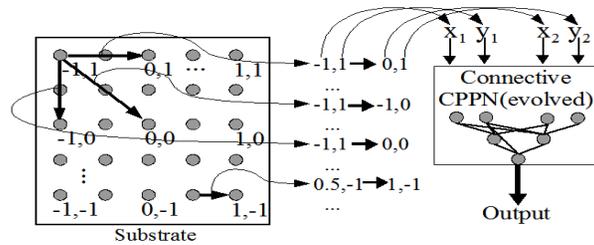


## Introduction to Hypernetworks

- ▶ Hypernetworks encode the weights of another network in their output.
  - ▶ Indirect encoding of the network that actually performs the task.
- ▶ No need for local interactions or temporal unfolding.
- ▶ Perform well in many standard benchmarks and extend to various domains like 3D robot morphologies.

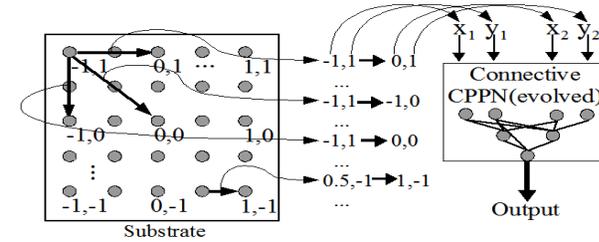


(Stanley et al. 2009)



## Compositional Pattern Producing Networks (CPPNs)

- ▶ Hypernetwork implementation: patterns are the weights.
- ▶ The idea is to embed the task network into a substrate.
  - ▶ Each neuron then has specific coordinates.
  - ▶ Connections can be specified geometrically.

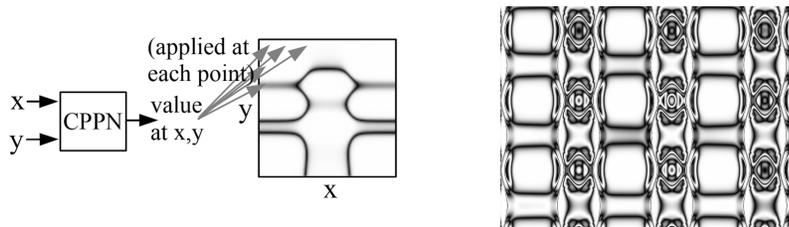


(Stanley et al. 2009)



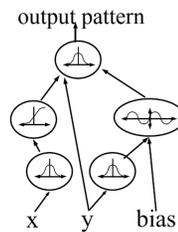
## CPPN Function Composition

- ▶ CPPNs generate regular patterns, e.g. in 2D:



(D'Ambrosio et al. 2014; Stanley 2007)

- ▶ They result from composition of activation functions (e.g., Gaussian, sigmoid, sine).
- ▶ They mimic natural phenomena like symmetry and repetition with variation.
- ▶ They can be useful as weights, e.g. similar to convolution.
- ▶ But is is also fun just to evolve images!

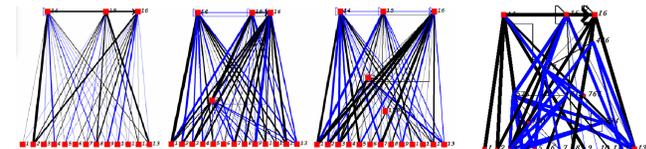


(D'Ambrosio et al. 2014)



## Evolving CPPNs with NEAT

- ▶ CPPNs are traditionally evolved using the NEAT algorithm.
- ▶ NEAT allows CPPNs to complexify gradually and evolve increasingly complex patterns.
- ▶ Structural mutations add nodes with different activation functions to evolve new patterns.



(Stanley 2004)



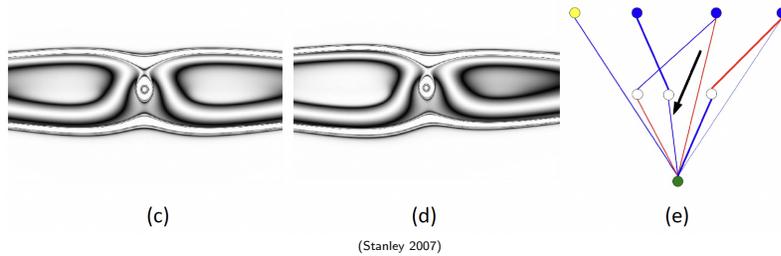
gen 12      gen 20      gen 36      gen 49      gen 74

(Stanley 2007)



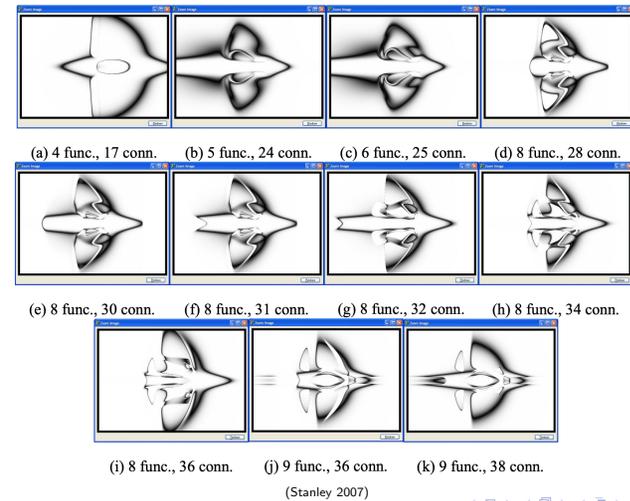
## Repetition with Variation in CPPNs

- ▶ CPPNs can encode symmetric patterns, like mirror-image sunglasses.
- ▶ Altering a single connection can introduce subtle variations in symmetry.
- ▶ These changes preserve overall coherence of the pattern.



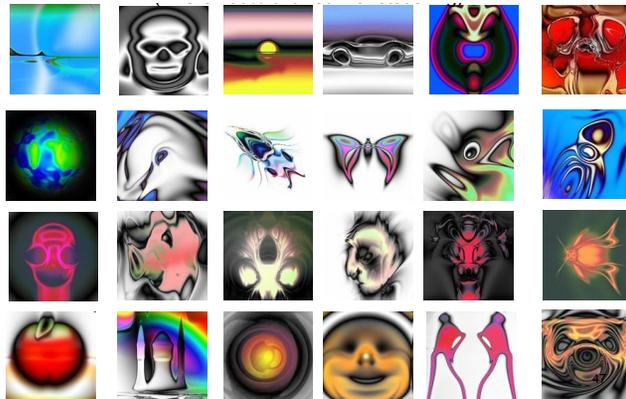
## Elaborating on Discovered Patterns

- ▶ CPPNs can evolve patterns and elaborate upon them across generations.
- ▶ Early designs are refined into more complex structures while preserving their core.
- ▶ This property mirrors how biological structures evolve over time.



## Evolving 2D Pictures

- ▶ With human selection, can evolve a range of images
- ▶ E.g. Picbreeder (<https://nbenko1.github.io/>)

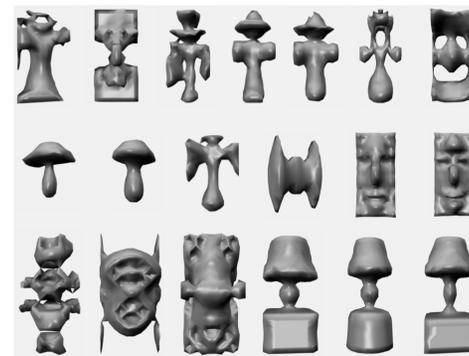


(Stanley 2014)



## Evolving 3D Forms

- ▶ Adding a third z-input enables generating 3D structures and morphologies
- ▶ E.g. endlessforms.com
- ▶ Can be 3D-printed in silver, bronze, plastic...

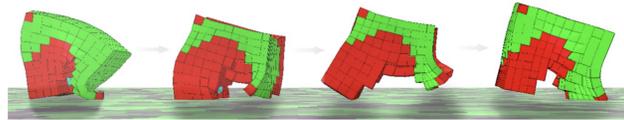


(Clune et al. 2012)



## Evolving 4-D Forms: Virtual Creatures that Move

- ▶ Virtual creatures are digital entities interacting in a simulated environment.
- ▶ The challenge is to create not just viable forms but also effective behaviors—without a brain or a controller!
- ▶ Example: 3D soft robots are made of voxels of four different materials.
- ▶ Voxels are assigned properties like actuation and rigidity.
- ▶ The pattern of these materials determines the robot's behavior, such as locomotion.

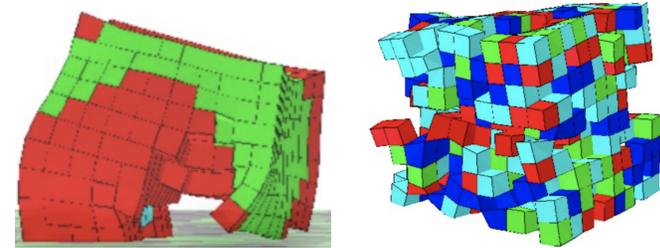


(Cheney et al. 2014)



## Comparing CPPN Encoding vs Direct Encoding

- ▶ In CPPN-based encoding, symmetries and repeating motifs are easily produced.
- ▶ CPPNs generate globally coordinated behaviors necessary for efficient locomotion.
- ▶ Direct encoding optimizes each voxel independently, often leading to irregular structures.
- ▶ Direct evolution fails to discover locomotion.

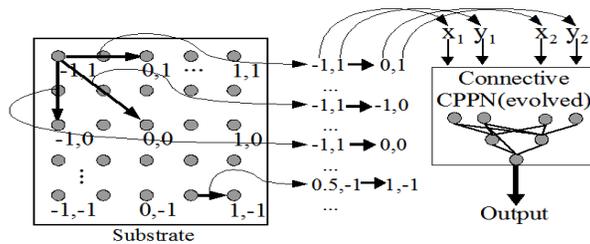


Demo link: [https://youtu.be/EXuR\\_soDnFo](https://youtu.be/EXuR_soDnFo)



## Evolving Neural Networks: HyperNEAT

- ▶ HyperNEAT applies CPPNs to generate neural network connectivity patterns.
- ▶ It exploits the geometry of input and output domains to create regularity in connections.
- ▶ Intended take advantage of repeating patterns and symmetry in biological neural networks.

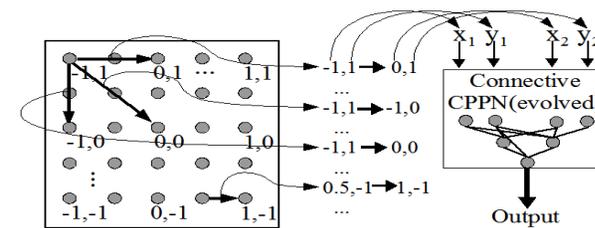


(Stanley et al. 2009)



## HyperNEAT Substrates

- ▶ A substrate defines the spatial arrangement and roles of neurons.
- ▶ The CPPN is queried with each pair of neuron positions, producing a weight for the connection.
- ▶ Can discover patterns such as convolution and attention automatically.
- ▶ Often a 2D plane is a natural substrate:



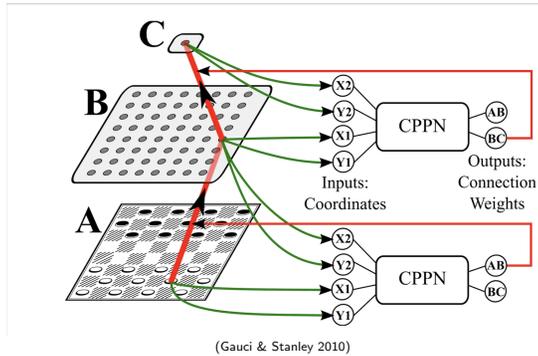
(Stanley et al. 2009)

A HyperNEAT substrate arranged in a 2D plane.



## Taking Advantage of the Substrate: Checkers Game

- ▶ In the checkers game task, the substrate mirrors the geometry of the checkerboard.
- ▶ One CPPN with separate outputs for input (AB) and output (BC) weights.
- ▶ The task network evaluates how good the board position is (C).
- ▶ The network evolves to generalize across the board.

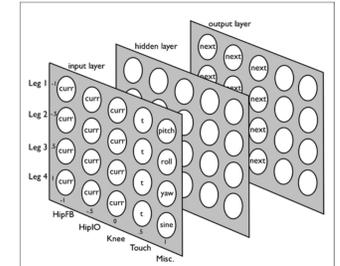
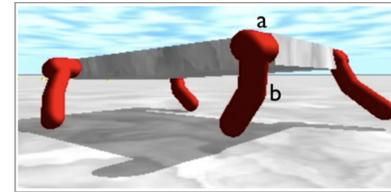


HyperNEAT substrate designed for the checkers game.



## Taking Advantage of the Substrate: Quadruped Robot

- ▶ Substrate with three layers: input, hidden, and output.
- ▶ The input and output layers are arranged according to the sensor and motor geometry.
- ▶ As a result, HyperNEAT can discover consistent gait patterns.

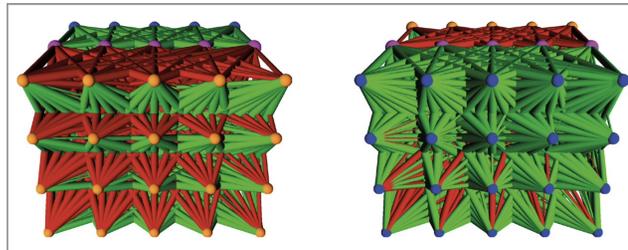


(Clune et al. 2011)



## Regularities in Weights and Gaits

- ▶ These regularities are reflected in the HyperNEAT weight patterns.
  - ▶ Inhibitory and excitatory connections are arranged in geometric patterns based on neuron positions (front and back view; input yellow, output blue).



- ▶ This regularity with variation results in smooth, coordinated gaits.
- ▶ Gaits include synchronized leg movement (pace) and variations like gallop.

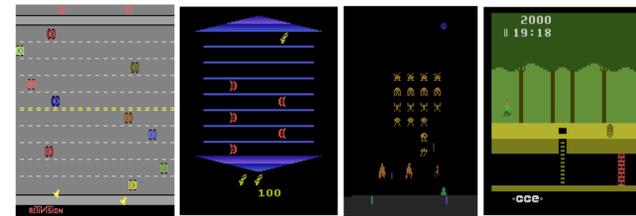


(Clune et al. 2011)



## Scaling up to Large Networks

- ▶ HyperNEAT can efficiently encode large networks with millions of connections using compact CPPNs.
  - ▶ Sample the substrate in finer resolution!
- ▶ This approach was first used to train neural networks to play Atari games from pixels (before Deep RL).
- ▶ Methods for systematic such scaleup are ongoing work.

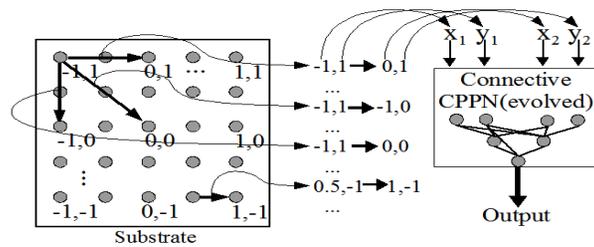


(Hausknecht et al. 2014)



## Evolving the Substrate

- ▶ Well-designed substrates are important; Maybe we could optimize them for the task?
- ▶ Evolvable substrates HyperNEAT: Discover the number and locations of hidden nodes automatically.

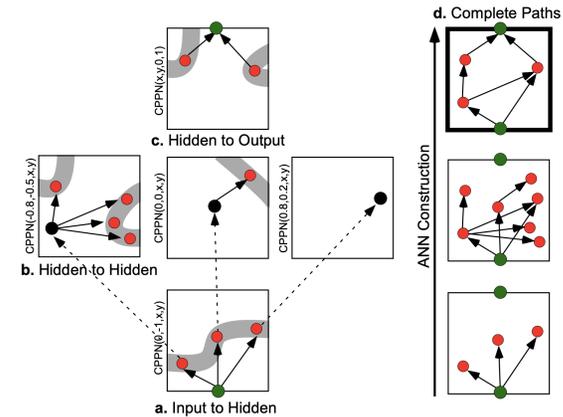


(Stanley et al. 2009)



## ES-HyperNEAT

- ▶ Place nodes in areas where CPPN generates high variance in weights;
- ▶ There is more information in those areas; more representation is needed.
  - ▶ Start from input and identify good hidden neuron locations.
  - ▶ Then good locations for the next layer of hidden neurons.
  - ▶ Then good hidden locations to connect to the output.
- ▶ Construct the network and prune.

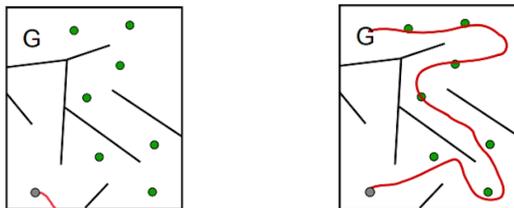


(Risi & Stanley 2012)



## Example: Maze Navigation Task

- ▶ ES-HyperNEAT was tested on a hard maze navigation task where an agent uses rangefinder sensors.
- ▶ The goal is to navigate the maze by learning to reach predefined waypoints (not visible to the agent).

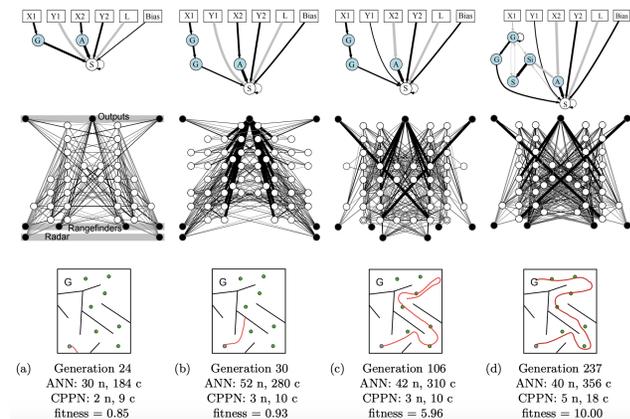


(Risi & Stanley 2012)



## Performance in Maze Domain

- ▶ ES-HyperNEAT improves from 45% successful runs to 95%.
- ▶ ES-HyperNEAT is better at discovering and elaborating on useful stepping stones.
- ▶ Over generations, ES-HyperNEAT evolves increasingly complex networks with more hidden nodes and connections.



(a) Generation 24  
ANN: 30 n, 184 c  
CPPN: 2 n, 9 c  
fitness = 0.85

(b) Generation 30  
ANN: 52 n, 280 c  
CPPN: 3 n, 10 c  
fitness = 0.93

(c) Generation 106  
ANN: 42 n, 310 c  
CPPN: 3 n, 10 c  
fitness = 5.96

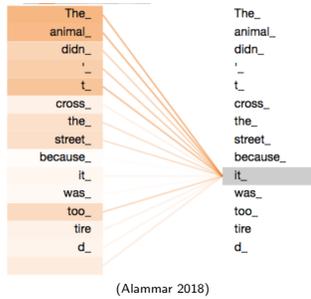
(d) Generation 237  
ANN: 40 n, 356 c  
CPPN: 5 n, 18 c  
fitness = 10.00

(Risi & Stanley 2012)



## Self-Attention as Dynamic Indirect Encoding

- ▶ So far, the indirect encoding methods have been static during performance.
- ▶ Self-attention in transformer networks can be seen as a dynamic form of indirect encoding: It adjusts representations based on input data.
- ▶ This flexibility allows models to adapt internal structures and relationships depending on context.

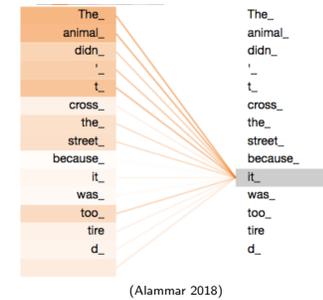


## Self-Attention Implementation

- ▶ Recall that the Query and Key matrices ( $W_q$  and  $W_k$ ) are used to calculate the association matrix  $A$  from input  $X$ :

$$A = \text{softmax} \left( \frac{QK^T}{\sqrt{d_k}} \right) = \text{softmax} \left( \frac{(XW_q)(XW_k)^T}{\sqrt{d_k}} \right)$$

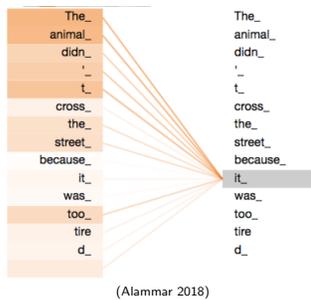
- ▶ Thus,  $A$  identifies the input tokens that are in agreement.



## Input-Dependent Association Architecture

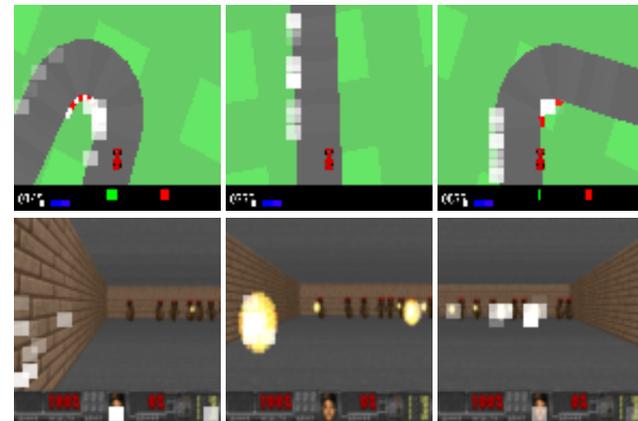
$$A = \text{softmax} \left( \frac{QK^T}{\sqrt{d_k}} \right) = \text{softmax} \left( \frac{(XW_q)(XW_k)^T}{\sqrt{d_k}} \right)$$

- ▶ Now think of  $W_q$  and  $W_k$  as the *genotype* and the attention matrix  $A$  as the *phenotype*.
  - ▶ That is, think of  $A$  is part of the network architecture.
- ▶ Then  $A$  is indirectly encoded by this mapping.
- ▶ The mapping is input-dependent, and therefore the indirect encoding is dynamic.



## Self-Attention-Based Agents

- ▶ AttentionAgent: Leverages self-attention to focus on relevant elements in task environment.
- ▶ It assigns attention only to task-relevant inputs and ignores distractions.
- ▶ It improves interpretability in pixel-space reasoning.



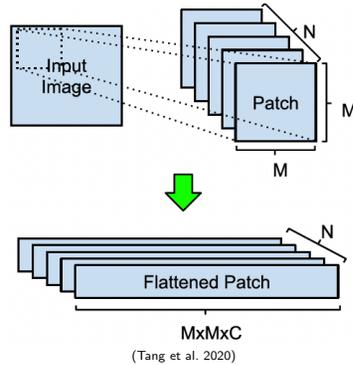
(Tang et al. 2020)

White patches indicate high attention areas



## Patch Segmentation in AttentionAgent

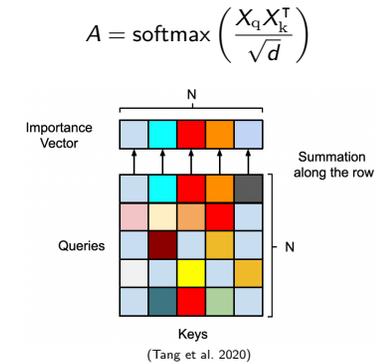
- ▶ Input game screen is divided into patches (like a convolution layer).
- ▶ Each patch is flattened to 1D, forming the input to the self-attention module.



◀ ▶ ⏪ ⏩ 🔍 🔄

## Generating the Attention Matrix

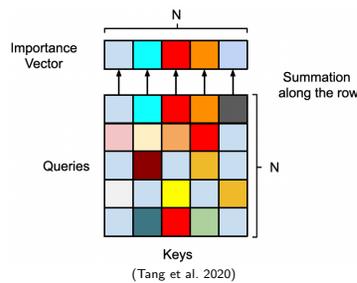
- ▶ A simplification: Condense the attention matrix into  $X_q X_k^T$
- ▶ Such an  $A$  represents the importance of each patch relative to others.
  - ▶ Each row of  $A$  can be interpreted as how a patch distributes its "votes" across other patches.



◀ ▶ ⏪ ⏩ 🔍 🔄

## Patch Importance Vector

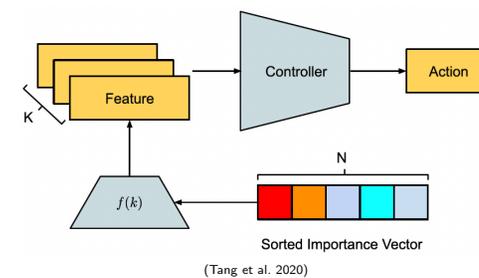
- ▶ Summing the columns of the attention matrix  $A$  results in a patch importance vector.
- ▶ This vector ranks the importance of each patch.
- ▶ Only the top  $k$  patches are retained for further processing, improving focus on critical elements.



◀ ▶ ⏪ ⏩ 🔍 🔄

## Action Selection

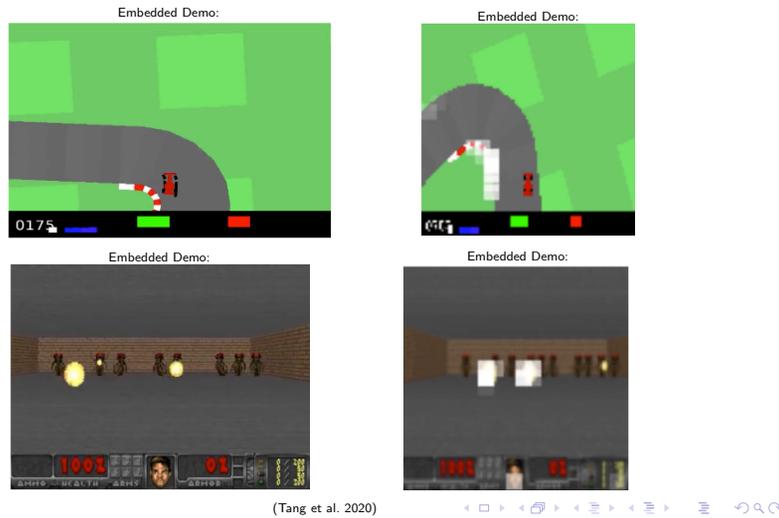
- ▶ After obtaining the top- $k$  patches, their features are fed into a neural controller.
- ▶ Thus, they are used instead of the Value as output of the attention mechanism.
- ▶ The controller processes these features to output the agent's actions.
- ▶ Patches of low importance are discarded, allowing the agent to focus solely on relevant elements.



◀ ▶ ⏪ ⏩ 🔍 🔄

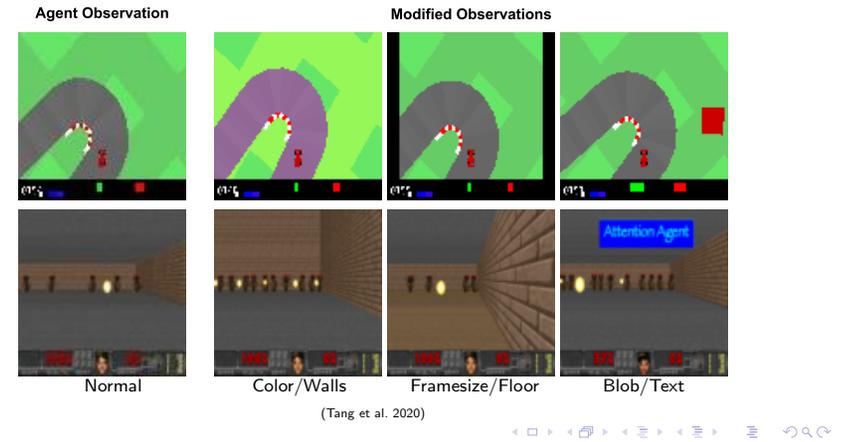
## Regular vs. Self-Attention Agent

- ▶ Evaluated in CarRacing and DoomTakeCover domains.
- ▶ The agent pays attention to crucial parts of input and performs well.
  - ▶ Left: Actual game environment presented to humans.
  - ▶ Right: Resized images presented to AttentionAgent as input
  - ▶ Attention highlighted with white patches.



## Robustness in Dynamic Environments

- ▶ AttentionAgent's focus on key patches allows it to remain robust to external changes.
- ▶ Experiments show the agent's ability to ignore distractions such as changing background colors or added text.
- ▶ AttentionAgent thus demonstrates the power of self-attention as a dynamic indirect encoding mechanism.



## Why Indirect Encodings are Useful

- ▶ Solve the problem of scaling neuroevolution by reducing the number of genotypic parameters.
- ▶ Allow for the automatic discovery of regularities, such as symmetry, repetition, and modularity.
- ▶ Integrate evolutionary learning with individual learning.

## Successes of Indirect Encodings

- ▶ Synergetic development: better than evolution, better than learning alone.
- ▶ Complexity and regularity from grammar-based encodings.
- ▶ HyperNEAT: Connectivity patterns with geometric regularities.
- ▶ Self-attention agents: Dynamic encoding enables agents to focus on relevant information.

## Future Opportunities

- ▶ Combining indirect encodings with deep learning for hybrid systems.
- ▶ Utilizing biologically-inspired mechanisms like genetic regulatory networks.