits
- The first instruction of a macro-fused pair modifies flags
  - CMP, TEST, ADD, SUB, AND, INC, DEC
- The 2\textsuperscript{nd} inst of a macro-fusible pair is a conditional branch
  - For each first instruction, some branches can fuse with it
- These pairs are common in many apps
OOO Structures

![Diagram of OOO Structures]

<table>
<thead>
<tr>
<th></th>
<th>Nehalem</th>
<th>Sandy Bridge</th>
<th>Haswell</th>
</tr>
</thead>
<tbody>
<tr>
<td>Window (BOB)</td>
<td>128</td>
<td>168</td>
<td>192</td>
</tr>
<tr>
<td>In-flight Loads (LB)</td>
<td>48</td>
<td>64</td>
<td>72</td>
</tr>
<tr>
<td>In-flight Stores (SB)</td>
<td>32</td>
<td>36</td>
<td>42</td>
</tr>
<tr>
<td>Scheduler Entries (RS)</td>
<td>36</td>
<td>54</td>
<td>60</td>
</tr>
<tr>
<td>Integer Registers</td>
<td>Equal to ROB</td>
<td>160</td>
<td>168</td>
</tr>
<tr>
<td>FP Registers</td>
<td>Equal to ROB</td>
<td>144</td>
<td>168</td>
</tr>
</tbody>
</table>
OOO: Renamer

• Rename 4 uops / cycle and provide to the OOO engine
  – Renames architectural sources and destinations of the uops to micro-
    architectural sources and destinations
  – Allocates resources to the uops, e.g., load or store buffers
  – Binds the uop to an appropriate dispatch port

• Some uops can execute to completion during rename, effectively costing no execution bandwidth
  – Zero idioms (dependency breaking idioms)
  – NOP
  – VZEROUPPER
  – FXCHG
  – A subset of register-to-register MOV
OOO: Dependency Breaking Idiom

- Move elimination
  - Moves just update RAT w/o real copy of register value
  - Example: eax is renamed to pr10,
    after mov eax->ebx, ebx is also renamed to pr10

- Instruction parallelism can be improved by zeroing register content

- Zero idiom examples
  - XOR REG,REG
  - SUB REG,REG

- Zero idioms are detected and removed by the renamer
  - Have zero execution latency
  - They do not consume any execution resource
## Core Cache Size/Latency/Bandwidth

<table>
<thead>
<tr>
<th>Metric</th>
<th>Nehalem</th>
<th>Sandy Bridge</th>
<th>Haswell</th>
</tr>
</thead>
<tbody>
<tr>
<td>L1 Instruction Cache</td>
<td>32K, 4-way</td>
<td>32K, 8-way</td>
<td>32K, 8-way</td>
</tr>
<tr>
<td>L1 Data Cache</td>
<td>32K, 8-way</td>
<td>32K, 8-way</td>
<td>32K, 8-way</td>
</tr>
<tr>
<td>Fastest Load-to-use</td>
<td>4 cycles</td>
<td>4 cycles</td>
<td>4 cycles</td>
</tr>
<tr>
<td>Load bandwidth</td>
<td>16 Bytes/cycle</td>
<td>32 Bytes/cycle (banked)</td>
<td>64 Bytes/cycle</td>
</tr>
<tr>
<td>Store bandwidth</td>
<td>16 Bytes/cycle</td>
<td>16 Bytes/cycle</td>
<td>32 Bytes/cycle</td>
</tr>
<tr>
<td>L2 Unified Cache</td>
<td>256K, 8-way</td>
<td>256K, 8-way</td>
<td>256K, 8-way</td>
</tr>
<tr>
<td>Fastest load-to-use</td>
<td>10 cycles</td>
<td>11 cycles</td>
<td>11 cycles</td>
</tr>
<tr>
<td>Bandwidth to L1</td>
<td>32 Bytes/cycle</td>
<td>32 Bytes/cycle</td>
<td>64 Bytes/cycle</td>
</tr>
<tr>
<td>L1 Instruction TLB</td>
<td>4K: 128, 4-way</td>
<td>4K: 128, 4-way</td>
<td>4K: 128, 4-way</td>
</tr>
<tr>
<td></td>
<td>2M/4M: 7/thread</td>
<td>2M/4M: 8/thread</td>
<td>2M/4M: 8/thread</td>
</tr>
<tr>
<td>L1 Data TLB</td>
<td>4K: 64, 4-way</td>
<td>4K: 64, 4-way</td>
<td>4K: 64, 4-way</td>
</tr>
<tr>
<td></td>
<td>2M/4M: 32, 4-way</td>
<td>2M/4M: 32, 4-way</td>
<td>2M/4M: 32, 4-way</td>
</tr>
<tr>
<td></td>
<td>1G: fractured</td>
<td>1G: 4, 4-way</td>
<td>1G: 4, 4-way</td>
</tr>
<tr>
<td>L2 Unified TLB</td>
<td>4K: 512, 4-way</td>
<td>4K: 512, 4-way</td>
<td>4K+2M shared:</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>1024, 8-way</td>
</tr>
</tbody>
</table>

All caches use 64-byte lines

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Optimization Notice

*Other names and brands may be claimed as the property of others.*