Topic 1
CS314 Course Introduction

Chapman: I didn’t expect a kind of Spanish Inquisition.
Cardinal Ximinez: NOBODY expects the Spanish Inquisition!
Our chief weapon is surprise...surprise and fear...fear and surprise.... Our two weapons are fear and surprise...and ruthless efficiency.... Our three weapons are fear, surprise, and ruthless efficiency...and an almost fanatical devotion to the Pope.... Our four...no... Amongst our weapons.... Amongst our weaponry...are such diverse elements as fear, surprise....

Who Am I?

- Professor of Instruction (lecturer) in CS department since 2000
- Undergrad Stanford, MSCS RPI
- US Navy for 8 years, submarines
- 2 years Round Rock High School

In class: please close laptops and put away mobile devices.
Mike Scott, Gates 6.304
scottm@cs.utexas.edu
www.cs.utexas.edu/~scottm/cs314/

The Elephant in the Room

- In light of the ongoing global pandemic:
  - Per UT Guidance: "Masks are strongly recommended indoors regardless of vaccination COVID-19 status."
  - Per UT Guidance: If you are sick stay home. Lectures are recorded and may be watched later if you are sick.
    - lectures shall be recorded for later viewing
    - office hours and discussion sections shall have online options as circumstance warrant

The Elephant in the Room

- Proactive Community Testing (PCT) is available on campus and "provides a quick and painless saliva or painless nasal-swab COVID-19 test for asymptomatic UT Austin students and employees."
- If you are interested in obtaining a Covid-19 vaccine please see this page: https://uthealthaustin.org/patient-resources/covid-19-updates/covid-19-vaccination
What We Will Do Today

- Discuss
  - course content
  - procedures
  - tools
- For your TO DO list:
  - complete items on the startup page

www.cs.utexas.edu/~scottm/cs314/handouts/startup.htm

Course Goals

- Analyze algorithms and code for efficiency
- Be able to create and use canonical data structures: lists (array and linked), stacks, queues, trees, binary search trees, balanced binary search trees, maps, sets, graphs, hash tables, heaps, tries
- Know and use the following programming tools and techniques: object oriented programming (encapsulation, inheritance, polymorphism), interfaces, iterators, sorting, searching, recursion, dynamic programming, functional programming

Course Overview

Prerequisites

- Formal: CS312 with a grade of C- or higher
- Informal: Ability to design and implement programs in Java using the following:
  - variables and data types
  - expressions, order of operations
  - Conditionals (if statements)
    - including boolean logic and boolean expressions
  - iteration (loops)
  - Methods (functions, procedures)
  - Parameters
  - structures or records or objects
  - arrays (vectors, lists)
  - top down design (breaking big rocks into little rocks)
    - algorithm and data design
    - create and implement program of at least 200 - 300 loc
  - could you write a program to let two people play connect 4?
CS314 Topics

1. Introduction
2. Algorithm Analysis
3. Encapsulation
4. Inheritance
5. Polymorphism
6. Generics
7. Interfaces
8. Iterators
9. Abstract Classes
10. Maps, Sets
11. Linked Lists
12. Recursion
13. Recursive Backtracking
14. Searching, Simple Sorts
15. Stacks
16. Queues
17. Fast Sorting
18. Trees
20. Graphs
21. Hash tables
22. Red-Black Trees
23. Huffman Code Trees
24. Heaps
25. Tries
26. Dynamic Programming
27. Functional Programming

Data Structures

- Simple definition: variables that store other variables
- We will learn a toolbox full of data structures …
- … and how to build them …
- … and how to use new ones.

Clicker Question 1

- Which of the following is a data structure?
  A. a method
  B. a try / catch block
  C. a double
  D. an array
  E. more than one of A - D

Resources

- Class web site – most course material
- Class discussion group – Piazza
- Canvas -> Grades, Program Submissions, Access Perusall, Zoom Links, Recorded Lectures
Books

- books are recommended, not required
- free alternatives on the web, see schedule
  - BJP (CS312 book) **strongly recommended**
  - Thinking Recursively in Java - recursion

---

Clicker Question 2

Which of these best describes you?

A. First year at UT and first year college student
B. First year at UT, transferring from another college or university
C. Second year at UT
D. Third year at UT
E. Other

---

Graded Course Components

- Academic Integrity Quiz, **5 points** (all correct or 0, multiple attempts)
- Programming projects
  - 11 projects, 20 points each, **220 points total**
- Perusall
  - 10 reading assignments, 4 points per, **40 points total**
- Exams: Outside of class
  - Exam 1, Wednesday 9/29, 6:45 – 9:15 pm, **250 points**
  - Exam 2, Wednesday 11/3, 6:45 - 9:15 pm, **250 points**
  - Exam 3, Final Exam period, time and date TBD, **250 points**
- Extra credit: Background survey **10 points**
- Course Instructor Evals **10 points**
- **5 + 220 + 40 + 250 + 250 + 10 + 10 = 1035**
- Non exam points capped at 250 pts
  - 35 points of “slack” among those non exam components
- No points added! Grades based on 1000 points, not 1035
- final points = min(250, sum of non exam) + e1 score + e2 score + e3 score

---

Grades and Performance

- Final grade determined by final point total and a 900 – 800 – 700 – 600 scale
  - plusses and minuses if within 25 points of cutoff:
    - A: 925 - 1000
    - A-: 900 - 924
    - B+: 875 - 899

- **My CS314 Historical Grades**
  - 80% C- or higher:
    - 28% A's, 35% B's, 17% C's
  - 10% D or F
  - 10% Q or W (drop)
  - ON CIS WORK LOAD EVALUATED AS HIGH
Assignments

- Non trivial programming projects
- Individual – do your own work
- Programs checked automatically with plagiarism detection software (MOSS)
- Turn in the right thing - correct name, correct format or you will lose points / slip days
- Graded on Correctness AND program hygiene
  "Code is read more often than it is written."
  - Guido Van Rossum
- Slip days: 8 for term, max 2 per assignment, don’t use frivolously

Succeeding in the Course

- Randy Pausch, CS Professor at CMU said:

  "When I got tenure a year early at Virginia, other Assistant Professors would come up to me and say, ‘You got tenure early!?!?! What's your secret?!?!?’ and I would tell them, ‘Call me in my office at 10pm on Friday night and I’ll tell you.’ "

  "A lot of people want a shortcut. I find the best shortcut is the long way, which is basically two words: work hard."

Succeeding in the Course - Meta

- “Be the first penguin”
  – Ask questions!!!
  – lecture, section, Piazza, lab hours
- “It is impossible to be perfect”
  – Mistakes are okay.
  – That is how we learn.
  – Trying to be perfect means not taking risks.
  – no risks, no learning
- “Find a Pack”
  – Make friends.
  – Study with them!

How to Get Help

- Piazza Post
- Help Hours
- Class examples
- Examples from book
- Discuss with other students at a high level
Succeeding in the Course - Concrete

- Former student:
  - "I really like the boot camp nature of your course."
- do the readings
- start on assignments early
- get help from the teaching staff when you get stuck on an assignment
- attend or watch lecture and discussion sections
- participate on the class discussion group
- do extra problems - http://tinyurl.com/pnzp28f
- study for tests using the old tests
- study for tests in groups
- ask questions and get help

Software

- Java - Oracle or OpenJDK, limit ourselves to Java 8
- IDE such as IntelliJ or Eclipse
- SSH into CS machines to test your programs
  - CS department account
  - SSH keys
  - Ability to transfer files and login remotely (WinSCP, Putty, Cyberduck, Filezilla, …)
- A zip tool (create zip files to turn in)
- Zoom (for remote options)
- Chrome and Proctorio (if circumstances warrant)

Clicker Question 3

Which computer programming language are you most comfortable with?

A. Java
B. C or C++
C. Python
D. Javascript
E. Other

See: http://www.tiobe.com/index.php/content/paperinfo/tpci/index.html
and http://lang-index.sourceforge.net/
Efficiency

- Computer Scientists don’t just write programs.
- They also **analyze** them.
- How efficient is a program?
  - How much time does it take program to complete?
  - How much memory does a program use?
  - How do these change as the amount of data changes?
  - What is the difference between the average case and worst case efficiency if any?

Technique

- Informal approach for this class
  - more formal techniques in theory classes
- **How many computations will this program (method, algorithm) perform to get the answer?**
- Many simplifications
  - view algorithms as Java programs
  - count executable statements in program or method
  - find number of statements as function of the amount of data
  - focus on the *dominant term* in the function
Counting Statements

- `int x; // one statement`  
- `x = 12; // one statement`  
- `int y = z * x + 3 % 5 * x / i; // 1`  
- `x++; // one statement`  
- `boolean p = x < y && y % 2 == 0 || z >= y * x; // 1`  
- `int[] data = new int[100]; // 100`  
- `data[50] = x * x + y * y; // 1`

Clicker 2

- What is output by the following code?
  ```java
  int total = 0;
  for (int i = 0; i < 13; i++)
    for (int j = 0; j < 11; j++)
      total += 2;
  System.out.println(total);
  ```
  - A. 24
  - B. 120
  - C. 143
  - D. 286
  - E. 338

Clicker 3

- What is output when method `sample` is called?
  ```java
  // pre: n >= 0, m >= 0
  public static void sample(int n, int m) {
    int total = 0;
    for (int i = 0; i < n; i++)
      for (int j = 0; j < m; j++)
        total += 5;
    System.out.println(total);
  }
  ```
  - A. 5
  - B. n * m
  - C. n * m * 5
  - D. n^m
  - E. (n * m)^5

Example

```java
public int total(int[] values) {
  int result = 0;
  for (int i = 0; i < values.length; i++)
    result += values[i];
  return result;
}
```

- How many statements are executed by method `total` as a function of `values.length`?
- Let N = `values.length`
  - N is commonly used as a variable that denotes the amount of data
Counting Up Statements
- `int result = 0;`  
- `int i = 0;`  
- `i < values.length; N + 1`  
- `i++ N`  
- `result += values[i]; N`  
- `return total; 1`  
- `T(N) = 3N + 4`  
- `T(N)` is the number of executable statements in method `total` as function of `values.length`  

Another Simplification
- When determining complexity of an algorithm we want to simplify things  
  - hide some details to make comparisons easier  
- Like assigning your grade for course  
  - At the end of CS314 your transcript won’t list all the details of your performance in the course  
  - it won’t list scores on all assignments, quizzes, and tests  
  - simply a letter grade, B- or A or D+  
- So we focus on the dominant term from the function and ignore the coefficient  

Big O
- The most common method and notation for discussing the execution time of algorithms is *Big O*, also spoken *Order*  
- Big O is the *asymptotic execution time* of the algorithm  
  - In other words, how does the running time of the algorithm grow as a function of the amount of input data?  
- Big O is an upper bounds  
- It is a mathematical tool  
- Hide a lot of unimportant details by assigning a simple grade (function) to algorithms  

Formal Definition of Big O
- `T(N)` is `O( F(N) )` if there are positive constants `c` and `N_0` such that `T(N) ≤ cF(N)` when `N ≥ N_0`  
  - `N` is the size of the data set the algorithm works on  
  - `T(N)` is a function that characterizes the *actual* running time of the algorithm  
  - `F(N)` is a function that characterizes an upper bounds on `T(N)`. It is a limit on the running time of the algorithm. (The typical Big functions table)  
  - `c` and `N_0` are constants
What it Means

- $T(N)$ is the actual growth rate of the algorithm
  - can be equated to the number of executable statements in a program or chunk of code
- $F(N)$ is the function that bounds the growth rate
  - may be upper or lower bound
- $T(N)$ may not necessarily equal $F(N)$
  - constants and lesser terms ignored because it is a bounding function

Showing $O(N)$ is Correct

- Recall the formal definition of Big O
  - $T(N)$ is $O(F(N))$ if there are positive constants $c$ and $N_0$ such that $T(N) \leq cF(N)$ when $N > N_0$
- Recall method total, $T(N) = 3N + 4$
  - show method total is $O(N)$.
  - $F(N)$ is $N$
- We need to choose constants $c$ and $N_0$
  - how about $c = 4$, $N_0 = 5$?

Typical Big O Functions – "Grades"

<table>
<thead>
<tr>
<th>Function</th>
<th>Common Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>$N!$</td>
<td>factorial</td>
</tr>
<tr>
<td>$2^N$</td>
<td>Exponential</td>
</tr>
<tr>
<td>$N^d$, $d &gt; 3$</td>
<td>Polynomial</td>
</tr>
<tr>
<td>$N^3$</td>
<td>Cubic</td>
</tr>
<tr>
<td>$N^2$</td>
<td>Quadratic</td>
</tr>
<tr>
<td>$N\sqrt{N}$</td>
<td>$N$ Square root $N$</td>
</tr>
<tr>
<td>$N \log N$</td>
<td>$N \log N$</td>
</tr>
<tr>
<td>$N$</td>
<td>Linear</td>
</tr>
<tr>
<td>$\sqrt{N}$</td>
<td>Root - $n$</td>
</tr>
<tr>
<td>$\log N$</td>
<td>Logarithmic</td>
</tr>
<tr>
<td>1</td>
<td>Constant</td>
</tr>
</tbody>
</table>
Clicker 4

- Which of the following is true?
  - Recall \( T(N)_{\text{total}} = 3N + 4 \)
  - A. Method total is \( O(N^{1/2}) \)
  - B. Method total is \( O(N) \)
  - C. Method total is \( O(N^2) \)
  - D. Two of A – C are correct
  - E. All of three of A – C are correct

Showing Order Briefly ...

- Show \( 10N^2 + 15N \) is \( O(N^2) \)
- Break into terms.
  - \( 10N^2 \leq 10N^2 \)
  - \( 15N \leq 15N^2 \) for \( N \geq 1 \) (Now add)
  - \( 10N^2 + 15N \leq 10N^2 + 15N^2 \) for \( N \geq 1 \)
  - \( 10N^2 + 15N \leq 25N^2 \) for \( N \geq 1 \)
  - \( c = 25, N_0 = 1 \)
- Note, the choices for \( c \) and \( N_0 \) are not unique.

Dealing with other methods

- What do I do about method calls?
  - double sum = 0.0;
  - for (int i = 0; i < n; i++)
    - sum += Math.sqrt(i);
  
  Long way
  - go to that method or constructor and count statements

- Short way
  - substitute the simplified Big O function for that method.
  - if Math.sqrt is constant time, \( O(1) \), simply count
    - sum += Math.sqrt(i); as one statement.

Dealing With Other Methods

```java
public int foo(int[] data) {
  int total = 0;
  for (int i = 0; i < data.length; i++)
    total += countDups(data[i], data);
  return total;
}
```

// method countDups is \( O(N) \) where \( N \) is the
// length of the array it is passed

Clicker 5, What is the Big O of foo?

- A. \( O(1) \)
- B. \( O(N) \)
- C. \( O(N \log N) \)
- D. \( O(N^2) \)
- E. \( O(N!) \)
Independent Loops

// from the Matrix class
public void scale(int factor) {
    for (int r = 0; r < numRows(); r++)
        for (int c = 0; c < numCols(); c++)
            iCells[r][c] *= factor;
}

Assume numRows() = numCols() = N.
In other words, a square matrix.
numRows and numCols are O(1)

What is the T(N)? Clicker 6, What is the Order?
A. O(1)  B. O(N)  C. O(NlogN)
D. O(N^2)  E. O(N!)
Bonus question. What if numRows is O(N)?

Just Count Loops, Right?

// assume mat is a 2d array of booleans
// assume mat is square with N rows,
// and N columns
public static void count(boolean[][] mat, int row, int col) {
    int numThings = 0;
    for (int r = row - 1; r <= row + 1; r++)
        for (int c = col - 1; c <= col + 1; c++)
            if (mat[r][c])
                numThings++;
}

Clicker 7, What is the order of the above method count?
A. O(1)  B. O(N^{0.5})  C. O(N)  D. O(N^2)  E. O(N^3)

It is Not Just Counting Loops

// Second example from previous slide could be
// rewritten as follows:
int numThings = 0;
if (mat[r-1][c-1]) numThings++;
if (mat[r-1][c]) numThings++;
if (mat[r-1][c+1]) numThings++;
if (mat[r][c-1]) numThings++;
if (mat[r][c]) numThings++;
if (mat[r][c+1]) numThings++;
if (mat[r+1][c-1]) numThings++;
if (mat[r+1][c]) numThings++;
if (mat[r+1][c+1]) numThings++;

Sidetrack, the logarithm

Thanks to Dr. Math
3^2 = 9
likewise log_3 9 = 2
   "The log to the base 3 of 9 is 2."
The way to think about log is:
   "the log to the base x of y is the number you can raise x to to get y."
   Say to yourself "The log is the exponent." (and say it over and over until you believe it.)
   In CS we work with base 2 logs, a lot
log_2 32 = ?  log_2 8 = ?  log_2 1024 = ?  log_{10} 1000 = ?
When Do Logarithms Occur

- Algorithms tend to have a logarithmic term when they use a divide and conquer technique
- the size of the data set keeps getting divided by 2

```
public int foo(int n) {
    // pre n > 0
    int total = 0;
    while (n > 0) {
        n = n / 2;
        total++;
    }
    return total;
}
```

Clicker 8, What is the order of the above code?
A. $O(1)$  B. $O(\log N)$  C. $O(N)$
D. $O(N \log N)$  E. $O(N^2)$

The base of the log is typically not included as we can switch from one base to another by multiplying by a constant factor.

Replace Zeros – Typical Solution

```
public void replace0s(int[] data){
    for(int i = 0; i < data.length; i++){
        if (data[i] == 0) {
            int max = 0;
            for(int j = i+1; j<data.length; j++)
                max = Math.max(max, data[j]);
            data[i] = max;
        }
    }
}
```

Assume all values are zeros. (worst case)
Example of a dependent loops.

Clicker 9 - Number of times $j < \text{data.length}$ evaluated?
A. $O(1)$  B. $O(N)$  C. $O(N \log N)$
D. $O(N^2)$  E. $O(N!)$

Replace Zeros – Alternate Solution

```
public void replace0s(int[] data){
    int max =
        Math.max(0, data[data.length - 1]);
    int start = data.length - 2;
    for (int i = start; i >= 0; i--) {
        if (data[i] == 0)
            data[i] = max;
        else
            max = Math.max(max, data[i]);
    }
}
```

Clicker 10 - Big O of this approach?
A. $O(1)$  B. $O(N)$  C. $O(N \log N)$
D. $O(N^2)$  E. $O(N!)$
Clicker 11

- Is $O(N)$ really that much faster than $O(N^2)$?
  A. never
  B. always
  C. typically

- Depends on the actual functions and the value of $N$.
- $1000N + 250$ compared to $N^2 + 10$
- When do we use mechanized computation?
- $N = 100,000$
- $100,000,250 < 10,000,000,010$ ($10^8 < 10^{10}$)

A Useful Proportion

- Since $F(N)$ characterizes the running time of an algorithm the following proportion should hold true:
  \[ F(N_0) / F(N_1) \approx \frac{\text{time}_0}{\text{time}_1} \]
- An algorithm that is $O(N^2)$ takes 3 seconds to run given 10,000 pieces of data.
  - How long do you expect it to take when there are 30,000 pieces of data?
  - common mistake
  - logarithms?

Why Use Big O?

- As we build data structures Big O is the tool we will use to decide under what conditions one data structure is better than another
- Think about performance when there is a lot of data.
  - "It worked so well with small data sets..."
  - Joel Spolsky, Schlemiel the painter's Algorithm
- Lots of trade offs
  - some data structures good for certain types of problems, bad for other types
  - often able to trade SPACE for TIME.
  - Faster solution that uses more space
  - Slower solution that uses less space

Big O Space

- Big O could be used to specify how much space is needed for a particular algorithm
  - in other words how many variables are needed
- Often there is a time – space tradeoff
  - can often take less time if willing to use more memory
  - can often use less memory if willing to take longer
  - truly beautiful solutions take less time and space

The biggest difference between time and space is that you can't reuse time. - Merrick Furst
Quantifiers on Big O

- It is often useful to discuss different cases for an algorithm
- Best Case: what is the best we can hope for?
  - least interesting
- Average Case (a.k.a. expected running time): what usually happens with the algorithm?
- Worst Case: what is the worst we can expect of the algorithm?
  - very interesting to compare this to the average case

Best, Average, Worst Case

- To Determine the best, average, and worst case Big O we must make assumptions about the data set
  - Best case -> what are the properties of the data set that will lead to the fewest number of executable statements (steps in the algorithm)
  - Worst case -> what are the properties of the data set that will lead to the largest number of executable statements
  - Average case -> Usually this means assuming the data is randomly distributed
    - or if I ran the algorithm a large number of times with different sets of data what would the average amount of work be for those runs?

Another Example

public double minimum(double[] values) {
    int n = values.length;
    double minValue = values[0];
    for (int i = 1; i < n; i++)
        if (values[i] < minValue)
            minValue = values[i];
    return minValue;
}

T(N)? F(N)? Big O? Best case? Worst Case?
Average Case?
If no other information, assume asking average case

Example of Dominance

- Look at an extreme example. Assume the actual number as a function of the amount of data is:
  \[ N^2/10000 + 2N \log_{10} N + 100000 \]
  - Is it plausible to say the \(N^2\) term dominates even though it is divided by 10000 and that the algorithm is \(O(N^2)\)?
- What if we separate the equation into \((N^2/10000)\) and \((2N \log_{10} N + 100000)\) and graph the results.
### Summing Execution Times

For large values of $N$ the $N^2$ term dominates so the algorithm is $O(N^2)$

When does it make sense to use a computer?

- Red line is $2N \log_{10} N + 100000$
- Blue line is $N^2/10000$

### Comparing Grades

- Assume we have a problem
- Algorithm A solves the problem correctly and is $O(N^2)$
- Algorithm B solves the same problem correctly and is $O(N \log_2 N)$
- Which algorithm is faster?
- One of the assumptions of Big O is that the data set is large.
- The "grades" should be accurate tools if this is true

### Running Times

- Assume $N = 100,000$ and processor speed is $1,000,000,000$ operations per second

<table>
<thead>
<tr>
<th>Function</th>
<th>Running Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>$2^N$</td>
<td>$3.2 \times 10^{30,086}$ years</td>
</tr>
<tr>
<td>$N^4$</td>
<td>3171 years</td>
</tr>
<tr>
<td>$N^3$</td>
<td>11.6 days</td>
</tr>
<tr>
<td>$N^2$</td>
<td>10 seconds</td>
</tr>
<tr>
<td>$N/\sqrt{N}$</td>
<td>0.032 seconds</td>
</tr>
<tr>
<td>$N \log N$</td>
<td>0.0017 seconds</td>
</tr>
<tr>
<td>$N$</td>
<td>0.0001 seconds</td>
</tr>
<tr>
<td>$\sqrt{N}$</td>
<td>$3.2 \times 10^{-7}$ seconds</td>
</tr>
<tr>
<td>$\log N$</td>
<td>$1.2 \times 10^{-8}$ seconds</td>
</tr>
</tbody>
</table>

### Theory to Practice OR

Dykstra says: "Pictures are for the Weak."

<table>
<thead>
<tr>
<th></th>
<th>1000</th>
<th>2000</th>
<th>4000</th>
<th>8000</th>
<th>16000</th>
<th>32000</th>
<th>64000</th>
<th>128K</th>
</tr>
</thead>
<tbody>
<tr>
<td>$O(N)$</td>
<td>$2.2 \times 10^{-5}$</td>
<td>$2.7 \times 10^{-5}$</td>
<td>$5.4 \times 10^{-5}$</td>
<td>$4.2 \times 10^{-5}$</td>
<td>$6.8 \times 10^{-5}$</td>
<td>$1.2 \times 10^{-4}$</td>
<td>$2.3 \times 10^{-4}$</td>
<td>$5.1 \times 10^{-4}$</td>
</tr>
<tr>
<td>$O(N \log N)$</td>
<td>$8.5 \times 10^{-5}$</td>
<td>$1.9 \times 10^{-4}$</td>
<td>$3.7 \times 10^{-4}$</td>
<td>$4.7 \times 10^{-4}$</td>
<td>$1.0 \times 10^{-3}$</td>
<td>$2.1 \times 10^{-3}$</td>
<td>$4.6 \times 10^{-3}$</td>
<td>$1.2 \times 10^{-2}$</td>
</tr>
<tr>
<td>$O(N^{3/2})$</td>
<td>$3.5 \times 10^{-5}$</td>
<td>$6.9 \times 10^{-4}$</td>
<td>$1.7 \times 10^{-3}$</td>
<td>$5.0 \times 10^{-3}$</td>
<td>$1.4 \times 10^{-2}$</td>
<td>$3.8 \times 10^{-2}$</td>
<td>$0.11$</td>
<td>$0.30$</td>
</tr>
<tr>
<td>$O(N^2)$</td>
<td>$3.4 \times 10^{-3}$</td>
<td>$1.4 \times 10^{-3}$</td>
<td>$4.4 \times 10^{-3}$</td>
<td>$0.22$</td>
<td>$0.86$</td>
<td>$3.45$</td>
<td>$13.79$</td>
<td>$55$</td>
</tr>
<tr>
<td>$O(N^2)$</td>
<td>$1.8 \times 10^{-3}$</td>
<td>$7.1 \times 10^{-3}$</td>
<td>$2.7 \times 10^{-2}$</td>
<td>$0.11$</td>
<td>$0.43$</td>
<td>$1.73$</td>
<td>$6.90$</td>
<td>$(27.6)$</td>
</tr>
<tr>
<td>$O(N^3)$</td>
<td>$3.40$</td>
<td>$27.26$</td>
<td>$(218)$</td>
<td>$(1745)$</td>
<td>$29$ min</td>
<td>$(13,957)$</td>
<td>$233$ min</td>
<td>$31$ hrs</td>
</tr>
</tbody>
</table>

Times in Seconds. Red indicates predicated value.
### Change between Data Points

<table>
<thead>
<tr>
<th>O(N)</th>
<th>O(NlogN)</th>
<th>O(N^{3/2})</th>
<th>O(N^2)</th>
<th>O(N^3)</th>
</tr>
</thead>
<tbody>
<tr>
<td>-</td>
<td>1.21</td>
<td>2.18</td>
<td>1.98</td>
<td>4.06</td>
</tr>
<tr>
<td>2.02</td>
<td>1.99</td>
<td>2.48</td>
<td>2.87</td>
<td>3.98</td>
</tr>
<tr>
<td>0.78</td>
<td>1.27</td>
<td>2.87</td>
<td>2.79</td>
<td>3.94</td>
</tr>
<tr>
<td>1.62</td>
<td>2.13</td>
<td>2.79</td>
<td>2.76</td>
<td>3.99</td>
</tr>
<tr>
<td>1.76</td>
<td>2.15</td>
<td>2.85</td>
<td>2.79</td>
<td>4.00</td>
</tr>
<tr>
<td>1.89</td>
<td>2.15</td>
<td>2.82</td>
<td>2.82</td>
<td>-</td>
</tr>
<tr>
<td>2.24</td>
<td>2.71</td>
<td>2.82</td>
<td>2.81</td>
<td>-</td>
</tr>
<tr>
<td>2.11</td>
<td>1.64</td>
<td>2.82</td>
<td>2.81</td>
<td>-</td>
</tr>
<tr>
<td>1.62</td>
<td>2.40</td>
<td></td>
<td></td>
<td>-</td>
</tr>
</tbody>
</table>

### Put a Cap on Time

![Graph showing results on a 2GHz laptop](image)

Value obtained by \( \frac{\text{Time}_x}{\text{Time}_{x-1}} \)

### Okay, Pictures

![Graph showing results on a 2GHz laptop](image)

No \( O(N^2) \) Data

![Graph showing results on a 2GHz laptop](image)
**10^9 instructions/sec, runtimes**

<table>
<thead>
<tr>
<th>N</th>
<th>O(log N)</th>
<th>O(N)</th>
<th>O(N log N)</th>
<th>O(N^2)</th>
</tr>
</thead>
<tbody>
<tr>
<td>10</td>
<td>0.000000003</td>
<td>0.00000001</td>
<td>0.000000033</td>
<td>0.0000001</td>
</tr>
<tr>
<td>100</td>
<td>0.000000007</td>
<td>0.00000010</td>
<td>0.000000664</td>
<td>0.0001000</td>
</tr>
<tr>
<td>1,000</td>
<td>0.000000010</td>
<td>0.00001000</td>
<td>0.00010000</td>
<td>0.001</td>
</tr>
<tr>
<td>10,000</td>
<td>0.000000013</td>
<td>0.00010000</td>
<td>0.00132900</td>
<td>0.1 min</td>
</tr>
<tr>
<td>100,000</td>
<td>0.000000017</td>
<td>0.00100000</td>
<td>0.001661000</td>
<td>10 seconds</td>
</tr>
<tr>
<td>1,000,000</td>
<td>0.000000020</td>
<td>0.001</td>
<td>0.0199</td>
<td>16.7 minutes</td>
</tr>
<tr>
<td>1,000,000,000</td>
<td>0.000000030</td>
<td>1.0 second</td>
<td>30 seconds</td>
<td>31.7 years</td>
</tr>
</tbody>
</table>

**Formal Definition of Big O (repeated)**

- **T(N) is O(F(N))** if there are positive constants c and N₀ such that T(N) ≤ cF(N) when N ≥ N₀
  - N is the size of the data set the algorithm works on
  - T(N) is a function that characterizes the *actual* running time of the algorithm
  - F(N) is a function that characterizes an upper bounds on T(N). It is a limit on the running time of the algorithm
  - c and N₀ are constants
More on the Formal Definition

- There is a point $N_0$ such that for all values of $N$ that are past this point, $T(N)$ is bounded by some multiple of $F(N)$
- Thus if $T(N)$ of the algorithm is $O(N^2)$ then, ignoring constants, at some point we can *bound* the running time by a quadratic function.
- Given a *linear* algorithm, it is *technically correct* to say the running time is $O(N^2)$. $O(N)$ is a more precise answer as to the Big O of the linear algorithm.
  - Thus the caveat “pick the most restrictive function” in Big O type questions.

What it All Means

- $T(N)$ is the actual growth rate of the algorithm
  - Can be equated to the number of executable statements in a program or chunk of code
- $F(N)$ is the function that bounds the growth rate
  - May be upper or lower bound
- $T(N)$ may not necessarily equal $F(N)$
  - Constants and lesser terms ignored because it is a *bounding function*

Other Algorithmic Analysis Tools

- **Big Omega** $T(N)$ is $\Omega(F(N))$ if there are positive constants $c$ and $N_0$ such that $T(N) \geq cF(N)$ when $N \geq N_0$
  - Big O is similar to less than or equal, an upper bound
  - Big Omega is similar to greater than or equal, a lower bound
- **Big Theta** $T(N)$ is $\Theta(F(N))$ if and only if $T(N)$ is $O(F(N))$ and $T(N)$ is $\Omega(F(N))$.
  - Big Theta is similar to equals

Relative Rates of Growth

<table>
<thead>
<tr>
<th>Analysis Type</th>
<th>Mathematical Expression</th>
<th>Relative Rates of Growth</th>
</tr>
</thead>
<tbody>
<tr>
<td>Big O</td>
<td>$T(N) = O(F(N))$</td>
<td>$T(N) \leq F(N)$</td>
</tr>
<tr>
<td>Big $\Omega$</td>
<td>$T(N) = \Omega(F(N))$</td>
<td>$T(N) \geq F(N)$</td>
</tr>
<tr>
<td>Big $\theta$</td>
<td>$T(N) = \Theta(F(N))$</td>
<td>$T(N) = F(N)$</td>
</tr>
</tbody>
</table>

"In spite of the additional precision offered by Big Theta, Big O is more commonly used, except by researchers in the algorithms analysis field" - Mark Weiss
Topic 3
Encapsulation - Implementing Classes

“And so, from Europe, we get things such as ... object-oriented analysis and design (a clever way of breaking up software programming instructions and data into small, reusable objects, based on certain abstraction principles and design hierarchies.)”

-Michael A. Cusumano, The Business Of Software

Object Oriented Programming

- Creating large programs that work turns out to be very difficult
  - DIA Automated baggage handling system
  - Ariane 5 Flight 501
  - More
- Object oriented programming is one way of managing the complexity of programming and software projects
- Break up big problems into smaller, more manageable problems

Object Oriented Programming

- "Object-oriented programming is a method of programming based on a hierarchy of classes, and well-defined and cooperating objects."
- What is a class?
- "A class is a structure that defines the data and the methods to work on that data. When you write programs in the Java language, all program data is wrapped in a class, whether it is a class you write or a class you use from the Java platform API libraries."
  - a new data type
- In other words break the problem up based on the things / data types that are part of the problem
- Not the only way
- One of many different kinds of strategies or paradigms for software development
  - functional, procedural, event driven, data flow, formal methods, agile or extreme, ...
- In 314 we will do a lot of object based programming
Example - Monopoly
If we had to start from scratch what classes would we need to create?

Encapsulation
- One of the features of object oriented languages
- Hide the data of an object (variable)
- Group operations and data together into a new data type
- Usually easier to use something than understand exactly how it works
  - microwave, car, computer, software, mp3 player

The IntList Class
- We will develop a class that models a list of ints
  - initially a pale imitation of the Java ArrayList class
- Improvement on an array of ints
  - resize automatically
  - insert easily
  - remove easily
- A list - our first data structure
  - a variable that stores other variables
- Lists maintain elements in a definite order and duplicates are allowed

Clicker 1
Our IntList class will have an array of ints instance variable (int[] container). What should the length of this internal array be?

A. less than or equal to the size of the list
B. greater than or equal to the size of the list
C. equal to the size of the list
D. some fixed amount that never changes
E. 0
When adding a new element to a list, where should the new element be added by default?

A. The beginning  
B. The end  
C. The middle  
D. A random location  
E. Don’t bother to actually add

IntList Design

- Create a new, empty IntList
  ```
  new IntList -> []
  ```
- The above is not code. It is a notation that shows what the results of operations. `[]` is an empty list.
- add to a list.
  ```
 [].add(1) -> [1]
  [1].add(5) -> [1, 5]
  [1, 5].add(4) -> [1, 5, 4]
  ```
- elements in a list have a definite order and a position.
  - zero based position or 1 based positioning?

The IntList Class

- instance variables
- constructors
  - default
  - initial capacity
    - preconditions, exceptions, postconditions, assert
  - meaning of static
- add method
- get method
- size method

The IntList Class

- testing!!
- toString
  - “beware the performance of String concatenation” – Joshua Bloch
- insert method (int pos, int value)
- remove method (int pos)
- insertAll method (int pos, IntList other)
  - kings and queens of the IntLists
Clicker 3 - Timing Experiment

- Add N elements to an initially empty IntList then call toString. Time both events. How does the time to add compare to the time to complete toString?
  
  ```java
  IntList list = new IntList();
  for (int i = 0; i < N; i++)
      list.add(i); // resize, cap * 2
  String s = list.toString();
  
  A. time to add << time for toString()
  B. time to add < time for toString()
  C. time to add ~= time for toString()
  D. time to add > time for toString()
  E. time to add >> time for toString()
  ```

Instance Variables

- Internal data
  - also called instance variables because every instance (object) of this class has its own copy of these
  - something to store the elements of the list
  - size of internal storage container?
  - if not what else is needed

- Must be clear on the difference between the internal data of an IntList object and the IntList that is being represented

- Why make internal data private?

Constructors

- For initialization of objects
- IntList constructors
  - default
  - initial capacity?
- redirecting to another constructor
  ```java
  this(10);
  ```
- class constants
  - what static means
Default add method

- where to add?
- what if not enough space?

- [] . add (3) -> [3]
- [3] . add (5) -> [3, 5]
- [3, 5] . add (3) -> [3, 5, 3]

- Testing, testing, testing!
  - a toString method would be useful

toString method

- return a Java String of list
- empty list -> []
- one element -> [12]
- multiple elements -> [12, 0, 5, 4]
- Beware the performance of String concatenation.
  - StringBuilder alternative

toString method

Clicker Question 4

What is output by the following code?

IntList list
list = new IntList(25);
System.out.println(list.size());

A. 25
B. 0
C. -1
D. unknown
E. No output due to runtime error.

get and size methods

- get
  - access element from list
  - preconditions?
  - [3, 5, 2] . get (0) returns 3
  - [3, 5, 2] . get (1) returns 5

- size
  - number of elements in the list
  - Do not confuse with the capacity of the internal storage container
  - The array is not the list!
  - [4, 5, 2] . size () returns 3
insert method

- add at someplace besides the end
  
  \[
  [3, 5].\text{insert}(1, 4) \rightarrow [3, 4, 5]
  \]

  where what

  
  \[
  [3, 4, 5].\text{insert}(0, 4) \rightarrow [4, 3, 4, 5]
  \]

- preconditions?
- overload add?
- chance for internal loose coupling

remove method

- remove an element from the list based on location
  
  \[
  [3, 4, 5].\text{remove}(0) \rightarrow [4, 5]
  \]

  \[
  [3, 5, 6, 1, 2].\text{remove}(2) \rightarrow [3, 5, 1, 2]
  \]

- preconditions?
- return value?
  - accessor methods, mutator methods, and mutator methods that return a value

Clicker 5

What is output by the following code?

```java
IntList list = new IntList();
list.add(3);
list.insert(0, 4);  // position, value
list.insert(1, 1);
list.add(5);
list.insert(2, 9);
System.out.println(list);
```

A. [4, 1, 3, 9, 5]
B. [3, 4, 1, 5, 9]
C. [4, 1, 9, 3, 5]
D. [3, 1, 4, 9, 5]
E. Something else

Clicker Question 6

What is output by the following code?

```java
IntList list = new IntList();
list.add(12);
list.add(15);
list.add(12);
list.add(17);
list.remove(1);
System.out.println(list);
```

A. [15, 17]
B. [12, 17]
C. [12, 0, 12, 17]
D. [12, 12, 17]
E. [15, 12, 17]
insertAll method

- add all elements of one list to another starting at a specified location
  
  \[5, 3, 7].\text{insertAll}(2, [2, 3]) → [5, 3, 2, 3, 7]

The parameter \([2, 3]\) would be unchanged.

- Working with other objects of the same type
  - this?
  - where is private private?
  - loose coupling vs. performance

Clicker 7 - InsertAll First Version

- What is the order of the first version of InsertAll? Assume both lists have \(N\) elements and that the insert position is halfway through the calling list.

A. \(O(1)\)
B. \(O(\log N)\)
C. \(O(N^{0.5})\)
D. \(O(N)\)
E. \(O(N^2)\)

Class Design and Implementation – Another Example

This example will not be covered in class.

The Die Class

- Consider a class used to model a die
- What is the interface? What actions should a die be able to perform?

- The methods or behaviors can be broken up into constructors, mutators, accessors
The Die Class Interface

- Constructors (used in creation of objects)
  - default, single int parameter to specify the number of sides, int and boolean to determine if should roll
- Mutators (change state of objects)
  - roll
- Accessors (do not change state of objects)
  - getResult, getNumSides, toString
- Public constants
  - DEFAULT_SIDES

Visibility Modifiers

- All parts of a class have visibility modifiers
  - Java keywords
    - public, protected, private, (no modifier means package access)
  - do not use these modifiers on local variables (syntax error)
- public means that constructor, method, or field may be accessed outside of the class.
  - part of the interface
  - constructors and methods are generally public
- private means that part of the class is hidden and inaccessible by code outside of the class
  - part of the implementation
  - data fields are generally private

The Die Class Implementation

- Implementation is made up of constructor code, method code, and private data members of the class.
  - scope of data members / instance variables
    - private data members may be used in any of the constructors or methods of a class
- Implementation is hidden from users of a class and can be changed without changing the interface or affecting clients (other classes that use this class)
  - Example: Previous version of Die class, DieVersion1.java
- Once Die class completed can be used in anything requiring a Die or situation requiring random numbers between 1 and N
  - DieTester class. What does it do?

DieTester method

```java
public static void main(String[] args) {
    final int NUM_ROLLS = 50;
    final int TEN_SIDED = 10;
    Die d1 = new Die();
    Die d2 = new Die();
    Die d3 = new Die(TEN_SIDED);
    final int MAX_ROLL = d1.getNumSides() + d2.getNumSides() + d3.getNumSides();

    for(int i = 0; i < NUM_ROLLS; i++) {
        d1.roll();
        d2.roll();
        System.out.println("d1: " + d1.getResult() +
                           " d2: " + d2.getResult() + " Total: "+
                           (d1.getResult() + d2.getResult()) );
    }
```

```
DieTester continued

```java
int total = 0;
int numRolls = 0;
do {
    d1.roll();
    d2.roll();
    d3.roll();
    total = d1.getResult() + d2.getResult() + d3.getResult();
    numRolls++;
} while(total != MAX_ROLL);
System.out.println("\n\nNumber of rolls to get " + MAX_ROLL + " was " + numRolls);
```

Correctness Sidetrack

- When creating the public interface of a class give careful thought and consideration to the contract you are creating between yourself and users (other programmers) of your class
- Use preconditions to state what you assume to be true before a method is called
  - caller of the method is responsible for making sure these are true
- Use postconditions to state what you guarantee to be true after the method is done if the preconditions are met
  - implementer of the method is responsible for making sure these are true

Precondition and Postcondition Example

```java
/* pre: numSides > 1
 post: getResult() = 1, getNumSides() = sides */
public Die(int numSides)
{
    assert (numSides > 1) : "Violation of precondition: Die(int)";
    iMyNumSides = numSides;
    iMyResult = 1;
    assert getResult() == 1 && getNumSides() == numSides;
}
```

Object Behavior - Instantiation

- Consider the DieTester class
  ```java
  Die d1 = new Die();
  Die d2 = new Die();
  Die d3 = new Die(10);
  ```
- When the new operator is invoked control is transferred to the Die class and the specified constructor is executed, based on parameter matching
- Space(memory) is set aside for the new object's fields
- The memory address of the new object is passed back and stored in the object variable (pointer)
- After creating the object, methods may be called on it.
Creating Dice Objects

```
Creating Dice Objects

<table>
<thead>
<tr>
<th>memory address</th>
<th>a Die object</th>
</tr>
</thead>
<tbody>
<tr>
<td>d1</td>
<td>6 1</td>
</tr>
<tr>
<td></td>
<td>iMySides</td>
</tr>
<tr>
<td></td>
<td>iMyResult</td>
</tr>
</tbody>
</table>

DieTester class. Sees interface of Die class
```

```
<table>
<thead>
<tr>
<th>memory address</th>
<th>a Die object</th>
</tr>
</thead>
<tbody>
<tr>
<td>d2</td>
<td>6 1</td>
</tr>
<tr>
<td></td>
<td>iMySides</td>
</tr>
<tr>
<td></td>
<td>iMyResult</td>
</tr>
</tbody>
</table>

```

```
<table>
<thead>
<tr>
<th>memory address</th>
<th>a Die object</th>
</tr>
</thead>
<tbody>
<tr>
<td>d3</td>
<td>10 1</td>
</tr>
<tr>
<td></td>
<td>iMySides</td>
</tr>
<tr>
<td></td>
<td>iMyResult</td>
</tr>
</tbody>
</table>

Die class. Sees implementation. (of Die class.)

```

Objects

- Every Die object created has its own instance of the variables declared in the class blueprint
  ```java
  private int iMySides;
  private int iMyResult;
  ```
- thus the term *instance variable*
- the instance vars are part of the hidden implementation and may be of *any* data type
  - unless they are public, which is almost always a bad idea if you follow the tenets of information hiding and encapsulation

```

Complex Objects

- What if one of the instance variables is itself an object?
- add to the Die class
  ```java
  private String myName;
  ```
```

The Implicit Parameter

- Consider this code from the Die class
  ```java
  public void roll()
  {
    iMyResult =
      ourRandomNumGen.nextInt(iMySides) + 1;
  }
  ```
- Taken in isolation this code is rather confusing.
- what is this iMyResult thing?
  - It's not a parameter or local variable
  - why does it exist?
  - *it belongs to the Die object that called this method*
  - if there are numerous Die objects in existence
  - Which one is used depends on which object called the method.
The *this* Keyword

- When a method is called it may be necessary for the calling object to be able to refer to itself — most likely so it can pass itself somewhere as a parameter
- when an object calls a method an implicit reference is assigned to the calling object
- the name of this implicit reference is *this*
- *this* is a reference to the current calling object and may be used as an object variable (may not declare it)

---

**An equals method**

- working with objects of the same type in a class can be confusing
- write an equals method for the Die class. assume every Die has a myName instance variable as well as iMyNumber and iMySides

---

**A Possible Equals Method**

```java
public boolean equals(Object otherObject) {
    Die other = (Die)otherObject;
    return iMySides == other.iMySides
        && iMyResult== other.iMyResult
        && myName.equals( other.myName );
}
```

- Declared Type of Parameter is Object not Die
- override (replace) the equals method instead of overload (present an alternate version) — easier to create generic code
- we will see the equals method is *inherited* from the Object class
- access to another object's private instance variables?
Another equals Methods

public boolean equals(Object otherObject)
{
    Die other = (Die)otherObject;
    return this.iMySides == other.iMySides
    && this.iMyNumber == other.iMyNumber
    && this.myName.equals( other.myName );
}

Using the this keyword / reference to access the implicit parameters
instance variables is unnecessary.
If a method within the same class is called within a method, the
original calling object is still the calling object.

A "Perfect" Equals Method

From Cay Horstmann's Core Java

public boolean equals(Object otherObject)
{
    // check if objects identical
    if( this == otherObject)
        return true;
    // must return false if explicit parameter null
    if(otherObject == null)
        return false;
    // if objects not of same type they cannot be equal
    if(getClass() != otherObject.getClass() )
        return false;
    // we know otherObject is a non null Die
    Die other = (Die)otherObject;
    return iMySides == other.iMySides
    && iMyNumber == other.iMyNumber
    && myName.equals( other.myName );
}

the instanceof Operator

- instanceof is a Java keyword.
- part of a boolean statement
  public boolean equals(Object otherObj)
  { if otherObj instanceof Die
    {     //now go and cast
      // rest of equals method
    }
  }
- Should not use instanceof in equals methods.
- instanceof has its uses but not in equals
  because of the contract of the equals method.

Class Variables and Class Methods

- Sometimes every object of a class does not
  need its own copy of a variable or constant
- The keyword static is used to specify
  class variables, constants, and methods
    private static Random ourRandNumGen
    = new Random();
    public static final int DEFAULT_SIDES = 6;
- The most prevalent use of static is for class
  constants.
    – if the value can't be changed why should every
      object have a copy of this non changing value
Class Variables and Constants

The Die class

<table>
<thead>
<tr>
<th>DEFAULT_SIDES</th>
<th>ourRandNumGen</th>
</tr>
</thead>
</table>

DEFAULT_SIDES = 6

A Random object
implementation
details not shown

All objects of type Die have access to the class variables and constants.

A public class variable or constant may be referred to via the class name.

Syntax for Accessing Class Variables

```
public class UseDieStatic
{
    public static void main(String[] args)
    {
        System.out.println("Die.DEFAULT_SIDES "
        + Die.DEFAULT_SIDES);
        // Any attempt to access Die.ourRandNumGen
        // would generate a syntax error
        Die d1 = new Die(10);
        System.out.println("Die.DEFAULT_SIDES "
        + Die.DEFAULT_SIDES);
        System.out.println("d1.DEFAULT_SIDES "
        + d1.DEFAULT_SIDES);
        // regardless of the number of Die objects in
        // existence, there is only one copy of DEFAULT_SIDES
        // in the Die class
    }
}
```

Static Methods

- static has a somewhat different meaning when used in a method declaration
- static methods may not manipulate any instance variables
- in non static methods, some object invokes the method
  d3.roll();
- the object that makes the method call is an implicit parameter to the method

Static Methods Continued

- Since there is no implicit object parameter sent to the static method it does not have access to a copy of any objects instance variables
  - unless of course that object is sent as an explicit parameter
- Static methods are normally utility methods or used to manipulate static variables ( class variables )
- The Math and System classes are nothing but static methods
static and this

- Why does this work (added to Die class)

```java
public class Die {
    public void outputSelf() {
        System.out.println( this );
    }
}
```

- but this doesn't?

```java
public class StaticThis {
    public static void main(String[] args) {
        System.out.println( this );
    }
}
```
Topic 4
Inheritance

“Question: What is the object oriented way of getting rich?

Answer: Inheritance.”

Features of OO Programming

- Encapsulation
  - abstraction
  - information hiding
  - breaking problem up based on data types
- Inheritance
  - code reuse
  - specialization
  - "New code using old code."

Encapsulation

- Create a program to allow people to play the game Monopoly
  - Create classes for money, dice, players, the bank, the board, chance cards, community chest cards, pieces, etc.
- Some classes use other classes. Are clients
  - the board consists of spaces
  - a player has money
  - a piece has a position
  - also referred to as composition

Inheritance

- Another kind of relationship exists between data types
- There are properties in Monopoly
  - a street is a kind of property
  - a railroad is a kind of property
  - a utility is a kind of property

- Railroad

- Utility

- Street

- Board

- Money

- Players

- Chance cards

- Community chest cards

- Pieces

- Bank
Inheritance

- In Monopoly there is the concept of a Property
- All properties have some common traits
  - they have a name
  - they have a position on the board
  - they can be owned by players
  - they have a price to buy
- But some things are different for each of the three kinds of property
  - How to determine rent when another player lands on the Property

What to Do?

- If we have a separate class for Street, Railroad, and Utility there is going to be a lot of code copied
  - hard to maintain
  - an anti-pattern
- Inheritance is a programming feature to allow data types to build on pre-existing data types without repeating code

Mechanics of Inheritance

1. extends keyword
2. inheritance of instance methods
3. inheritance of instance variables
4. object initialization and constructors
5. calling a parent constructor with super()
6. overriding methods
7. partial overriding, super.parentMethod()
8. inheritance requirement in Java
9. the Object class
10. inheritance hierarchies

Inheritance in Java

- Java is designed to encourage object oriented programming
- all classes, except one, must inherit from exactly one other class
- The Object class is the cosmic super class
  - The Object class does not inherit from any other class
  - The Object class has several important methods: toString, equals, hashCode, clone, getClass
- implications:
  - all classes are descendants of Object
  - all classes and thus all objects have a toString, equals, hashCode, clone, and getClass method
    - toString, equals, hashCode, clone normally overridden
Nomenclature of Inheritance

- In Java the *extends* keyword is used in the class header to specify which preexisting class a new class is inheriting from.
  
  ```java
  public class Student extends Person
  ```

- Person is said to be
  - the parent class of Student
  - the super class of Student
  - the base class of Student
  - an ancestor of Student

- Student is said to be
  - a child class of Person
  - a sub class of Person
  - a derived class of Person
  - a descendant of Person

---

Clicker 1

What is the primary reason for using inheritance when programming?

A. To make a program more complicated
B. To copy and paste code between classes
C. To reuse pre-existing code
D. To hide implementation details of a class
E. To ensure pre conditions of methods are met.

---

Clicker 2

What is output when the `main` method is run?

```java
public class Foo {
    public static void main(String[] args) {
        Foo f1 = new Foo();
        System.out.println(f1.toString());
    }
}
```

A. 0
B. null
C. Unknown until code is actually run.
D. No output due to a syntax error.
E. No output due to a runtime error.

---

Simple Code Example

- Create a class named Shape
  - what class does Shape inherit from
  - what methods can we call on Shape objects?
  - add instance variables for a position
  - override the `toString` method

- Create a Circle class that extends Shape
  - add instance variable for radius
  - debug and look at contents
  - try to access instance var from Shape
  - constructor calls
  - use of key word `super`
Overriding methods

- any method that is not `final` may be overridden by a descendant class
- same signature as method in ancestor
- may not reduce visibility
- may use the original method if simply want to add more behavior to existing

Constructors

- Constructors handle initialization of objects
- When creating an object with one or more ancestors (every type except Object) a chain of constructor calls takes place
- The reserved word `super` may be used in a constructor to call a one of the parent's constructors
  - must be first line of constructor
- if no parent constructor is explicitly called the default, 0 parameter constructor of the parent is called
  - if no default constructor exists a syntax error results
- If a parent constructor is called another constructor in the same class may no be called
  - no `super();this();` allowed. One or the other, not both
  - good place for an initialization method

The Keyword `super`

- `super` is used to access something (any protected or public field or method) from the super class that has been overridden
- Rectangle's `toString` makes use of the `toString` in ClosedShape by calling `super.toString()`
- without the `super` calling `toString` would result in infinite recursive calls
- Java does not allow nested supers
  `super.super.toString()` results in a syntax error even though technically this refers to a valid method, Object's `toString`
- Rectangle partially overrides ClosedShapes' `toString`

Creating a SortedIntList
A New Class

- Assume we want to have a list of ints, but that the ints must always be maintained in ascending order
  
  \([-7, 12, 37, 212, 212, 313, 313, 500]\)

  \(\text{sortedList.get(0)}\) returns the min

  \(\text{sortedList.get(list.size() - 1)}\) returns the max

Implementing \textit{SortedIntList}

- Do we have to write a whole new class?
- Assume we have an \textit{IntList} class.

\textbf{Clicker 3} - Which of the following methods have to be changed?

- \textit{add(int value)}
- \textit{int get(int location)}
- \textit{String toString()}
- \textit{int size()}
- \textit{int remove(int location)}

Overriding the \textit{add} Method

- First attempt
- Problem?
- solving with insert method
  - double edged sort
- solving with \textit{protected}
  - What \textit{protected} really means

Clicker 4

```java
public class IntList {
    private int size;
    private int[] content;
}

public class SortedIntList extends IntList {
    public SortedIntList() {
        System.out.println(size); // Output?
    }
}
```

A. 0
B. null
C. unknown until code is run
D. no output due to a compile error
E. no output due to a runtime error
Problems

- What about this method?
  void insert(int location, int val)
- What about this method?
  void insertAll(int location, IntList otherList)
- SortedIntList is not a good application of inheritance given the IntList we developed

Shape Classes

- Declare a class called ClosedShape
  - assume all shapes have x and y coordinates
  - override Object's version of toString
- Possible sub classes of ClosedShape
  - Rectangle
  - Circle
  - Ellipse
  - Square
- Possible hierarchy
  ClosedShape <- Rectangle <- Square

More Example Code

ClosedShape and Rectangle classes

A ClosedShape class

```java
public class ClosedShape
{
  private double myX;
  private double myY;

  public ClosedShape()
  {  this(0,0);  }

  public ClosedShape (double x, double y)
  {  myX = x;
      myY = y;
  }

  public String toString()
  {  return "x: " + getX() + " y: " + getY();  }

  public double getX(){ return myX; }
  public double getY(){ return myY; }
}
// Other methods not shown
```
A Rectangle Constructor

```java
public class Rectangle extends ClosedShape {
    private double myWidth;
    private double myHeight;

    public Rectangle( double x, double y,
                      double width, double height )
    {
        super(x,y);
        // calls the 2 double constructor in
        // ClosedShape
        myWidth = width;
        myHeight = height;
    }

    // other methods not shown
}
```

A Rectangle Class

```java
public class Rectangle extends ClosedShape {
    private double myWidth;
    private double myHeight;

    public Rectangle() {
        this(0, 0);
    }

    public Rectangle(double width, double height) {
        myWidth = width;
        myHeight = height;
    }

    public Rectangle(double x, double y,
                     double width, double height) {
        super(x, y);
        myWidth = width;
        myHeight = height;
    }

    public String toString() {
        return super.toString() + " width " + myWidth + " height " + myHeight;
    }
}
```

Initialization method

```java
public class Rectangle extends ClosedShape {
    private double myWidth;
    private double myHeight;

    public Rectangle() {
        init(0, 0);
    }

    public Rectangle(double width, double height) {
        init(width, height);
    }

    public Rectangle(double x, double y,
                     double width, double height) {
        super(x, y);
        init(width, height);
    }

    private void init(double width, double height) {
        myWidth = width;
        myHeight = height;
    }
}
```

Result of Inheritance

Do any of these cause a syntax error?

What is the output?

```java
Rectangle r = new Rectangle(1, 2, 3, 4);
ClosedShape s = new CloseShape(2, 3);
System.out.println( s.getX() );
System.out.println( s.getY() );
System.out.println( s.toString() );
System.out.println( r.getX() );
System.out.println( r.getY() );
System.out.println( r.toString() );
System.out.println( r.getWidth() );
```
The Real Picture

<table>
<thead>
<tr>
<th>Fields from Object class</th>
</tr>
</thead>
<tbody>
<tr>
<td>Instance variables</td>
</tr>
<tr>
<td>declared in Object</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Fields from ClosedShape class</th>
</tr>
</thead>
<tbody>
<tr>
<td>Instance Variables declared in ClosedShape</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Fields from Rectangle class</th>
</tr>
</thead>
<tbody>
<tr>
<td>Instance Variables declared in Rectangle</td>
</tr>
</tbody>
</table>

A Rectangle object

Available methods are all methods from Object, ClosedShape, and Rectangle

Access Modifiers and Inheritance

- **public**
  - accessible to all classes

- **private**
  - accessible only within that class. Hidden from all sub classes.

- **protected**
  - accessible by classes within the same package and all descendant classes

- Instance variables *should* be private
- protected methods are used to allow descendant classes to modify instance variables in ways other classes can't

Why private Vars and not protected?

- In general it is good practice to make instance variables private
  - hide them from your descendants
  - if you think descendants will need to access them or modify them provide protected methods to do this

- Why?
- Consider the following example

```
public class GamePiece
{
    private Board myBoard;
    private Position myPos;

    // whenever my position changes I must
    // update the board so it knows about the change

    protected void alterPos( Position newPos )
    {
        Position oldPos = myPos;
        myPos = newPos;
        myBoard.update( oldPos, myPos );
    }
}
```
Polymorphism

"Inheritance is new code that reuses old code. Polymorphism is old code that reuses new code." - OOP Koan

Another feature of OOP
literally “having many forms”
object variables in Java are **polymorphic**
object variables can refer to objects of their declared type AND any objects that are descendants of the declared type

```java
Property p = new Property();
p = new Railroad(); // legal!
p = new Utility(); // legal!
p = new Street();
```

Object obj1; // = what?

Data Type

- object variables have:
  - a **declared type**. Also called the static type.
  - a **dynamic type**. What is the actual type of the pointee at run time or when a particular statement is executed.

- Method calls are syntactically legal if the method is in the declared type **or any ancestor** of the declared type

- The actual method that is executed at runtime is based on the dynamic type
  - dynamic dispatch

Clicker Question 1

Consider the following class declarations:

```java
public class BoardSpace
public class Property extends BoardSpace
public class Street extends Property
public class Railroad extends Property
```

Which of the following statements would cause a syntax error? (Assume all classes have a zero argument constructor.)

A. Object obj = new Railroad();
B. Street s = new BoardSpace();
C. BoardSpace b = new Street();
D. Railroad r = new Street();
E. More than one of these
Method LookUp

- To determine if a method is legal the compiler looks in the class based on the declared type
  - if it finds it great, if not go to the super class and look there
  - continue until the method is found, or the Object class is reached and the method was never found. (Compile error)
- To determine which method is actually executed the run time system (abstractly):
  - starts with the actual run time class of the object that is calling the method
  - search the class for that method
  - if found, execute it, otherwise go to the super class and keep looking
  - repeat until a version is found
- Is it possible the runtime system won’t find a method?

Clicker Question 2

What is output by the code to the right when run?
A. !!live
B. !eggegg
C. !egglive
D. !!!
E. Something else

```java
public class Animal {
    public String bt(){ return "!"; }
}

class Mammal extends Animal {
    public String bt(){ return "live"; }
}

class Platypus extends Mammal {
    public String bt(){ return "egg"; }
}
Animal a1 = new Animal();
Animal a2 = new Platypus();
Mammal m1 = new Platypus();
System.out.print( a1.bt() );
System.out.print( a2.bt() );
System.out.print( m1.bt() );
```

Clicker Question 3

What is output by the code to the right when run? Think carefully about the dynamic type.
A. MeowWoof
B. MeowEm
C. EmWoof
D. EmEm
E. Something else

```java
public class Animal {
    public String bt() { return "!"; }
    public String speak() { return "Em"; }
    public void show() { System.out.print(speak()); }
}
public class Dog extends Animal {
    public String speak() { return "Woof"; }
}
public class Cat extends Animal {
    public void show() { System.out.print( "Meow" ); }
}
Cat patches = new Cat();
Dog velvet = new Dog();
patches.show();
velvet.show();
```

Why Bother?

- Inheritance allows programs to model relationships in the real world
  - if the program follows the model it may be easier to write
- Inheritance allows code reuse
  - complete programs faster (especially large programs)
- Polymorphism allows code reuse in another way
- Inheritance and polymorphism allow programmers to create generic algorithms
Genericity

- One of the goals of OOP is the support of code reuse to allow more efficient program development
- If an algorithm is essentially the same, but the code would vary based on the data type, genericity allows only a single version of that code to exist
- In Java, there are 2 ways of doing this
  1. polymorphism and the inheritance requirement
  2. generics

A Generic List Class

Back to IntList

- We may find IntList useful, but what if we want a List of Strings, Rectangles, Lists?
  - What if I am not sure?
- Are the List algorithms different if I am storing Strings instead of ints?
- How can we make a generic List class?

Generic List Class

- required changes
- How does toString have to change?
  - why?!?!
  - A good example of why keyword this is necessary from toString
- What can a List hold now?
- How many List classes do I need?
After altering the data type of the elements to Object in our list class, how many lines of code in the toString method, originally from the IntList class, need to be changed?

A. 0  
B. 1  
C. 2  
D. 3  
E. >= 4

How to check if two objects are equal?

if(objA == objA)  
    // does this work?

Why not this

public boolean equals(List other)

Because

public void foo(List a, Object b)
    if( a.equals(b) )
        System.out.println( same )

– what if b is really a List?

declarations method

read the javadoc carefully!

Must handle null

Parameter must be Object
    – otherwise overloading instead of overriding
    – causes

must handle cases when parameter is not same data type as calling object
    – instanceof or getClass()

don’t rely on toString and String’s equals

the createASet example

public Object[] createASet(Object[] items)
{
    /*
    pre: items != null, no elements
    of items = null
    post: return an array of Objects
    that represents a set of the elements
    in items. (all duplicates removed)
    */

    {5, 1, 2, 3, 2, 3, 1, 5} -> {5, 1, 2, 3}
createASet examples

String[] sList = {"Texas", "texas", "Texas", "Texas", "UT", "texas"};
Object[] sSet = createASet(sList);
for(int i = 0; i < sSet.length; i++)
    System.out.println( sSet[i] );

Object[] list = {"Hi", 1, 4, 3.3, true,
                new ArrayList(), "Hi", 3.3, 4};
Object[] set = createASet(list);
for(int i = 0; i < set.length; i++)
    System.out.println( set[i] );
"Get your data structures correct first, and the rest of the program will write itself."
- David Jones

Using Object

- In Java, all classes inherit from exactly one other class except Object which is at the top of the class hierarchy
  - therefore all classes are descendants of Object
- Object variables can refer to objects of their declared type and any descendants
  - polymorphism
- Thus, if the internal storage container is of type Object it can hold anything
  - primitives handled by wrapping them in objects.
  - int – Integer, char – Character
- Creating generic data structures using the Object data type and polymorphism is relatively straightforward
- Using these generic data structures leads to some difficulties
  - Casting
  - Type checking
- Code examples on the following slides

Back to our Array Based List

- Started with a list of ints
- Don't want to have to write a new list class for every data type we want to store in lists
- Moved to an array of Objects to store the elements of the list

```java
private Object[] myCon;
```
Clicker 1

What is output by the following code?

```java
GenericList list = new GenericList(); // 1
Street s = new Street("Boardwalk", 400,
    Color.BLUE);
list.add(s); // 2
System.out.print(list.get(0).getPrice()); // 3
```

A. i
B. No output due to syntax error at line // 1
C. No output due to syntax error at line // 2
D. No output due to syntax error at line // 3
E. No output due to runtime error.

---

Code Example - Casting

Assume a list class

```java
GenericList li = new GenericList();
li.add("Hi");
System.out.println(li.get(0).charAt(0));
```

// previous line has syntax error
// return type of get is Object
// Object does not have a charAt method
// compiler relies on declared type
System.out.println((String) li.get(0).charAt(0));
// must cast to a String

---

Code Example – type checking

```java
//pre: all elements of li are Monopoly Properties
public void printPrices(GenericList li) {
    for(int i = 0; i < li.size(); i++) {
        String temp = (Property) li.get(i);
        System.out.println(temp.getPrice());
    }
}
```

// what happens if pre condition not met?

---

"Fixing" the Method

```java
//pre: all elements of li are Monopoly Properties
public void printPrices(GenericList li) {
    for(int i = 0; i < li.size(); i++) {
        // GACK!!!!
        if (li.get(i) instanceof Property {
            String temp = (Property) li.get(i);
            System.out.println(temp.getPrice());
        }
    }
}
```
Clicker 2 - Too Generic?

- Does this code compile?
  ```java
  GenericList list = new GenericList();
  list.add("Olivia");
  list.add(new Integer(12));
  list.add(12); // autobox aka autowrap
  list.add(new Rectangle(1, 2, 3, 4));
  list.add(new GenericList());
  ```
  A. No
  B. Yes

Is this a bug or a feature?

Generic Types

- Java has syntax for parameterized data types
- Referred to as Generic Types in most of the literature
- A traditional parameter has a data type and can store various values just like a variable
- public void foo(int x)
- Generic Types are like parameters, but the data type for the parameter is data type
  - like a variable that stores a data type
  - this is an abstraction. Actually, all data type info is erased at compile time and replaced with casts and, typically, variables of type Object
- Making our Array List Generic
  ```java
  public class GenericList<E> {
      public void foo(int x)
      }
  ```
  Data type variables declared in class header
  ```java
  public class GenericList<E> {
      The <E> is the declaration of a data type parameter for the class
      - any legal identifier: Foo, AnyType, Element, DataTypeThisListStores
      - Sun style guide recommends terse identifiers
      The value E stores will be filled in whenever a programmer creates a new
  ```
  ```java
  GenericList<String> li = new GenericList<>();
  ```
**Modifications to GenericList**

- **instance variable**
  ```java
  private E[] myCon;
  ```

- **Parameters on**
  - add, insert, remove, insertAll

- **Return type on**
  - get

- **Changes to creation of internal storage container**
  ```java
  myCon = (E[]) new Object[DEFAULT_SIZE];
  ```

- **Constructor header does not change**

**Using Generic Types**

- **Back to Java's ArrayList**
  ```java
  ArrayList list1 = new ArrayList();
  ```
  - still allowed, a "raw" ArrayList
  - works just like our first pass at GenericList
  - casting, lack of type safety

- **ArrayList<String> list2 =**
  ```java
  new ArrayList<String>();
  ```
  - for list2 E stores String
  ```java
  list2.add( "Isabelle" );
  System.out.println( list2.get(0).charAt(2) ); //ok
  list2.add( new Rectangle() ); // syntax error
  ```
Parameters and Generic Types

- **Old version**
  
  ```java
  //pre: all elements of li are Strings
  public void printFirstChar(ArrayList li){
  }
  ```

- **New version**
  
  ```java
  //pre: none
  public void printFirstChar(ArrayList<String> li){
  }
  ```

- **Elsewhere**

  ```java
  ArrayList<String> list3 = new ArrayList<String>();
  printFirstChar(list3); // ok
  ArrayList<Integer> list4 = new ArrayList<Integer>();
  printFirstChar(list4); // syntax error
  ```

Generic Types and Subclasses

```java
ArrayList<Shape> list5 =
    new ArrayList<Shape>();
list5.add( new Rectangle() );
list5.add( new Square() );
list5.add( new Circle() );

// all okay
list5 can store Shape objects and any
descendants of Shape
```
I once attended a Java user group meeting where James Gosling (one of Java’s creators) was the featured speaker. During the memorable Q&A session, someone asked him: "If you could do Java over again, what would you change?" "I’d leave out classes," he replied. After the laughter died down, he explained that the real problem wasn’t classes per se, but rather implementation inheritance (the extends relationship). Interface inheritance (the implements relationship) is preferable.

- Allen Holub

Why interfaces?

- Interfaces allow the creation of abstract types
  - "A set of data values and associated operations that are precisely specified independent of any particular implementation."
  - multiple implementations allowed
- Interfaces allow a data type to be specified without worrying about the implementation
  - do design first
  - What will this data type do?
  - Don’t worry about implementation until design is done.
  - separation of concerns.
  - allow us to create generic algorithms

Clicker 1

How many sorts do you want to have to write?

```java
public static void selSort(double[] data) {
    for(int i = 0; i < data.length; i++) {
        int small = i;
        for(int j = i + 1; j < data.length; j++) {
            if (data[j] < data[small])
                small = j;
        }
        double temp = data[i];
        data[i] = data[small];
        data[small] = temp;
    }
}
```

A. 0  
B. 1  
C. 2  
D. 3  
E. >= 4

Interfaces

```java
public interface List<E> {
    No constructors
    No instance variables
    abstract methods
    default methods
    static methods
    class constants (prefer enums)
    public static final int DEFAULT_CAP = 10;
    public void add(E val);
    public void add(int index, E val);
    public void add(E val1, E val2);
    public E get(int index);
    public int size();
    public boolean isEmpty();
    public boolean contains(E e);
    public int add(E e);
    public int remove(E e);
    public int removeAt(int index);
    public void addAll(List<? extends E> c);
    public void clear();
    public boolean equals(Object o);
    public int hashCode();
    public String toString();
}
```

Interfaces
Implementing Interfaces

- In Java, a class inherits (extends) exactly one other class, but ...
- A class can implement as many interfaces as it likes
  
```java
public class ArrayList implements List
```
- A class that implements an interface must provide implementations of all non default method declared in the interface or the class must be abstract
- interfaces can extend other interfaces

```
Comparable<br>public class Comparable<T> {<br>  public int compareTo(T other);<br>}</tt>
```

```
compareTo must return
- an int < 0 if the calling object is less than the parameter,
- 0 if they are equal
- an int > 0 if the calling object is greater than the parameter
```

```
compareTo should be consistent with equals but this isn't required.
```

The Comparable Interface

- The Java Standard Library contains a number of interfaces – names are italicized in the class listing
- One of the most important interfaces is the Comparable interface

```
"Use interfaces to ensure a class has methods that other classes or methods will use." (In other words, clients of your class.)
  – Anthony, Spring 2013
```

- The other classes or methods may already be written.
- The other methods or classes use interface type for the parameters of methods.

POLYMORPHISM
  – old code using new code
Clicker Question 2

What is output by the following code?

Comparable c1 = new Comparable();
Comparable c2 = new Comparable();
System.out.println(c1.compareTo(c2));

A. A value < 0
B. 0
C. A value > 0
D. Unknown until program run
E. Compile error

Example `compareTo`

Suppose we have a class to model playing cards

- Ace of Spades, King of Hearts,
  Two of Clubs

- each card has a suit and a value, represented by ints
- this version of `compareTo` will compare values first and then break ties with suits

`compareTo` in a Card class

```java
public class Card implements Comparable<Card> {
    public int compareTo(Card otherCard) {
        return this.rank - other.rank;
    }
    // other methods not shown
}
```

Assume ints for ranks (2, 3, 4, 5, 6,...) and suits (0 is clubs, 1 is diamonds, 2 is hearts, 3 is spades).

Interfaces and Polymorphism

- Interfaces may be used as the data type for object variables
- Can’t simply create objects of that type
- Can refer to any objects that implement the interface or descendants

Assume `Card` implements `Comparable`

```java
Card c = new Card();
Comparable comp1 = new Card();
Comparable comp2 = c;
```
Clicker Question 3

Which of the following lines of code causes a syntax error?

Comparable c1; // A
   c1 = "Ann"; // B
Comparable c2 = "Kelly"; // C
int x = c2.compareTo(c1); // D
// E No syntax errors.

// what is x after statement?

---

Why Make More Work?

Why bother implementing an interface such as Comparable
   – objects can use method that expect an interface type

Example if I implement Comparable:
Arrays.sort(Object[] a)
public static void sort(Object[] a)
All elements in the array must implement the Comparable interface. Furthermore, all elements in the array must be mutually comparable

objects of my type can be stored in data structures that accept Comparables

---

A List Interface

What if we wanted to specify the operations for a List, but no implementation?

Allow for multiple, different implementations.

Provides a way of creating abstractions.
   – a central idea of computer science and programming.
   – specify "what" without specifying "how"
   – "Abstraction is a mechanism and practice to reduce and factor out details so that one can focus on a few concepts at a time."

---

List Interface

public interface List <E> {
   public void add(E val);
   public int size();
   public E get(int location);
   public void insert(int location, E val);
   public E remove(int location);
}

---
One Sort

public static void sort(Comparable[] data) {
    final int LIMIT = data.length - 1;
    for(int i = 0; i < LIMIT; i++) {
        int small = i;
        for(int j = i + 1; j < data.length; j++) {
            int d = data[j].compareTo(data[small]);
            if( d < 0)
                small = j;
        }
        Comparable temp = data[i];
        data[i] = data[small];
        data[small] = temp;
    } // end of i loop
} // end of sort method
"First things first, but not necessarily in that order."

- Dr. Who

**Topic 8: Iterators**

ArrayList is part of the *Java Collections Framework*.

- *Collection* is an interface that specifies the basic operations every collection (data structure) shall have.
- Some Collections don’t have a definite order
  - Sets, Maps, Graphs
- How to access all the items in a Collection with no specified order?

**Iterator Interface**

- An iterator object is a "one shot" object
  - It is designed to go through all the elements of a Collection once.
  - If you want to go through the elements of a Collection again you have to get another iterator object.
- Iterators are obtained by calling a method from the Collection.

**Iterator Interface Methods**

- The Iterator interface 3 methods we will use:
  
  ```java
  boolean hasNext()
  //returns true if this iteration has more elements
  
  E next()
  //returns the next element in this iteration
  //pre: hasNext()
  
  void remove()
  /*Removes from the underlying collection the last element returned by the iterator.
  pre: This method can be called only once per call to next.
  After calling, must call next again before calling remove again. */
  ```
Which of the following produces a syntax error?

A. I
B. II
C. III
D. I and II
E. II and III

Imagine a fence made up of fence posts and rail sections

The iterator lives on the fence posts
The data in the collection are the rails
Iterator created at the far left post
As long as a rail exists to the right of the iterator object, hasNext() is true
Fence Analogy

while(it.hasNext()) {
    i++;
    System.out.println(it.next());
}
// when i == 1, prints out Jan

first call to next moves iterator to next post and returns "Jan"

"Jan" "Levi" "Tom" "Jose"

Fence Analogy

while(it.hasNext()) {
    i++;
    System.out.println(it.next());
}
// when i == 2, prints out Levi

"Jan" "Levi" "Tom" "Jose"

Fence Analogy

while(it.hasNext()) {
    i++;
    System.out.println(it.next());
}
// when i == 3, prints out Tom

"Jan" "Levi" "Tom" "Jose"

Fence Analogy

while(it.hasNext()) {
    i++;
    System.out.println(it.next());
}
// when i == 4, prints out Jose

"Jan" "Levi" "Tom" "Jose"
Fence Analogy

while(it.hasNext()) {
    i++;
    System.out.println(it.next());
}
// call to hasNext returns false
// while loop stops

Clicker Question 2

What is output by the following code?

ArrayList<Integer> list = new ArrayList<>();
list.add(3);
list.add(3);
list.add(5);
Iterator<Integer> it = list.iterator();
System.out.print(it.next() + " ");
System.out.print(it.next() + " ");
System.out.print(it.next());

A. 3  B. 3 5  C. 3 3 5
D. 3 3  E. 3 3 then a runtime error

Typical Iterator Pattern

public void printAll(Collection<String> col) {
    Iterator<String> it = col.iterator();
    while(it.hasNext()) {
        String temp = it.next();
        System.out.println(temp);
    }
}

Typical Iterator Pattern

public void removeWordsOfLength(int len) {
    Iterator<String> it = myList.iterator()
    while(it.hasNext()) {
        String temp = it.next();
        if (temp.length() == len) {
            it.remove();
        }
    }
}
// original list = ["dog", "cat", "hat", "sat"]
// resulting list after removeWordsOfLength(3) ?

remove method

An Iterator can be used to remove things from the Collection
Can only be called once per call to next()
public void printTarget(ArrayList<String> names, int len) {
    Iterator<String> it = names.iterator();
    while(it.hasNext())
        if(it.next().length() == len)
            System.out.println(it.next());
}

Given names = ["Jan", "Ivan", "Tom", "George"] and len = 3 what is output by the printTarget method?

A. Jan Ivan Tom George
B. Jan Tom
C. Ivan George
D. No output due to syntax error
E. No output due to runtime error

The Iterable Interface

A related interface is Iterable
One method in the interface:
public Iterator<T> iterator()

Why?
Anything that implements the Iterable interface can be used in the for each loop.

ArrayList<Integer> list;
//code to create and fill list
int total = 0;
for (int x : list) {
    total += x;
}

If you simply want to go through all the elements of a Collection (or Iterable thing) use the for each loop
– hides creation of the Iterator

public void printAllOfLength(ArrayList<String> names, int len){
    //pre: names != null, names only contains Strings
    //post: print out all elements of names equal in 
    // length to len
    for (String s : names)
        if (s.length() == len)
            System.out.println(s);
}

Implementing an Iterator

Implement an Iterator for our GenericList class
– Nested Classes
– Inner Classes
– Example of encapsulation
– checking precondition on remove
– does our GenricList need an Iterator?
Comodification

- If a `Collection` (ArrayList) is changed while an iteration via an iterator is in progress an Exception will be thrown the next time the `next()` or `remove()` methods are called via the iterator.

```java
ArrayList<String> names = new ArrayList<>();
names.add("Jan");
Iterator<String> it = names.iterator();
names.add("Andy");
it.next(); // exception occurs here
```
Topic 9
Using Maps

"He's off the map!"
-Stan (Mark Ruffalo) *Eternal Sunshine of the Spotless Mind*

Data Structures

- More than arrays and lists
- Write a program to determine the frequency of all the words in a file.

Performance using ArrayList

<table>
<thead>
<tr>
<th>Title</th>
<th>Size (kb)</th>
<th>Total Words</th>
<th>Distinct Words</th>
<th>Time (sec)</th>
</tr>
</thead>
<tbody>
<tr>
<td>small sample</td>
<td>0.6</td>
<td>89</td>
<td>25</td>
<td>0.001</td>
</tr>
<tr>
<td>2BR02B</td>
<td>34</td>
<td>5,638</td>
<td>1,975</td>
<td>0.051</td>
</tr>
<tr>
<td>Alice in Wonderland</td>
<td>120</td>
<td>29,460</td>
<td>6,017</td>
<td>0.741</td>
</tr>
<tr>
<td>Adventures of Sherlock Holmes</td>
<td>581</td>
<td>107,533</td>
<td>15,213</td>
<td>4.144</td>
</tr>
<tr>
<td>2008 CIA Factbook</td>
<td>10,030</td>
<td>1,330,100</td>
<td>74,042</td>
<td>173.000</td>
</tr>
</tbody>
</table>

Order?

- Express change in size as factor of previous file

<table>
<thead>
<tr>
<th>Title</th>
<th>Size</th>
<th>Total Words</th>
<th>Distinct Words</th>
<th>Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>small sample</td>
<td>0.6</td>
<td>89</td>
<td>25</td>
<td>0.001</td>
</tr>
<tr>
<td>2BR02B</td>
<td>34</td>
<td>5,638</td>
<td>1,975</td>
<td>0.051</td>
</tr>
<tr>
<td>Alice in Wonderland</td>
<td>120</td>
<td>29,460</td>
<td>6,017</td>
<td>0.741</td>
</tr>
<tr>
<td>Adventures of Sherlock Holmes</td>
<td>581</td>
<td>107,533</td>
<td>15,213</td>
<td>4.144</td>
</tr>
<tr>
<td>2008 CIA Factbook</td>
<td>10,030</td>
<td>1,330,100</td>
<td>74,042</td>
<td>173.000</td>
</tr>
</tbody>
</table>

\(O(\text{Total Words} \times \text{Distinct Words})\) ??
Clicker 1

- Given 3 minutes for the 2008 CIA Factbook with 1,330,100 total words and 74,042 distinct words, how long for 1,000x total words and 100x distinct words?
  A. an hour
  B. a day
  C. a week
  D. a month
  E. half a year

Why So Slow??

- Write a contains method for an array based list

  ```java
  public boolean indexOf(Object o) {
  ```

A Faster Way - Maps

- Also known as:
  - table, search table, dictionary, associative array, or associative container
- A data structure optimized for a very specific kind of search / access
- In a map we access by asking "give me the value associated with this key."

Keys and Values

- Dictionary Analogy:
  - The key in a dictionary is a word: `foo`
  - The value in a dictionary is the definition: `First on the standard list of metasyntactic variables used in syntax examples`
- A key and its associated value form a pair that is stored in a map
- To retrieve a value the key for that value must be supplied
  - A List can be viewed as a Map with integer keys
More on Keys and Values

- Keys must be unique, meaning a given key can only represent one value
  - but one value may be represented by multiple keys
  - like synonyms in the dictionary.
  Example:
  *factor: n. See coefficient of X*
  - *factor* is a key associated with the same value (definition) as the key *coefficient of X*

Clicker 2

- Is it required that the keys and values of a map be the same data type?
  A. No
  B. Yes
  C. It Depends

Map `<String, List<String>>`

<table>
<thead>
<tr>
<th>Movie</th>
<th>Characters</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wizard of Oz</td>
<td>Dorothy, Toto, Scarecrow, Tin Man, Cowardly Lion</td>
</tr>
<tr>
<td>Iron Man</td>
<td>Tony Stark, Pepper Potts, Phil Coulson, Obadiah Stane</td>
</tr>
<tr>
<td>Pride and Prejudice</td>
<td>Elizabeth Bennet, Jane Bennet, Mr. Darcy, Mr. Bingley</td>
</tr>
<tr>
<td>The Avengers</td>
<td>Tony Stark, Pepper Potts, Steve Rogers, Bruce Banner</td>
</tr>
<tr>
<td>Sense and Sensibility</td>
<td>Elinor Dashwood, Marianne Dashwood, Edward Ferrars, John Willoughby, Colonel Brandon</td>
</tr>
</tbody>
</table>

The Map `<K, V>` Interface in Java

- `void clear()`
  - Removes all mappings from this map (optional operation).
- `boolean containsKey(Object key)`
  - Returns true if this map contains a mapping for the specified key.
- `boolean containsValue(Object value)`
  - Returns true if this map maps one or more keys to the specified value.
- `Set<K> keySet()`
  - Returns a Set view of the keys contained in this map.
The Map Interface Continued

- **V get(Object key)**
  - Returns the value to which this map maps the specified key. Returns null if key not present.

- **boolean isEmpty()**
  - Returns true if this map contains no key-value mappings.

- **V put(K key, V value)**
  - Associates the specified value with the specified key in this map

Results with HashMap

<table>
<thead>
<tr>
<th>Title</th>
<th>Size (kb)</th>
<th>Total Words</th>
<th>Distinct Words</th>
<th>Time List</th>
<th>Time Map</th>
</tr>
</thead>
<tbody>
<tr>
<td>small sample</td>
<td>0.6</td>
<td>89</td>
<td>25</td>
<td>0.001</td>
<td>0.0008</td>
</tr>
<tr>
<td>2BR02B</td>
<td>34</td>
<td>5,638</td>
<td>1,975</td>
<td>0.051</td>
<td>0.0140</td>
</tr>
<tr>
<td>Alice in Wonderland</td>
<td>120</td>
<td>29,460</td>
<td>6,017</td>
<td>0.741</td>
<td>0.0720</td>
</tr>
<tr>
<td>Adventures of Sherlock Holmes</td>
<td>581</td>
<td>107,533</td>
<td>15,213</td>
<td>4.144</td>
<td>0.2500</td>
</tr>
<tr>
<td>2008 CIA Factbook</td>
<td>10,030</td>
<td>1,330,100</td>
<td>74,042</td>
<td>173.000</td>
<td>4.0000</td>
</tr>
</tbody>
</table>

Order?

<table>
<thead>
<tr>
<th>Title</th>
<th>Size</th>
<th>Total Words</th>
<th>Distinct Words</th>
<th>Time List</th>
<th>Time Map</th>
</tr>
</thead>
<tbody>
<tr>
<td>small sample</td>
<td>0.6</td>
<td>89</td>
<td>25</td>
<td>0.001</td>
<td>0.0008</td>
</tr>
<tr>
<td>2BR02B</td>
<td>57x</td>
<td>63x</td>
<td>79x</td>
<td>51x</td>
<td>18x</td>
</tr>
<tr>
<td>Alice in Wonderland</td>
<td>3.5x</td>
<td>5.2x</td>
<td>3.0x</td>
<td>14.5x</td>
<td>5x</td>
</tr>
<tr>
<td>Adventures of Sherlock Holmes</td>
<td>4.8x</td>
<td>3.7x</td>
<td>2.5x</td>
<td>5.6x</td>
<td>3.5x</td>
</tr>
<tr>
<td>2008 CIA Factbook</td>
<td>17x</td>
<td>12.3x</td>
<td>5x</td>
<td>42x</td>
<td>16x</td>
</tr>
</tbody>
</table>

O(Total Words)?
Topic 10
Abstract Classes

“I prefer Agassiz in the abstract, rather than in the concrete.”
- Statue of Biologist Louis Agassiz that fell from a ledge on the Stanford Quad during the 1906 San Francisco earthquake.

A getRent Behavior

- One behavior we want in Property is the getRent method
- problem: How do I get the rent of something that is “just a Property”?

Back to the Monopoly Property Example

- There are properties on a monopoly board
- Railroads, Utilities, and Streets are kinds of properties

Property
  Railroad
  Street

The Property class

```java
public class Property {
    private int cost;
    private String name;

    public int getRent() {
        return hmmmmm?????;
    }
}
```

Doesn’t seem like we have enough information to get the rent if all we know is it is a Property.
Potential Solutions

1. Just leave it for the sub classes.
   - Have each sub class define getRent()
2. Define getRent() in Property and simply return -1.
   - Sub classes override the method with more meaningful behavior.

Fix by Casting

```java
// no getRent() in Property
public void printRents(Property[] props) {  
    for (Property p : props) {  
        if(p instanceof Railroad)  
            System.out.println(((Railroad) p).getRent());  
        else if(p instanceof Utility)  
            System.out.println(((Utility) p).getRent());  
        else if (p instanceof Street)  
            System.out.println(((Street) p).getRent());  
    }  
}
```

```java
Property[] props= new Property[2];
props[0] = new Railroad("NP", 200, 1);
props[1] = new Utility("Electric", 150, false);
printRents(props);
```

Clicker 1 - What is result of above code?
A. 200150  B. different every time  
C. Syntax error  D. Class Cast Exception  
E. Null Pointer Exception

Fix with Dummy Method

```java
// getRent() in Property returns -1
public void printRents(Property[] props) {  
    for(Property p : props) {  
        System.out.println(p.getRent());  
    }
}
```

```java
Property[] props= new Property[2];
props[0] = new Railroad("NP", 200, 1);
props[1] = new Utility("Electric", 150, false);
printRents( props);
```

What happens if sub classes don’t override getRent()?
Is that a good answer?
A Better Fix

- We know we want to be able to find the rent of objects that are instances of `Property`
- The problem is we don’t know how to do that if all we know is it a `Property`
- Make `getRent` an abstract method
- Java keyword

Making `getRent` Abstract

```java
public class Property {
    private int cost;
    private String name;

    public abstract int getRent();
    // I know I want it.
    // Just don’t know how, yet...
}
```

Methods that are declared abstract have no body an undefined behavior.

All non-default methods in a Java interface are abstract.

Problems with Abstract Methods

Given `getRent()` is now an abstract method what is wrong with the following code?

```java
Property s = new Property();
System.out.println(s.getRent());
```

If things can go wrong with a tool, provide safeguards to prevent that from happening.

Undefined Behavior = Bad

- Not good to have undefined behaviors
- If a class has 1 or more abstract methods, the class must also be declared abstract.
  - version of `Property` shown would cause a compile error
- Even if a class has zero abstract methods a programmer can still choose to make it abstract
  - if it models some abstract thing
  - is there anything that is just a “Mammal”?
Abstract Classes Safety

1. A class with one or more abstract methods must be declared abstract.
   - Syntax error if not done.
   - Can still decide to make class abstract even if no abstract methods.
2. Objects of an abstract type cannot be instantiated.
   - Just like interfaces
   - Can still declare variables of this type
3. A subclass must implement all inherited abstract methods or be abstract itself.

Abstract Classes

public abstract class Property {
    private int cost;
    private String name;

    public abstract double getRent();
    // I know I want it.
    // Just don’t know how, yet...
}

// Other methods not shown

if a class is abstract the compiler will not allow constructors of that class to be called
Property s = new Property(1, 2); //syntax error

Sub Classes of Abstract Classes

- In other words you can’t create instances of objects where the lowest or most specific class type is an abstract class
- Prevents having an object with an undefined behavior
- Why would you still want to have constructors in an abstract class?
- Object variables of classes that are abstract types may still be declared
  Property p; //okay

- Classes that extend an abstract class must provided a working version of any and all abstract methods from the parent class
  - or they must be declared to be abstract as well
  - could still decide to keep a class abstract regardless of status of abstract methods
Implementing `getRent()`

```java
public class Railroad extends Property {
    private static int[] rents = {25, 50, 100, 200};
    private int numOtherRailroadsOwned;

    public double getRent() {
        return rents[numOtherRailroadsOwned];
    }
}
```

Polymorphism in Action

```java
public void printRents(Property[] props) {
    for(Property p : props)
        System.out.println(p.getRent());
}
```

- Add the Street class. What needs to change in `printRents` method?
- Inheritance can be described as new code using old code.
- **Polymorphism can be described as old code reusing new code.**

Comparable in Property

```java
public abstract class Property implements Comparable<Property> {
    private int cost;
    private String name;

    public abstract int getRent();

    public int compareTo(Property other) {
        return this.getRent() - otherProperty.getRent();
    }
}
```
We suggested having a list interface

```java
public interface IList<E> extends Iterable<E> {
    public void add(E value);
    public int size();
    public E get(int location);
    public E remove(int location);
    public boolean contains(E value);
    public void addAll(IList<E> other);
    public boolean containsAll(IList<E> other);
}
```

Data Structures

When implementing data structures:
- Specify an interface
- Create an abstract class that is *skeletal implementation* interface
- Create classes that extend the skeletal interface
"All the kids who did great in high school writing pong games in BASIC for their Apple II would get to college, take CompSci 101, a data structures course, and when they hit the pointers business their brains would just totally explode, and the next thing you knew, they were majoring in Political Science because law school seemed like a better idea."

- Joel Spolsky

Thanks to Don Slater of CMU for use of his slides.

Dynamic Data Structures

- Dynamic data structures
  - They grow and shrink one element at a time, normally without some of the inefficiencies of arrays
  - as opposed to a static container such as an array

- Big O of Array Manipulations
  - Access the kth element
  - Add or delete an element in the middle of the array while maintaining relative order
  - adding element at the end of array? space avail? no space avail?
  - add element at beginning of an array

Object References

- Recall that an object reference is a variable that stores the address of an object

- A reference can also be called a pointer

- They are often depicted graphically:

  ![Object Reference Diagram]

  - student
  - John Smith
    - 40725
    - 3.57
References as Links

- Object references can be used to create links between objects

- Suppose a Student class contained a reference to another Student object

  John Smith
  40725
  3.57

  →

  Jane Jones
  58821
  3.72

References as Links

- References can be used to create a variety of linked structures, such as a linked list:

  studentList

Linked Lists

- A linear collection of self-referential objects, called nodes, connected by other links
  - linear: for every node in the list, there is one and only one node that precedes it (except for possibly the first node, which may have no predecessor,) and there is one and only one node that succeeds it, (except for possibly the last node, which may have no successor)
  - self-referential: a node that has the ability to refer to another node of the same type, or even to refer to itself
  - node: contains data of any type, including a reference to another node of the same data type, or to nodes of different data types
  - Usually a list will have a beginning and an end; the first element in the list is accessed by a reference to that class, and the last node in the list will have a reference that is set to null

Advantages of linked lists

- Linked lists are dynamic, they can grow or shrink as necessary

- Linked lists are non-contiguous; the logical sequence of items in the structure is decoupled from any physical ordering in memory
Nodes and Lists

- A different way of implementing a list
- Each element of a Linked List is a separate Node object.
- Each Node tracks a single piece of data plus a reference (pointer) to the next
- Create a new Node very time we add something to the List
- Remove nodes when item removed from list and allow garbage collector to reclaim that memory

A Node Class

```java
public class Node<E> {
    private E myData;
    private Node<E> myNext;

    public Node() {
        myData = null; myNext = null;
    }

    public Node(E data, Node<E> next) {
        myData = data; myNext = next;
    }

    public E getData() {
        return myData;
    }

    public Node<E> getNext() {
        return myNext;
    }

    public void setData(E data) {
        myData = data;
    }

    public void setNext(Node<E> next) {
        myNext = next;
    }
}
```

One Implementation of a Linked List

- The Nodes show on the previous slide are **singly linked**
  - a node refers only to the next node in the structure
  - it is also possible to have **doubly linked** nodes.
  - The node has a reference to the next node in the structure and the previous node in the structure as well
- How is the end of the list indicated
  - myNext = null for last node
  - a separate dummy node class / object

A Linked List Implementation

```java
public class LinkedList<E> implements IList<E> {
    private Node<E> head;
    private Node<E> tail;
    private int size;

    public LinkedList() {
        head = null;
        tail = null;
        size = 0;
    }

    LinkedList<String> list = new LinkedList<String>();
```

```plaintext
<table>
<thead>
<tr>
<th>LinkedList</th>
</tr>
</thead>
<tbody>
<tr>
<td>myHead</td>
</tr>
<tr>
<td>iMySize</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>LinkedList</th>
</tr>
</thead>
<tbody>
<tr>
<td>myTail</td>
</tr>
</tbody>
</table>
Writing Methods

- When trying to code methods for Linked Lists *draw pictures!*
  - If you don't draw pictures of what you are trying to do it is very easy to make mistakes!

```
public void add(E obj)
```

*add method*

- add to the end of list
- special case if empty
- steps on following slides
- public void add(E obj)

---

**Add Element - List Empty (Before)**

<table>
<thead>
<tr>
<th>head</th>
<th>tail</th>
<th>size</th>
</tr>
</thead>
<tbody>
<tr>
<td>null</td>
<td>null</td>
<td>0</td>
</tr>
</tbody>
</table>

**Object**

<table>
<thead>
<tr>
<th>item</th>
</tr>
</thead>
</table>

---

**Add Element - List Empty (After)**

- Node
  - String
    - myData
    - myNext
      - null

<table>
<thead>
<tr>
<th>head</th>
<th>tail</th>
<th>size</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>1</td>
</tr>
</tbody>
</table>
Add Element - List Not Empty (Before)

head

| size | 1 |

Node

| myData | myNext |

null

String

item

String

Add Element - List Not Empty (After)

head

| size | 2 |

Node

| myData | myNext |

null

Node

| myData | myNext |

null

String

String

Code for default add

- public void add(E obj)

Clicker 2

- What is the worst case Big O for adding to the end of an array based list and our LinkedList314 class? The lists already contain N items.

<table>
<thead>
<tr>
<th>Array based</th>
<th>Linked</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. O(1)</td>
<td>O(1)</td>
</tr>
<tr>
<td>B. O(N)</td>
<td>O(N)</td>
</tr>
<tr>
<td>C. O(logN)</td>
<td>O(1)</td>
</tr>
<tr>
<td>D. O(1)</td>
<td>O(N)</td>
</tr>
<tr>
<td>E. O(N)</td>
<td>O(1)</td>
</tr>
</tbody>
</table>
Code for addFront
- add to front of list
- public void addFront(E obj)
- How does this compare to adding at the front of an array based list?

Code for Insert
- public void insert(int pos, E obj)
- Must be careful not to break the chain!
- Where do we need to go?
- Special cases?

Clicker 3
- What is the Big O for adding to the front of an array based list and a linked list? The lists already contain N items.
  - Array based       Linked
    A. O(1)           O(1)
    B. O(N)           O(1)
    C. O(logN)        O(1)
    D. O(1)           O(N)
    E. O(N)           O(N)

Clicker 4
- What is the Big O for inserting an element into the middle of an array based list and into the middle of a linked list? Each list already contains N items.
  - Array based       Linked
    A. O(1)           O(1)
    B. O(1)           O(N)
    C. O(N)           O(1)
    D. O(N)           O(N)
    E. O(N)           O(logN)
Clicker Question 5

- What is the Big O for getting an element based on position from an array based list and from a linked list? Each list contains N items. In other words, get(int pos)

<table>
<thead>
<tr>
<th>Array based</th>
<th>Linked</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. O(1)</td>
<td>O(1)</td>
</tr>
<tr>
<td>B. O(1)</td>
<td>O(N)</td>
</tr>
<tr>
<td>C. O(N)</td>
<td>O(1)</td>
</tr>
<tr>
<td>D. O(logN)</td>
<td>O(N)</td>
</tr>
<tr>
<td>E. O(N)</td>
<td>O(N)</td>
</tr>
</tbody>
</table>

Code for get

- public E get(int pos)

The downside of Linked Lists

Code for remove

- public E remove(int pos)

Clicker 6

- What is the order to remove the last element of a singly linked list with references to the first and last nodes of the linked structure of nodes? The list contains N elements

A. O(1)
B. O(logN)
C. O(N^0.5)
D. O(N)
E. O(NlogN)
Why Use Linked List

- What operations with a Linked List faster than the version from ArrayList?

Clicker 7 - Getting All Elements in Order From a Linked Lists

- What is the Order (Big O) of the following code?

```java
LinkedList<Integer> list;
list = new LinkedList<Integer>();
// code to fill list with N elements

//Big O of following code? println O(1)
for(int i = 0; i < list.size(); i++)
    System.out.println( list.get(i) );
```

A. O(N)  B. O(2^N)  C. O(NlogN)
D. O(N^2)  E. O(N^3)

Iterators to the Rescue

Other Possible Features of Linked Lists

- Doubly Linked
- Circular
- Dummy Nodes for first and last node in list

```java
public class DLNode<E> {
    private E myData;
    private DLNode<E> myNext;
    private DLNode<E> myPrevious;
}
```
**Dummy Nodes**

- Use of Dummy Nodes for a Doubly Linked List removes most special cases
- Also could make the Double Linked List circular

**Doubly Linked List add**

- public void add(E obj)

**Insert for Doubly Linked List**

- public void insert(int pos, E obj)
"To a man with a hammer, everything looks like a nail"
-Mark Twain

The Program Stack

- When you invoke a method in your code what happens when that method is done?

```java
public class Mice {
    public static void main(String[] args) {
        int x = 37;
        int y = 12;
        method1(x, y);
        int z = 73;
        int m1 = method1(z, x);
        method2(x, x);
    }
    // method1 and method2 // on next slide
}
```

method1 and method2

```java
// in class Mice
public static int method1(int a, int b) {
    int r = 0;
    if (b != 0) {
        int x = a / b;
        int y = a % b;
        r = x + y;
    }
    return r;
}
public static void method2(int x, int y) {
    x++;
    y--;
    int z = method1(y, x);
    System.out.print(z);
}
```
The Program Stack

When your program is run on a processor, the commands are converted into another set of instructions and assigned memory locations. normally a great deal of expansion takes place.

```java
public static void main(String[] args) {
    int x = 37; // 1
    int y = 12; // 2
    method1(x, y); // 3
    int z = 73; // 4
    int m1 = method1(z, x); // 5
    method2(x, x); // 6
}
```

Basic CPU Operations

- A CPU works via a fetch command / execute command loop and a program counter.
- Instructions stored in memory (Instructions are data!)

```java
    int x = 37; // 1
    int y = 12; // 2
    method1(x, y); // 3
    int z = 73; // 4
    int m1 = method1(z, x); // 5
    method2(x, x); // 6
```

- What if the first instruction of the method1 is stored at memory location 50?

```java
    // in class Mice
    public static int method1(int a, int b) {
        int r = 0; // 51
        if (b != 0) { // 52
            int x = a / b; // 53
            int y = a % b; // 54
            r = x + y; // 55
        }
        return r; // 56
    }
    public static void method2(int x, int y) {
        x++; // 60
        y--; // 61
        int z = method1(y, x); // 62
        System.out.print(z); // 63
    }
```

Clicker 1 - The Program Stack

- Instruction 3 is really saying jump to instruction 50 with parameters x and y
- In general what happens when method1 finishes?
  A. program ends  B. goes to instruction 4  C. goes back to whatever method called it
Activation Records and the Program Stack

- When a method is invoked all the relevant information about the current method (variables, values of variables, next line of code to be executed) is placed in an activation record.
- The activation record is pushed onto the program stack.
- A stack is a data structure with a single access point, the top.

The Program Stack

- Data may either be added (pushed) or removed (popped) from a stack but it is always from the top.
  - A stack of dishes
  - which dish do we have easy access to?

Using Recursion

A Problem

- Write a method that determines how much space is take up by the files in a directory.
- A directory can contain files and directories.
- How many directories does our code have to examine?
- How would you add up the space taken up by the files in a single directory?
  - Hint: don't worry about any sub directories at first.
Clicker 2

How many levels of directories have to be visited?
A. 0
B. 1
C. 8
D. Infinite
E. Unknown

Sample Directory Structure

Java File Class

- **File**(String pathname) Creates a new File instance by converting the given pathname.
- **boolean isDirectory()** Tests whether the file denoted by this abstract pathname is a directory.
- **File[] listFiles()** Returns an array of abstract pathnames denoting the files in the directory denoted by this abstract pathname.

Code for `getDirectorySpace()`

```java
// pre: dir is a directory and dir != null
public static long spaceUsed(File dir) {
    if( dir == null || !dir.isDirectory())
        throw new IllegalArgumentException();
    long spaceUsed = 0;
    File[] subFilesAndDirs = dir.listFiles();
    if(subFilesAndDirs != null)
        for(File sub : subFilesAndDirs)
            if(sub != null)
                if(sub.isFile()) // sub is a plain old file
                    spaceUsed += sub.length();
                else if (sub.isDirectory())
                    // else sub is a directory
                    spaceUsed += spaceUsed(sub);
    return spaceUsed;
}
```
Is it possible to write a non recursive method to determine space taken up by files in a directory, including its subdirectories, and their subdirectories, and their subdirectories, and so forth?

A. No  
B. Yes  
C. It Depends  

```
public long getDirectorySpace(File d) {
    ArrayList<File> dirs = new ArrayList<>();
    dirs.add(d);
    long total = 0;
    while( dirs.size() > 0 ) {
        File temp = dirs.remove(dirs.size() - 1);
        File[] filesAndSubs = temp.listFiles();
        if (filesAndSubs != null) {
            for (File f : filesAndSubs) {
                if (f != null) {
                    if (f.isFile())
                        total += f.length();
                    else if (f.isDirectory())
                        dirs.add(f);
                }
            }
        }
        return total;
    }
}
```

Wisdom for Writing Recursive Methods

**The 3 plus 1 rules of Recursion**

1. Know when to stop  
2. Decide how to take one step  
3. Break the journey down into that step and a smaller journey  
4. Have faith  

From *Common Lisp: A Gentle Introduction to Symbolic Computation* by David Touretzky
Writing Recursive Methods

- Rules of Recursion
  1. Base Case: Always have at least one case that can be solved without using recursion
  2. Make Progress: Any recursive call must progress toward a base case.
  3. "You gotta believe." Always assume that the recursive call works. (Of course you will have to design it and test it to see if it works or prove that it always works.)

A recursive solution solves a small part of the problem and leaves the rest of the problem in the same form as the original.

Factorial Recursively

- Mathematical Definition of Factorial
  - for \( N \geq 0 \), \( N! \) is:
    \[
    0! = 1 \\
    N! = N \times (N - 1)! \quad (\text{for } N > 0)
    \]
  - The definition is recursive.

```java
// pre n >= 0
public int fact(int n) {
    if (n == 0)
        return 1;
    else
        return n * fact(n - 1);
} // return (n == 0) ? 1 : n * fact(n - 1);
```

Tracing Fact With the Program Stack

System.out.println( fact(4) );

N!

- the classic first recursion problem / example

\[
5! = 5 \times 4 \times 3 \times 2 \times 1 = 120
\]

```java
int res = 1;
for(int i = 2; i <= n; i++)
    res *= i;
```
Calling fact with 4

System.out.println( fact(4) );

Calling fact with 3

System.out.println( fact(4) );

Calling fact with 2

System.out.println( fact(4) );

Calling fact with 1

System.out.println( fact(4) );
Calling fact with 0 and returning 1

\[ n \begin{array}{c} 0 \end{array} \text{ in method fact} \]
returning 1 to whatever method called me

\[ n \begin{array}{c} 1 \end{array} \text{ in method fact} \]
partial result = \( n \times \text{fact}(n-1) \)

\[ n \begin{array}{c} 2 \end{array} \text{ in method fact} \]
partial result = \( n \times \text{fact}(n-1) \)

\[ n \begin{array}{c} 3 \end{array} \text{ in method fact} \]
partial result = \( n \times \text{fact}(n-1) \)

\[ n \begin{array}{c} 4 \end{array} \text{ in method fact} \]
partial result = \( n \times \text{fact}(n-1) \)

System.out.println( fact(4) );

Returning 1 from fact(1)

\[ n \begin{array}{c} 1 \end{array} \text{ in method fact} \]
partial result = \( n \times \text{fact}(n-1) \)
return 1 to whatever method called me

Returning 2 from fact(2)

\[ n \begin{array}{c} 2 \end{array} \text{ in method fact} \]
partial result = \( 2 \times 1 \),
return 2 to whatever method called me

\[ n \begin{array}{c} 3 \end{array} \text{ in method fact} \]
partial result = \( n \times \text{fact}(n-1) \)

\[ n \begin{array}{c} 4 \end{array} \text{ in method fact} \]
partial result = \( n \times \text{fact}(n-1) \)

System.out.println( fact(4) );

Returning 6 from fact(3)

\[ n \begin{array}{c} 3 \end{array} \text{ in method fact} \]
partial result = \( 3 \times 2 \),
return 6 to whatever method called me

\[ n \begin{array}{c} 4 \end{array} \text{ in method fact} \]
partial result = \( n \times \text{fact}(n-1) \)

System.out.println( fact(4) );
Returning 24 from fact(4)

\[
\begin{align*}
\text{n} & \quad 4 \\
\text{in method fact} & \\
\text{partial result} & = 4 \times 6, \\
\text{return 24 to whatever method called me} & \\
\text{top} & \rightarrow \text{System.out.println( fact(4) );}
\end{align*}
\]

Calling System.out.println

\[
\begin{align*}
\text{System.out.println( 24 );}
\end{align*}
\]

Evaluating Recursive Methods

- you must be able to evaluate recursive methods

```java
public static int mystery (int n){
    if( n == 0 )
        return 1;
    else
        return 3 * mystery(n-1);
}
```

// what is returned by mystery(3)
Evaluating Recursive Methods

- Draw the program stack!
  
  \[
  \begin{align*}
    m(3) &= 3 \times m(2) \\
    m(2) &= 3 \times m(1) \\
    m(1) &= 3 \times m(0) \\
    m(0) &= 1 \\
    \rightarrow 3^3 &= 27
  \end{align*}
  \]

  - with practice you can see the result

Clicker 4

- What is returned by \( \text{fact}(-3) \)?
  
  A. 0 
  B. 1 
  C. Infinite loop 
  D. Syntax error 
  E. Runtime error

```
public static int fact(int n) {
    if (n == 0) {
        return 1;
    } else {
        return n * fact(n - 1);
    }
}
```

Evaluating Recursive Methods

- What about multiple recursive calls?

```
public static int bar(int n) {
    if (n <= 0) {
        return 2;
    } else {
        return 3 + bar(n - 1) + bar(n - 2);
    }
}
```

Clicker 5 - What does \( \text{bar}(4) \) return?

A. 2     B. 3     C. 12     D. 22     E. 37

```
public static int bar(int n) {
    if (n <= 0) {
        return 2;
    } else {
        return 3 + bar(n - 1) + bar(n - 2);
    }
}
```
Evaluating Recursive Methods

- What is returned by \( \text{bar}(4) \)?

\[
\begin{align*}
\text{b}(4) &= 3 + \text{b}(3) + \text{b}(2) \\
\text{b}(3) &= 3 + \text{b}(2) + \text{b}(1) \\
\text{b}(2) &= 3 + \text{b}(1) + \text{b}(0) \quad //\text{substitute in results} \\
\text{b}(1) &= 3 + 2 + 2 = 7 \\
\text{b}(0) &= 2 \\
\text{b}(-1) &= 2
\end{align*}
\]

Evaluating Recursive Methods

- What is returned by \( \text{bar}(4) \)?

\[
\begin{align*}
\text{b}(4) &= 3 + \text{b}(3) + \text{b}(2) \\
\text{b}(3) &= 3 + \text{b}(2) + \text{b}(1) \\
\text{b}(2) &= 3 + 7 + 2 = 12 \\
\text{b}(1) &= 7 \\
\text{b}(0) &= 2 \\
\text{b}(-1) &= 2
\end{align*}
\]

Evaluating Recursive Methods

- What is returned by \( \text{bar}(4) \)?

\[
\begin{align*}
\text{b}(4) &= 3 + 22 + 12 = 37 \\
\text{b}(3) &= 22 \\
\text{b}(2) &= 12 \\
\text{b}(1) &= 7 \\
\text{b}(0) &= 2 \\
\text{b}(-1) &= 2
\end{align*}
\]
Recursion Practice

- Write a method raiseToPower(int base, int power)
- //pre: power >= 0

Simple recursion (also called tail recursion)

Finding the Maximum in an Array

- public int max(int[] values) {
- Helper method or create smaller arrays each time

Clicker 6

- When writing recursive methods what should be done first?
  A. Determine recursive case
  B. Determine recursive step
  C. Make a recursive call
  D. Determine base case(s)
  E. Determine the Big O

Your Meta Cognitive State

- Remember we are learning to use a tool.
- It is not a good tool for all problems.
  - In fact we will implement several algorithms and methods where an iterative (looping without recursion) solution would work just fine
- After learning the mechanics and basics of recursion the real skill is knowing what problems or class of problems to apply it to
Big O and Recursion

- Determining the Big O of recursive methods can be tricky.
- A *recurrence relation* exits if the function is defined recursively.
- The $T(N)$, actual running time, for $N!$ is recursive
- $T(N)_{fact} = T(N-1)_{fact} + O(1)$
- This turns out to be $O(N)$
  - There are N steps involved

**Common Recurrence Relations**

- $T(N) = T(N/2) + O(1) \rightarrow O(\log N)$
  - binary search
- $T(N) = T(N-1) + O(1) \rightarrow O(N)$
  - sequential search, factorial
- $T(N) = T(N/2) + T(N/2) + O(1) \rightarrow O(N)$
  - tree traversal
- $T(N) = T(N-1) + O(N) \rightarrow O(N^2)$
  - selection sort
- $T(N) = T(N/2) + T(N/2) + O(N) \rightarrow O(N\log N)$
  - merge sort
- $T(N) = T(N-1) + T(N-1) + O(1) \rightarrow O(2^N)$
  - Fibonacci
Topic 13
Recursive Backtracking

"In ancient times, before computers were invented, alchemists studied the mystical properties of numbers. Lacking computers, they had to rely on dragons to do their work for them. The dragons were clever beasts, but also lazy and bad-tempered. The worst ones would sometimes burn their keeper to a crisp with a single fiery belch. But most dragons were merely uncooperative, as violence required too much energy. This is the story of how Martin, an alchemist’s apprentice, discovered recursion by outsmarting a lazy dragon."

- David S. Touretzky, *Common Lisp: A Gentle Introduction to Symbolic Computation*

---

**Backtracking**

Problem space consists of states (nodes) and actions (paths that lead to new states). When in a node, one can only see paths to connected nodes. If a node only leads to failure, go back to its "parent" node. Try other alternatives. If these all lead to failure, then more backtracking may be necessary.

---

**Escaping a Maze**

- Which door should we take?
- A view from above

Exit out there, somewhere … we hope

---

**Escaping a Maze**

- Try door to the east

Exit out there, somewhere … we hope

A dead end!
Escaping a Maze

- Back we go

Exit out there, somewhere … we hope

- What if we knew the exit was to the south?

Exit out there, somewhere to the south!

Escaping a Maze

- Start over. What if we knew the exit was to the south?

Exit out there, somewhere to the south!

Escaping a Maze

- What if we knew the exit was to the south?

Exit out there, somewhere to the south!
Escaping a Maze

- What if we knew the exit was to the south?

Exit out there, some where to the south!

A dead end!

Doors

Current Room

Recursive Backtracking

CS314

Recursive Backtracking

CS314
Escaping a Maze

Current Room

Doors

Exit out there, somewhere to the south!

A dead end!

Another Concrete Example

- Sudoku
- 9 by 9 matrix with some numbers filled in
- all numbers must be between 1 and 9
- Goal: Each row, each column, and each mini matrix must contain the numbers between 1 and 9 once each
- no duplicates in rows, columns, or mini matrices

Solving Sudoku – Brute Force

- A brute force algorithm is a simple but generally inefficient approach
- Try all combinations until you find one that works
- This approach isn’t clever, but computers are fast
- Then try and improve on the brute force results
Solving Sudoku

- Brute force Sudoku Solution
  - if not open cells, solved
  - scan cells from left to right, top to bottom for first open cell
  - When an open cell is found, start cycling through digits 1 to 9.
  - When a digit is placed, check that the setup is legal
  - now solve the board

Clicker 1

- After placing a number in a cell is the remaining problem very similar to the original problem?
  A. No
  B. Yes

Later Steps

uh oh!

Sudoku – A Dead End

- We have reached a dead end in our search
- With the current setup none of the nine digits work in the top right corner
Backing Up

- When the search reaches a dead end in **backs up** to the previous cell it was trying to fill and goes onto to the next digit.
- We would back up to the cell with a 9 and that turns out to be a dead end as well so we back up again. - so the algorithm needs to remember what digit to try next.
- Now in the cell with the 8. We try 1 and 9 and move forward again.

Characteristics of Brute Force and Backtracking

- Brute force algorithms are slow.
- The first pass attempts typically don't employ a lot of logic.
- But, brute force algorithms are fairly easy to implement as a first pass solution.
  - many backtracking algorithms are brute force algorithms.

Key Insights

- After trying placing a digit in a cell we want to solve the new sudoku board.
  - Isn't that a smaller (or simpler version) of the same problem we started with?!?!?!
- After placing a number in a cell the we need to remember the next number to try in case things don't work out.
- We need to know if things worked out (found a solution) or they didn't, and if they didn't try the next number.
- If we try all numbers and none of them work in our cell we need to **report back** that things didn't work.

Clicker 2

- Grace 2019 Asked: When we reach the base case in the solveSudoku method (9 x 9 board) and before we return true, how many stack frames are on the program stack of the solveSudoku method? Pick the closest answer.
  
  A. \( \leq 9 \)
  B. 82
  C. \( 81^9 \)
  D. \( 9^{81} \)
  E. cannot determine
Recursive Backtracking

- Problems such as Suduko can be solved using recursive backtracking
- Recursive because later versions of the problem are just slightly simpler versions of the original
- Backtracking because we may have to try different alternatives

Goals of Backtracking

- Possible goals
  - Find a path to success
  - Find all paths to success
  - Find the best path to success
- Not all problems are exactly alike, and finding one success node may not be the end of the search

Pseudo code for recursive backtracking algorithms – looking for a solution

If at a solution, report success
for (every possible choice from current state / node)
  Make that choice and take one step along path
  Use recursion to try to solve the problem for the new node / state
  If the recursive call succeeds, report the success to the previous level
  Otherwise Back out of the current choice to restore the state at the start of the loop.
Report failure

The 8 N Queens Problem
The 8 Queens Problem

- A classic chess puzzle
  - Place 8 queen pieces on a chess board so that none of them can attack one another

The N Queens Problem

- Place N Queens on an N by N chessboard so that none of them can attack each other
- Number of possible placements?
- In 8 x 8
  \[
  64 \times 63 \times 62 \times 61 \times 60 \times 59 \times 58 \times 57 = 178,462,987,637,760 / 8!
  \]
  \[
  = 4,426,165,368
  \]
  \[n \choose k\]
  - How many ways can you choose k things from a set of n items?
  - In this case there are 64 squares and we want to choose 8 of them to put queens on

Clicker 3

- For a safe solution, how many queens can be placed in a given column?
  A. 0
  B. 1
  C. 2
  D. 3
  E. Any number

Reducing the Search Space

- The previous calculation includes set ups like this one
  - Includes lots of set ups with multiple queens in the same column
  - How many queens can there be in one column?
  - Number of set ups
    \[
    8 \times 8 \times 8 \times 8 \times 8 \times 8 \times 8 \times 8 = 16,777,216
    \]
  - We have reduced search space by two orders of magnitude by applying some logic
**A Solution to 8 Queens**

- If number of queens is fixed and I realize there can’t be more than one queen per column I can iterate through the rows for each column

```java
for(int r0 = 0; r0 < 8; r0++){
    board[r0][0] = 'q';
    for(int r1 = 0; r1 < 8; r1++){
        board[r1][1] = 'q';
        for(int r2 = 0; r2 < 8; r2++){
            board[r2][2] = 'q';
            // a little later
            for(int r7 = 0; r7 < 8; r7++){
                board[r7][7] = 'q';
                if( queensAreSafe(board) )
                    printSolution(board);
                board[r7][7] = ' '; //pick up queen
            }
            board[r6][6] = ' '; // pick up queen
        }
    }
}
```

**N Queens**

- The *problem* with N queens is you don’t know how many for loops to write.
- Do the problem recursively
- Write recursive code with class and demo
  – show backtracking with breakpoint and debugging option

**Recursive Backtracking**

- You must practice!!!
- Learn to recognize problems that fit the pattern
- Is a *kickoff* method needed?
- All solutions or a solution?
- Reporting results and acting on results

**Minesweeper**
Minesweeper Reveal Algorithm

- Minesweeper
- click a cell
  - if bomb game over
  - if cell that has 1 or more bombs on border then reveal the number of bombs that border cell
  - if a cell that has 0 bombs on border then reveal that cell as a blank and click on the 8 surrounding cells

Another Backtracking Problem
A Simple Maze

Search maze until way out is found. If no way out possible report that.

The Local View
Which way do I go to get out?

Behind me, to the South is a door leading South

Modified Backtracking Algorithm for Maze

- If the current square is outside, return TRUE to indicate that a solution has been found.
- If the current square is marked, return FALSE to indicate that this path has been tried.
- Mark the current square.
- for (each of the four compass directions)
  { if (this direction is not blocked by a wall)
    { Move one step in the indicated direction from the current square.
      Try to solve the maze from there by making a recursive call.
      If this call shows the maze to be solvable, return TRUE to indicate that fact.
    }
  }
- Unmark the current square.
- Return FALSE to indicate that none of the four directions led to a solution.
Backtracking in Action

The crucial part of the algorithm is the for loop that takes us through the alternatives from the current square. Here we have moved to the North.

```c
for (dir = North; dir <= West; dir++)
{
    if (!WallExists(pt, dir))
    {  
        SolveMaze(AdjacentPoint(pt, dir))
        return(TRUE);
    }
}
```

Here we have moved North again, but there is a wall to the North. East is also blocked, so we try South. That call discovers that the square is marked, so it just returns.

So the next move we can make is West.

Where is this leading?

This path reaches a dead end.

Time to backtrack!

Remember the program stack!
The recursive calls end and return until we find ourselves back here.

And now we try South.

Path Eventually Found

More Backtracking Problems
Other Backtracking Problems

- Knight's Tour
- Regular Expressions
- Knapsack problem / Exhaustive Search
  - Filling a knapsack. Given a choice of items with various weights and a limited carrying capacity find the optimal load out. 50 lb. knapsack. items are 1 40 lb, 1 32 lb, 2 22 lbs, 1 15 lb, 1 5 lb. A greedy algorithm would choose the 40 lb item first. Then the 5 lb. Load out = 45lb. Exhaustive search 22 + 22 + 5 = 49.

The CD problem

- We want to put songs on a Compact Disc. 650MB CD and a bunch of songs of various sizes.

    If there are no more songs to consider return result
    else{
        Consider the next song in the list.
        Try not adding it to the CD so far and use recursion to evaluate best without it.
        Try adding it to the CD, and use recursion to evaluate best with it
        Whichever is better is returned as absolute best from here
    }

Another Backtracking Problem

- Airlines give out frequent flier miles as a way to get people to always fly on their airline.
- Airlines also have partner airlines. Assume if you have miles on one airline you can redeem those miles on any of its partners.
- Further assume if you can redeem miles on a partner airline you can redeem miles on any of its partners and so forth...
  - Airlines don't usually allow this sort of thing.
- Given a list of airlines and each airlines partners determine if it is possible to redeem miles on a given airline A on another airline B.

Airline List – Part 1

- Delta
  - partners: Air Canada, Aero Mexico, OceanAir
- United
  - partners: Aria, Lufthansa, OceanAir, Quantas, British Airways
- Northwest
  - partners: Air Alaska, BMI, Avolar, EVA Air
- Canjet
  - partners: Girjet
- Air Canada
  - partners: Areo Mexico, Delta, Air Alaska
- Aero Mexico
  - partners: Delta, Air Canada, British Airways
Airline List - Part 2

- Ocean Air
  - partners: Delta, United, Quantas, Avolar
- AlohaAir
  - partners: Quantas
- Aria
  - partners: United, Lufthansa
- Lufthansa
  - partners: United, Aria, EVA Air
- Quantas
  - partners: United, OceanAir, AlohaAir
- BMI
  - partners: Northwest, Avolar
- Maxair
  - partners: Southwest, Girjet

Problem Example

- If I have miles on Northwest can I redeem them on Aria?
- Partial graph:

Airline List - Part 3

- Girjet
  - partners: Southwest, Canjet, Maxair
- British Airways
  - partners: United, Aero Mexico
- Air Alaska
  - partners: Northwest, Air Canada
- Avolar
  - partners: Northwest, Ocean Air, BMI
- EVA Air
  - partners: Northwest, Lufthansa
- Southwest
  - partners: Girjet, Maxair
"There's nothing in your head the sorting hat can't see. So try me on and I will tell you where you ought to be."
- The Sorting Hat, *Harry Potter and the Sorcerer's Stone*

**Searching**

Given an array or list of data find the location of a particular value or report that value is not present

- **linear search**
  - intuitive approach?
  - start at first item
  - is it the one I am looking for?
  - if not go to next item
  - repeat until found or all items checked

If items not sorted or unsortable this approach is necessary

**Sorting and Searching**

- Fundamental problems in computer science and programming
- Sorting done to make searching easier
- Multiple different algorithms to solve the same problem
  - How do we know which algorithm is "better"?
- Look at searching first
- Examples use arrays of ints to illustrate algorithms
Linear Search

/* pre: data != null
   post: return the index of the first occurrence
       of target in data or -1 if target not present in
       data */

public int linearSearch(int[] data, int target) {
    for(int i = 0; i < data.length; i++)
        if( data[i] == target )
            return i;
    return -1;
}

Linear Search, Generic

/* pre: data != null, no elements of data == null
   target != null
   post: return the index of the first occurrence
       of target in data or -1 if target not present in
       data */

public int linearSearch(Object[] data, Object target) {
    for(int i = 0; i < data.length; i++)
        if(target.equals(data[i]))
            return i;
    return -1;
}

T(N)? Big O? Best case, worst case, average case?

Clicker 1

▶ What is the average case Big O of linear search in an array with N items, if an item is present once?
A. O(1)
B. O(logN)
C. O(N)
D. O(NlogN)
E. O(N²)

Searching in a Sorted Array or List

▶ If items are sorted then we can divide and conquer
▶ dividing your work in half with each step
   – generally a good thing
▶ The Binary Search with array in ascending order
   – Start at middle of list
   – is that the item?
   – If not is it less than or greater than the item?
   – less than, move to second half of list
   – greater than, move to first half of list
   – repeat until found or sub list size = 0
Binary Search

Is middle item what we are looking for? If not is it more or less than the target item? (Assume lower)

```
public static int bsearch(int[] data, int target) {
    int indexOfTarget = -1;
    int low = 0;
    int high = data.length - 1;
    while(indexOfTarget == -1 && low <= high) {
        int mid = low + ((high - low) / 2);
        if(data[mid] == target)
            indexOfTarget = mid;
        else if(data[mid] < target)
            low = mid + 1;
        else
            high = mid - 1;
    }
    return indexOfTarget;
}
```

// mid = (low + high) / 2; // may overflow!!!
// or mid = (low + high) >>> 1; using bitwise op

Binary Search in Action

Trace When Key == 3
Trace When Key == 30
Variables of Interest?

Clicker 2

What is the worst case Big O of binary search in an array with N items, if an item is present?

A. O(1)
B. O(logN)
C. O(N)
D. O(NlogN)
E. O(N²)
Generic Binary Search

```java
public static <T extends Comparable<? super T>> int bsearch(T[] data, T target) {
    int result = -1;
    int low = 0;
    int high = data.length - 1;
    while (result == -1 && low <= high) {
        int mid = low + ((high - low) / 2);
        int compareResult = target.compareTo(data[mid]);
        if (compareResult == 0)
            result = mid;
        else if (compareResult > 0)
            low = mid + 1;
        else
            high = mid - 1; // compareResult < 0
    }
    return result;
}
```

Recursive Binary Search

```java
public static int bsearch(int[] data, int target) {
    return bsearch(data, target, 0, data.length - 1);
}

public static int bsearch(int[] data, int target, int low, int high) {
    if (low <= high) {
        int mid = low + ((high - low) / 2);
        if (data[mid] == target)
            return mid;
        else if (data[mid] > target)
            return bsearch(data, target, low, mid - 1);
        else
            return bsearch(data, target, mid + 1, high);
    }
    return -1;
}
```

// Clicker 3 Is this a recursive backtracking algorithm?
A. NO
B. YES

Other Searching Algorithms

- Interpolation Search
  - more like what people really do
- Indexed Searching
- Binary Search Trees
- Hash Table Searching
- best-first
- A*

Sorting

U.S. All-time List - Marathon
As of 4/24/08

<table>
<thead>
<tr>
<th>Women</th>
<th>Time</th>
<th>Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1:39:36</td>
<td>Dana Kastor new Bronx</td>
</tr>
<tr>
<td>2</td>
<td>2:21:16</td>
<td>Alberto Juarez</td>
</tr>
<tr>
<td>3</td>
<td>2:21:23</td>
<td>Joan Benoit Samuelson</td>
</tr>
<tr>
<td>4</td>
<td>2:24:25</td>
<td>Kastor (2)</td>
</tr>
<tr>
<td>5</td>
<td>2:24:32</td>
<td>Benoit (2)</td>
</tr>
<tr>
<td>6</td>
<td>2:24:37</td>
<td>Benoit (3)</td>
</tr>
<tr>
<td>7</td>
<td>2:24:38</td>
<td>Julie Brown</td>
</tr>
<tr>
<td>8</td>
<td>2:26:05</td>
<td>Kim Jnr</td>
</tr>
</tbody>
</table>

Tasks
- enter new task
- get groceries
- new task
- bean task
- delete task

Status
- priority
- subject
- due date
- options

Sprints
- bricks
- group
- active
- in progress
- goal
- status
- sort by
- filter
- start date
- options...
Sorting

- A fundamental application for computation
- Done to make finding data (searching) faster
- Many different algorithms for sorting
- One of the difficulties with sorting is working with a fixed size storage container (array)
  - if resize, that is expensive (slow)
- The simple sorts are slow
  - bubble sort
  - selection sort
  - insertion sort

Selection sort

- Algorithm
  - Search through the data and find the smallest element
  - swap the smallest element with the first element
  - repeat starting at second element and find the second smallest element

```java
public static void selectionSort(int[] data) {
    for (int i = 0; i < data.length - 1; i++) {
        int min = i;
        for (int j = i + 1; j < data.length; j++)
            if (data[j] < data[min])
                min = j;
        int temp = data[i];
        data[i] = data[min];
        data[min] = temp;
    }
}
```

Selection Sort in Practice

44 68 191 119 119 37 83 82 191 45 158 130 76 153 39 25

What is the T(N), actual number of statements executed, of the selection sort code, given an array of N elements? What is the Big O?

Generic Selection Sort

```java
public static <T extends Comparable<? super T>>
void selectionSort(T[] data) {
    for (int i = 0; i < data.length - 1; i++) {
        int min = i;
        for (int j = i + 1; j < data.length; j++)
            if (data[min].compareTo(data[j]) > 0)
                min = j;
        T temp = data[i];
        data[i] = data[min];
        data[min] = temp;
    }
}
```
Insertion Sort

- Another of the $O(N^2)$ sorts
- The first item is sorted
- Compare the second item to the first
  - if smaller swap
- Third item, compare to item next to it
  - need to swap
  - after swap compare again
- And so forth...

Insertion Sort Code

```java
class InsertionSort {
    public void insertionSort(int[] data) {
        for (int i = 1; i < data.length; i++) {
            int temp = data[i];
            int j = i;
            while (j > 0 && temp < data[j - 1]) {
                // swap elements
                data[j] = data[j - 1];
                data[j - 1] = temp;
                j--;
            }
        }
    }
}
```

Best case, worst case, average case Big O?

Clicker 4 - Comparing Algorithms

- Which algorithm do you think has a smaller $T(N)$ given random data, selection sort or insertion sort?
  A. Insertion Sort
  B. Selection Sort
  C. About the same
Topic 15
Implementing and Using Stacks

"stack n.
The set of things a person has to do in the future. "I haven't done it yet because every time I pop my stack something new gets pushed." If you are interrupted several times in the middle of a conversation, "My stack overflowed" means "I forget what we were talking about."

-The Hacker's Dictionary

Friedrich L. Bauer
German computer scientist who proposed "stack method of expression evaluation" in 1955.

Stacks

- Access is allowed only at one point of the structure, normally termed the top of the stack
  - access to the most recently added item only
- Operations are limited:
  - push (add item to stack)
  - pop (remove top item from stack)
  - top (get top item without removing it)
  - isEmpty
- Described as a "Last In First Out" (LIFO) data structure

Stack Operations

Assume a simple stack for integers.
Stack<Integer> s = new Stack<Integer>();
s.push(12);
s.push(4);
s.push( s.top() + 2 );
s.pop();
s.push( s.top() );
//what are contents of stack?
Stack Operations

Write a method to print out contents of stack in reverse order.

Uses of Stacks

- The runtime stack used by a process (running program) to keep track of methods in progress
- Search problems
- Undo, redo, back, forward

Clicker 1 - What is Output?

Stack<Integer> s = new Stack<>();
// put stuff in stack
for(int i = 0; i < 5; i++)
s.push(i);
// Print out contents of stack
// while emptying it.
// Assume there is a size method.
for(int i = 0; i < s.size(); i++)
    System.out.print(s.pop() + " ");
A 0 1 2 3 4  D 2 3 4
B 4 3 2 1 0  E No output due
C 4 3 2  

Corrected Version

Stack<Integer> s = new Stack<Integer>();
// put stuff in stack
for(int i = 0; i < 5; i++)
s.push(i);
// print out contents of stack
// while emptying it
int limit = s.size();
for(int i = 0; i < limit; i++)
    System.out.print(s.pop() + " ");
// or
// while(!s.isEmpty())
// System.out.println(s.pop());
Implementing a stack

- need an underlying collection to hold the elements of the stack
- 2 obvious choices
  - native array
  - a list!!!
- Adding a layer of abstraction. A HUGE idea.
- array implementation
- linked list implementation

Adding a layer of abstraction. A HUGE idea.

https://xkcd.com/2347/

Mathematical Calculations

- What does 3 + 2 * 4 equal?
  2 * 4 + 3?  3 * 2 + 4?
- The precedence of operators affects the order of operations.
- A mathematical expression cannot simply be evaluated left to right.
- A challenge when evaluating a program.
- *Lexical analysis* is the process of interpreting a program.

What about 1 - 2 - 4 ^ 5 * 3 * 6 / 7 ^ 2 ^ 3

Applications of Stacks

Infix and Postfix Expressions

- The way we are use to writing expressions is known as infix notation
- Postfix expression does not require any precedence rules
- 3 2 * 1 + is postfix of 3 * 2 + 1
- evaluate the following postfix expressions and write out a corresponding infix expression:
  2 3 2 4 * + *
  1 2 3 4 ^ * +
  1 2 - 3 2 ^ 3 * 6 / +
  2 5 ^ 1 -
Clicker Question 2

What does the following postfix expression evaluate to?
6 3 2 + *

A. 11
B. 18
C. 24
D. 30
E. 36

Evaluation of Postfix Expressions

Easy to do with a stack

given a proper postfix expression:
– get the next token
– if it is an operand push it onto the stack
– else if it is an operator
  • pop the stack for the right hand operand
  • pop the stack for the left hand operand
  • apply the operator to the two operands
  • push the result onto the stack
– when the expression has been exhausted the result is the top (and only element) of the stack

Infix to Postfix

Convert the following equations from infix to postfix:
2 ^ 3 ^ 3 + 5 * 1
11 + 2 - 1 * 3 / 3 + 2 ^ 2 / 3

Problems:
Negative numbers?
parentheses in expression

Infix to Postfix Conversion

Requires operator precedence parsing algorithm
parse v. To determine the syntactic structure of a sentence or other utterance

Operands: add to expression
Close parenthesis: pop stack symbols until an open parenthesis appears

Operators:
Have an on stack and off stack precedence
Pop all stack symbols until a symbol of lower precedence appears. Then push the operator

End of input: Pop all remaining stack symbols and add to the expression
### Simple Example

**Infix Expression:** $3 + 2 \times 4$

**Postfix Expression:**

**Operator Stack:**

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Off Stack Precedence</th>
<th>On Stack Precedence</th>
</tr>
</thead>
<tbody>
<tr>
<td>+</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>-</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>$\times$</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>$\div$</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>$^\wedge$</td>
<td>10</td>
<td>9</td>
</tr>
<tr>
<td>(</td>
<td>20</td>
<td>0</td>
</tr>
</tbody>
</table>

### Simple Example

**Infix Expression:** $+ 2 \times 4$

**Postfix Expression:** 3

**Operator Stack:**

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Off Stack Precedence</th>
<th>On Stack Precedence</th>
</tr>
</thead>
<tbody>
<tr>
<td>+</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>-</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>$\times$</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>$\div$</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>$^\wedge$</td>
<td>10</td>
<td>9</td>
</tr>
<tr>
<td>(</td>
<td>20</td>
<td>0</td>
</tr>
</tbody>
</table>

### Simple Example

**Infix Expression:** $2 \times 4$

**Postfix Expression:**

**Operator Stack:** $+$

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Off Stack Precedence</th>
<th>On Stack Precedence</th>
</tr>
</thead>
<tbody>
<tr>
<td>+</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>-</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>$\times$</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>$\div$</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>$^\wedge$</td>
<td>10</td>
<td>9</td>
</tr>
<tr>
<td>(</td>
<td>20</td>
<td>0</td>
</tr>
</tbody>
</table>

### Simple Example

**Infix Expression:** $* 4$

**Postfix Expression:** 3 2

**Operator Stack:** $+$

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Off Stack Precedence</th>
<th>On Stack Precedence</th>
</tr>
</thead>
<tbody>
<tr>
<td>+</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>-</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>$\times$</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>$\div$</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>$^\wedge$</td>
<td>10</td>
<td>9</td>
</tr>
<tr>
<td>(</td>
<td>20</td>
<td>0</td>
</tr>
</tbody>
</table>
Simple Example

Infix Expression: 4
PostFix Expression: 3 2
Operator Stack: + *

Precedence Table

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Off Stack Precedence</th>
<th>On Stack Precedence</th>
</tr>
</thead>
<tbody>
<tr>
<td>+</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>-</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>*</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>/</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>^</td>
<td>10</td>
<td>9</td>
</tr>
<tr>
<td>(</td>
<td>20</td>
<td>0</td>
</tr>
</tbody>
</table>

Simple Example

Infix Expression: 3 2 4 *
PostFix Expression: 3 2 4 *
Operator Stack: *

Precedence Table

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Off Stack Precedence</th>
<th>On Stack Precedence</th>
</tr>
</thead>
<tbody>
<tr>
<td>+</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>-</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>*</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>/</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>^</td>
<td>10</td>
<td>9</td>
</tr>
<tr>
<td>(</td>
<td>20</td>
<td>0</td>
</tr>
</tbody>
</table>

Simple Example

Infix Expression: 3 2 4 *
PostFix Expression: 3 2 4 *
Operator Stack: *

Precedence Table

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Off Stack Precedence</th>
<th>On Stack Precedence</th>
</tr>
</thead>
<tbody>
<tr>
<td>+</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>-</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>*</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>/</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>^</td>
<td>10</td>
<td>9</td>
</tr>
<tr>
<td>(</td>
<td>20</td>
<td>0</td>
</tr>
</tbody>
</table>

Simple Example

Infix Expression: 3 2 4 *
PostFix Expression: 3 2 4 *
Operator Stack: *

Precedence Table

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Off Stack Precedence</th>
<th>On Stack Precedence</th>
</tr>
</thead>
<tbody>
<tr>
<td>+</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>-</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>*</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>/</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>^</td>
<td>10</td>
<td>9</td>
</tr>
<tr>
<td>(</td>
<td>20</td>
<td>0</td>
</tr>
</tbody>
</table>
**Example**

11 + 2 ^ 4 ^ 3 - ((4 + 5) * 6 ) ^ 2

Show algorithm in action on above equation

---

**Balanced Symbol Checking**

- In processing programs and working with computer languages there are many instances when symbols must be balanced 
  `{ }, [ ], ( )`

A stack is useful for checking symbol balance. When a closing symbol is found it must match the most recent opening symbol of the same type.

- Applicable to checking html and xml tags!

---

**Algorithm for Balanced Symbol Checking**

- Make an empty stack
- read symbols until end of file
  - if the symbol is an opening symbol push it onto the stack
  - if it is a closing symbol do the following
    - if the stack is empty report an error
    - otherwise pop the stack. If the symbol popped does not match the closing symbol report an error
- At the end of the file if the stack is not empty report an error

---

**Algorithm in practice**

- `list[i] = 3 * ( 44 - method( foo( list[ 2 * (i + 1) + foo( list[i - 1] ) ] ) / 2 * ) - list[ method(list[0])];`

- Complications
  - when is it not an error to have non matching symbols?

- Processing a file
  - *Tokenization*: the process of scanning an input stream. Each independent chunk is a token.

- Tokens may be made up of 1 or more characters
"FISH queue: n.
[acronym, by analogy with FIFO (First In, First Out)] ‘First In, Still Here’. A joking way of pointing out that processing of a particular sequence of events or requests has stopped dead. Also FISH mode and FISHnet; the latter may be applied to any network that is running really slowly or exhibiting extreme flakiness."
- The Jargon File 4.4.7

Queue Properties
- Queues are a first in first out data structure
  - FIFO (or LILO, but that sounds a bit silly)
- Add items to the end of the queue
- Access and remove from the front
  - Access to the element that has been in the structure the *longest* amount of time
- Used extensively in operating systems
  - Queues of processes, I/O requests, and much more

Queues in Operating Systems
- On a computer with N cores on the CPU, but more than N processes, how many processes can actually be executing at one time?
- One job of OS, schedule the processes for the CPU
Queue operations

- void enqueue(E item)
  - a.k.a. add(E item)
- E front()
  - a.k.a. E peek()
- E dequeue()
  - a.k.a. E remove()
- boolean isEmpty()

Specify methods in an interface, allow multiple implementations.

Queue interface, version 1

```java
public interface Queue314<E> {
    // place item at back of this queue
    public void enqueue(E item);

    // access item at front of this queue
    // pre: !isEmpty()
    public E front();

    // remove item at front of this queue
    // pre: !isEmpty()
    public E dequeue();

    public boolean isEmpty();
}
```

Implementing a Queue

- Given the internal storage container and choice for front and back of queue what are the Big O of the queue operations?
  - ArrayList
  - LinkedList (Singly Linked)
  - LinkedList (Doubly Linked)

- enqueue
- front
- dequeue
- isEmpty

Clicker 1

- If implementing a queue with a singly linked list with references to the first and last nodes (head and tail) which end of the list should be the front of the queue in order to have all queue operations O(1)?
  A. The front of the list should be the front of the queue.
  B. The back of the list should be the front of the queue.
  C. Either end will work to make all ops O(1).
  D. Neither end will allow all ops to be O(1).
Alternate Implementation

- How about implementing a Queue with a native array?
  - Seems like a step backwards

Application of Queues

- Radix Sort
  - radix is a synonym for base. base 10, base 2
- Multi pass sorting algorithm that only looks at individual digits during each pass
- Use queues as buckets to store elements
- Create an array of 10 queues
- Starting with the least significant digit place value in queue that matches digit
- Empty queues back into array
- Repeat, moving to next least significant digit

Radix Sort in Action: 1s place

- original values in array
  9, 113, 70, 86, 12, 93, 37, 40, 252, 7, 79, 12
- Look at ones place
  9, 113, 70, 86, 12, 93, 37, 40, 252, 7, 79, 12
- Array of Queues (all empty initially):
  0  5
  1  6
  2  7
  3  8
  4  9

Radix Sort in Action: 1s

- original values in array
  9, 113, 70, 86, 12, 93, 37, 40, 252, 7, 79, 12
- Look at ones place
  9, 113, 70, 86, 12, 93, 37, 40, 252, 7, 79, 12
- Queues:
  0  70, 40  5
  1           6  86
  2  12, 252, 12  7  37, 7
  3  113, 93  8
  4           9  9, 79
Radix Sort in Action: 10s

- Empty queues in order from 0 to 9 back into array
  70, 40, 12, 252, 12, 113, 93, 86, 37, 7, 9, 79
- Now look at 10's place
  70, 40, 12, 252, 12, 113, 93, 86, 37, 7, 9, 79
- Queues:
  0 _7, _9 5 252
  1 12, 12, 113 6
  2 7 70, 79
  3 37 8 86
  4 40 9 93

Radix Sort in Action: 100s

- Empty queues in order from 0 to 9 back into array
  7, 9, 12, 12, 113, 37, 40, 252, 70, 79, 86, 93
- Now look at 100's place
  __7, __9, _12, _12, 113, _37, _40, 252, _70, _79, _86, _93
- Queues:
  0 _7, _9, _12, _12, _37, _40, _70, _79, _86, _93 5
  1 113 6
  2 252 7
  3 8
  4 9

Radix Sort in Action: Final Step

- Empty queues in order from 0 to 9 back into array
  7, 9, 12, 12, 40, 70, 79, 86, 93, 113, 252

Radix Sort Code

```java
public static void sort(int[] list) {
    ArrayList<Queue<Integer>> queues = new ArrayList<Queue<Integer>>();
    for(int i = 0; i < 10; i++)
        queues.add(new LinkedList<Integer>());
    int passes = numDigits(list[0]); // helper method
    // or int passes = (int) Math.log10(list[0]);
    for(int i = 1; i < list.length; i++){
        int temp = numDigits(list[i]);
        if( temp > passes )
            passes = temp;
    }
    for(int i = 0; i < passes; i++)
        for(int j = 0; j < list.length; j++)
            queues.get(valueOfDigit(list[j], i)).add(list[j]);
    int pos = 0;
    for(Queue<Integer> q : queues){
        while(!q.isEmpty())
            list[pos++] = q.remove();
    }
}
```

Topic 17
Faster Sorting

"The bubble sort seems to have nothing to recommend it, except a catchy name and the fact that it leads to some interesting theoretical problems."
- Don Knuth

Previous Sorts
- Insertion Sort and Selection Sort are both average case $O(N^2)$
- Today we will look at two faster sorting algorithms.
  - quicksort
  - mergesort

Properties of Sorting Algorithms
- In place?
  - Do we use another data structure or not?
  - Program stack typically not considered another data structure if only using $O(\log N)$ space
- Comparison?
  - Works by comparing the items to be sorted to each other?
  - How could we not?
- Stable?
  - Next slide!

Stable Sorting
- A property of sorts
- If a sort guarantees the relative order of equal items stays the same then it is a stable sort
- $[7_1, 6, 7_2, 5, 1, 2, 7_3, -5]$ original data
  - subscripts added for clarity
- $[-5, 1, 2, 5, 6, 7_1, 7_2, 7_3]$ sorted data
  - result of stable sort
- Real world example:
  - sort a table in Wikipedia by one criteria, then another
  - sort by country, then by major wins
Quicksort

- Invented by C.A.R. (Tony) Hoare
- A divide and conquer approach that uses recursion

1. If the list has 0 or 1 elements it is sorted
2. otherwise, pick any element p in the list. This is called the pivot value
3. **Partition** the list minus the pivot into two sub lists according to values less than or greater than the pivot. (equal values go to either)
4. return the quicksort of the first list followed by the quicksort of the second list

### Quicksort in Action

39 23 17 90 33 72 46 79 11 52 64 5 71
Pick middle element as pivot: 46
Partition list
23 17 5 33 39 11 46 79 72 52 64 90 71
quick sort the less than list
Pick middle element as pivot: 33
23 17 5 11 33 39
quicksort the less than list, pivot now 5
{}
5 23 17 11
quicksort the less than list, base case
quicksort the greater than list
Pick middle element as pivot: 17
and so on....

### Quicksort on Another Data Set

44  68  191  119  119  37  83  82  191  45  158  130  76  153  39  25

Big O of Quicksort?

```java
private static void swapReferences(Object[] a, int index1, int index2) {
    Object tmp = a[index1];
    a[index1] = a[index2];
    a[index2] = tmp;
}

private void quicksort(Comparable[] data, int start, int stop) {
    if(start < stop) {
        int pivotIndex = (start + stop) / 2;
        // Place pivot at start position
        swapReferences(data, pivotIndex, start);
        Comparable pivot = data[start];
        // Begin partitioning
        int j = start;
        // from first to j are elements less than or equal to pivot
        // from j to i are elements greater than pivot
        // elements beyond i have not been checked yet
        for(int i = start + 1; i <= stop; i++) {
            // is current element less than or equal to pivot
            if (data[i].compareTo(pivot) <= 0) {
                // if so move it to the less than or equal portion
                j++;
                swapReferences(data, i, j);
            }
        }
        // restore pivot to correct spot
        swapReferences(data, start, j);
        quicksort( data, start, j - 1 ); // Sort small elements
        quicksort( data, j + 1, stop ); // Sort large elements
    } // else start >= stop, 0 or 1 element, base case, do nothing
}```
Clicker 1

- What are the best case and worst case Orders (Big O) for quicksort?

<table>
<thead>
<tr>
<th>Best</th>
<th>Worst</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. O(NlogN)</td>
<td>O(N^2)</td>
</tr>
<tr>
<td>B. O(N^2)</td>
<td>O(N^2)</td>
</tr>
<tr>
<td>C. O(N^2)</td>
<td>O(N!)</td>
</tr>
<tr>
<td>D. O(NlogN)</td>
<td>O(NlogN)</td>
</tr>
<tr>
<td>E. O(N)</td>
<td>O(NlogN)</td>
</tr>
</tbody>
</table>

Clicker 2

- Is quicksort always stable?
  - A. No
  - B. Yes

Merge Sort Algorithm

Don Knuth cites John von Neumann as the creator of this algorithm

1. If a list has 1 element or 0 elements it is sorted
2. If a list has more than 1 split into 2 separate lists
3. Perform this algorithm on each of those smaller lists
4. Take the 2 sorted lists and merge them together

Merge Sort

When implementing one temporary array is used instead of multiple temporary arrays.

Why?
Merge Sort code

```java
/**
 * perform a merge sort on the elements of data
 * @param data data != null, all elements of data
 * are the same data type
 */
public static void mergeSort(Comparable[] data) {
    Comparable[] temp = new Comparable[data.length];
    sort(data, temp, 0, data.length - 1);
}

private static void sort(Comparable[] data, Comparable[] temp, int low, int high) {
    if (low < high) {
        int center = (low + high) / 2;
        sort(data, temp, low, center);
        sort(data, temp, center + 1, high);
        merge(data, temp, low, center + 1, high);
    }
}

private static void merge(Comparable[] data, Comparable[] temp, int leftPos, int rightPos, int rightEnd) {
    int leftEnd = rightPos - 1;
    int tempPos = leftPos;
    int numElements = rightEnd - leftPos + 1;
    //main loop
    while (leftPos <= leftEnd && rightPos <= rightEnd) {
        if (data[leftPos].compareTo(data[rightPos]) <= 0) {
            temp[tempPos] = data[leftPos];
            leftPos++;
        } else {
            temp[tempPos] = data[rightPos];
            rightPos++;
        }
        tempPos++;
    }
    //copy rest of left half
    while (leftPos <= leftEnd) {
        temp[tempPos] = data[leftPos];
        tempPos++;
    }
    //copy rest of right half
    while (rightPos <= rightEnd) {
        temp[tempPos] = data[rightPos];
        tempPos++;
    }
    //Copy temp back into data
    for (int i = 0; i < numElements; i++, rightEnd--) {
        data[rightEnd] = temp[rightEnd];
    }
}
```

Clicker 3

- What are the best case and worst case Orders (Big O) for mergesort?
  
  Best  |  Worst
  ----  |  ----
  A. O(NlogN)  |  O(N^2)
  B. O(N^2)    |  O(N^2)
  C. O(N^2)    |  O(N!)
  D. O(NlogN)  |  O(NlogN)
  E. O(N)      |  O(NlogN)

Clicker 4

- Is mergesort always stable?
  
  A. No
  B. Yes
You have 1,000,000 distinct items in random order that you will be searching. How many searches need to be performed before the data is changed to make it worthwhile to sort the data before searching?

A. ~40
B. ~100
C. ~500
D. ~2,000
E. ~500,000

Comparison of Various Sorts (2001)

<table>
<thead>
<tr>
<th>Num Items</th>
<th>Selection</th>
<th>Insertion</th>
<th>Quicksort</th>
<th>Merge</th>
<th>Arrays.sort</th>
</tr>
</thead>
<tbody>
<tr>
<td>1000</td>
<td>0.016</td>
<td>0.005</td>
<td>0 ??</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>2000</td>
<td>0.059</td>
<td>0.049</td>
<td>0.006</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>4000</td>
<td>0.271</td>
<td>0.175</td>
<td>0.005</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>8000</td>
<td>1.056</td>
<td>0.686</td>
<td>0 ??</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>16000</td>
<td>4.203</td>
<td>2.754</td>
<td>0.011</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>32000</td>
<td>16.852</td>
<td>11.039</td>
<td>0.045</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>64000</td>
<td>expected?</td>
<td>expected?</td>
<td>0.068</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>128000</td>
<td>expected?</td>
<td>expected?</td>
<td>0.158</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>256000</td>
<td>expected?</td>
<td>expected?</td>
<td>0.335</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>512000</td>
<td>expected?</td>
<td>expected?</td>
<td>0.722</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>1024000</td>
<td>expected?</td>
<td>expected?</td>
<td>1.550</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

Comparison of Various Sorts (2011)

<table>
<thead>
<tr>
<th>Num Items</th>
<th>Selection</th>
<th>Insertion</th>
<th>Quicksort</th>
<th>Merge</th>
<th>Arrays.sort</th>
</tr>
</thead>
<tbody>
<tr>
<td>1000</td>
<td>0.002</td>
<td>0.001</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>2000</td>
<td>0.002</td>
<td>0.001</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>4000</td>
<td>0.006</td>
<td>0.004</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>8000</td>
<td>0.022</td>
<td>0.018</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>16000</td>
<td>0.086</td>
<td>0.070</td>
<td>0.002</td>
<td>0.002</td>
<td>0.002</td>
</tr>
<tr>
<td>32000</td>
<td>0.341</td>
<td>0.280</td>
<td>0.004</td>
<td>0.005</td>
<td>0.003</td>
</tr>
<tr>
<td>64000</td>
<td>1.352</td>
<td>1.123</td>
<td>0.008</td>
<td>0.010</td>
<td>0.007</td>
</tr>
<tr>
<td>128000</td>
<td>5.394</td>
<td>4.499</td>
<td>0.017</td>
<td>0.022</td>
<td>0.015</td>
</tr>
<tr>
<td>256000</td>
<td>21.560</td>
<td>18.060</td>
<td>0.035</td>
<td>0.047</td>
<td>0.031</td>
</tr>
<tr>
<td>512000</td>
<td>86.083</td>
<td>72.303</td>
<td>0.072</td>
<td>0.099</td>
<td>0.066</td>
</tr>
<tr>
<td>1024000</td>
<td>???</td>
<td>???</td>
<td>0.152</td>
<td>0.206</td>
<td>0.138</td>
</tr>
<tr>
<td>2048000</td>
<td>0.317</td>
<td>0.434</td>
<td>0.387</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>4096000</td>
<td>0.663</td>
<td>0.911</td>
<td>0.601</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>8192000</td>
<td>1.375</td>
<td>1.885</td>
<td>1.246</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

Comparison of Various Sorts (2020)

<table>
<thead>
<tr>
<th>Num Items</th>
<th>Selection</th>
<th>Insertion</th>
<th>Quicksort</th>
<th>Mergesort</th>
<th>Arrays.sort(int)</th>
<th>Arrays.sort(Integer)</th>
<th>Arrays.parallelSort</th>
</tr>
</thead>
<tbody>
<tr>
<td>1,000</td>
<td>&lt;0.001</td>
<td>&lt;0.001</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>2,000</td>
<td>0.001</td>
<td>&lt;0.001</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>4,000</td>
<td>0.004</td>
<td>0.003</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>8,000</td>
<td>0.017</td>
<td>0.010</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>16,000</td>
<td>0.065</td>
<td>0.040</td>
<td>0.002</td>
<td>0.002</td>
<td>0.003</td>
<td>0.011</td>
<td>0.007</td>
</tr>
<tr>
<td>32,000</td>
<td>0.258</td>
<td>0.160</td>
<td>0.002</td>
<td>0.003</td>
<td>0.002</td>
<td>0.008</td>
<td>0.003</td>
</tr>
<tr>
<td>64,000</td>
<td>1.110</td>
<td>0.696</td>
<td>0.005</td>
<td>0.008</td>
<td>0.004</td>
<td>0.011</td>
<td>0.001</td>
</tr>
<tr>
<td>128,000</td>
<td>4.172</td>
<td>2.645</td>
<td>0.011</td>
<td>0.015</td>
<td>0.009</td>
<td>0.024</td>
<td>0.002</td>
</tr>
<tr>
<td>256,000</td>
<td>16.48</td>
<td>10.76</td>
<td>0.024</td>
<td>0.034</td>
<td>0.018</td>
<td>0.051</td>
<td>0.004</td>
</tr>
<tr>
<td>512,000</td>
<td>70.38</td>
<td>47.18</td>
<td>0.049</td>
<td>0.049</td>
<td>0.040</td>
<td>0.114</td>
<td>0.008</td>
</tr>
<tr>
<td>1,024,000</td>
<td>-</td>
<td>-</td>
<td>0.098</td>
<td>0.143</td>
<td>0.082</td>
<td>0.259</td>
<td>0.017</td>
</tr>
<tr>
<td>2,048,000</td>
<td>-</td>
<td>-</td>
<td>0.205</td>
<td>0.296</td>
<td>0.184</td>
<td>0.637</td>
<td>0.035</td>
</tr>
<tr>
<td>4,096,000</td>
<td>-</td>
<td>-</td>
<td>0.450</td>
<td>0.659</td>
<td>0.383</td>
<td>1.452</td>
<td>0.079</td>
</tr>
<tr>
<td>8,192,000</td>
<td>-</td>
<td>-</td>
<td>0.941</td>
<td>1.372</td>
<td>0.786</td>
<td>3.354</td>
<td>0.148</td>
</tr>
</tbody>
</table>
Concluding Thoughts

- Language libraries often have sorting algorithms in them
  - Java Arrays and Collections classes
  - C++ Standard Template Library
  - Python sort and sorted functions
- Hybrid sorts
  - when size of unsorted list or portion of array is small use insertion sort, otherwise use O(N log N) sort like Quicksort or Mergesort

Concluding Thoughts

- Sorts still being created!
- Timsort (2002)
  - created for python version 2.3
  - now used in Java version 7.0+
  - takes advantage of real world data
  - real world data is usually partially sorted, not totally random
- Library Sort (2006)
  - Like insertion sort, but leaves gaps for later elements
Topic 18
Binary Trees

"A tree may grow a thousand feet tall, but its leaves will return to its roots."
- Chinese Proverb

Definitions
- A tree is an abstract data type
  - one entry point, the root
- Each node is either a leaf or an internal node
- An internal node has 1 or more children, nodes that can be reached directly from that internal node.
- The internal node is said to be the parent of its child nodes

Properties of Trees
- Only access point is the root
- All nodes, except the root, have one parent
  - like the inheritance hierarchy in Java
- Traditionally trees drawn upside down

Properties of Trees and Nodes
- siblings: two nodes that have the same parent
- edge: the link from one node to another
- path length: the number of edges that must be traversed to get from one node to another
  - path length from root to this node is 3
More Properties of Trees

- **depth**: the path length from the root of the tree to this node
- **height of a node**: The maximum distance (path length) of any leaf from this node
  - a leaf has a height of 0
  - the height of a tree is the height of the root of that tree
- **descendants**: any nodes that can be reached via 1 or more edges from this node
- **ancestors**: any nodes for which this node is a descendant

Binary Trees

There are many variations on trees but we will start with *binary trees*

- **binary tree**: each node has at most two children
  - the possible children are usually referred to as the left child and the right child

**Clicker 1**

- What is the depth of the node that contains M on the previous slide?
  - A. 0
  - B. 1
  - C. 2
  - D. 3
  - E. 4

**Clicker 2** - Same tree, same choices

- What is the height of the node that contains D?
**Full Binary Tree**

- **full binary tree**: a binary tree in which each node has 2 or 0 children

![Full Binary Tree Diagram]

**Clicker 3**

- What is the maximum height of a full binary tree with 11 nodes?
  A. 3
  B. 5
  C. 7
  D. 10
  E. Not possible to have full binary tree with 11 nodes.

**Complete Binary Tree**

- **complete binary tree**: a binary tree in which every level, except possibly the deepest is completely filled. At depth n, the height of the tree, all nodes are as far left as possible

![Complete Binary Tree Diagram]

**Clicker 4**

- What is the height of a complete binary tree that contains N nodes?
  A. $O(1)$
  B. $O(\log N)$
  C. $O(N^{1/2})$
  D. $O(N)$
  E. $O(N\log N)$

- Recall, order can be applied to any function. It doesn't just apply to running time.
Perfect Binary Tree

- **perfect binary tree:** a binary tree with all leaf nodes at the same depth. All internal nodes have exactly two children.
- a perfect binary tree has the maximum number of nodes for a given height
- a perfect binary tree has \((2^{(n+1)} - 1)\) nodes where \(n\) is the height of the tree

  - height = 0 -> 1 node
  - height = 1 -> 3 nodes
  - height = 2 -> 7 nodes
  - height = 3 -> 15 nodes

\[\text{height} = 0 \rightarrow 1 \text{ node}\]
\[\text{height} = 1 \rightarrow 3 \text{ nodes}\]
\[\text{height} = 2 \rightarrow 7 \text{ nodes}\]
\[\text{height} = 3 \rightarrow 15 \text{ nodes}\]

A Binary Node class

```java
public class BNode<E> {
    private E myData;
    private BNode<E> myLeft;
    private BNode<E> myRight;

    public BNode();
    public BNode(BNode<E> left, E data, BNode<E> right)
    public E getData()
    public BNode<E> getLeft()
    public BNode<E> getRight()

    public void setData(E data)
    public void setLeft(BNode<E> left)
    public void setRight(BNode<E> right)
}
```

Binary Tree Traversals

- Many algorithms require all nodes of a binary tree be visited and the contents of each node processed or examined.
- There are 4 traditional types of traversals
  - preorder traversal: process the root, then process all sub trees (left to right)
  - in order traversal: process the left sub tree, process the root, process the right sub tree
  - post order traversal: process the left sub tree, process the right sub tree, then process the root
  - level order traversal: starting from the root of a tree, process all nodes at the same depth from left to right, then proceed to the nodes at the next depth.

Results of Traversals

- To determine the results of a traversal on a given tree draw a path around the tree.
  - start on the left side of the root and trace around the tree. The path should stay close to the tree.

  - pre order: process when pass down left side of node 12 49 13 5 42
  - in order: process when pass underneath node 13 49 5 12 42
  - post order: process when pass up right side of node 13 5 49 42 12
Clicker 5 - Tree Traversals

What is the result of a post order traversal of the tree to the left?

A. F C G A Q H L D J 
B. F G C Q L H J D A
C. A C F G D H Q L J
D. A C D F G H J Q L 
E. L Q J H G F D C A

Implement Traversals

- Implement preorder, inorder, and post order traversal
  - Big O time and space?
- Implement a level order traversal using a queue
  - Big O time and space?
- Implement a level order traversal without a queue
  - target depth

Breadth First Search
Depth First Search

- from NIST - DADS
- breadth first search: Any search algorithm that considers neighbors of a vertex (node), that is, outgoing edges (links) of the vertex’s predecessor in the search, before any outgoing edges of the vertex.
- depth first search: Any search algorithm that considers outgoing edges (links of children) of a vertex (node) before any of the vertex’s (node) siblings, that is, outgoing edges of the vertex’s predecessor in the search. Extremes are searched first.

Clicker 6

Which traversal of a tree is a breadth first search?

A. Level order traversal
B. Pre order traversal
C. In order traversal
D. Post order traversal
E. More than one of these
Breadth First

- A level order traversal of a tree could be used as a breadth first search
- Search all nodes in a level before going down to the next level

Breadth First Search of Tree

Find Node with B

search level 0 first

Breadth First Search

Find Node with B

search level 1
Breadth First Search

Find Node with B

search level 1

C

A

G

X

Z

W

Q

P

O

U

L

K

B

Z

M

R

search level 1
Find Node with B

C

A

G

X

Z

W

Q

P

O

U

L

K

B

Z

M

R

search level 1 next
Find Node with B

C

A

G

X

Z

W

Q

P

O

U

L

K

B

Z

M

R
Breadth First Search
Find Node with B
search level 2 next

Breadth First Search
Find Node with B
search level 2 next

Breadth First Search
Find Node with B
search level 2 next

Breadth First Search
Find Node with B
search level 2 next
Breadth First Search
Find Node with B search level 2 next
C
A
G
X
Z
W
Q
P
O
U
L
K
B
Z
M
R

Breadth First Search
Find Node with B search level 3 next
C
A
G
X
Z
W
Q
P
O
U
L
K
B
Z
M
R

Breadth First Search
Find Node with B search level 3 next
C
A
G
X
Z
W
Q
P
O
U
L
K
B
Z
M
R

Breadth First Search
Find Node with B search level 3 next
C
A
G
X
Z
W
Q
P
O
U
L
K
B
Z
M
R
BFS - DFS

- Breadth first search typically implemented with a Queue
- Depth first search typically implemented with a stack, implicit with recursion or iteratively with an explicit stack
- which technique do I use? – depends on the problem

Depth First Search of Tree

Find B

Depth First Search of Tree

Find B

Depth First Search of Tree

Find B
Depth First Search of Tree

Find B

Depth First Search of Tree

Find B

Depth First Search of Tree

Find B
Depth First Search of Tree

Find B

**Diagram:**
- Tree structure with nodes labeled A, B, C, D, E, F, G, H, I, J, K, L, M, N, O, P.
- Node C is the root.
- Nodes A, G, X, Z are children of C.
- Nodes O, U are children of X.
- Nodes B, M, R are children of K.
- Nodes L, Q, P are children of W.

CS314 Binary Trees
Depth First Search of Tree

Find B

Depth First Search of Tree

Find B

Depth First Search of Tree

Find B

Depth First Search of Tree

Find B
"Yes. Shrubberies are my trade. I am a shrubber. My name is 'Roger the Shrubber'. I arrange, design, and sell shrubberies."

-Monty Python and The Holy Grail

---

The Problem with Linked Lists

- Accessing a item from a linked list takes $O(N)$ time for an arbitrary element.
- Binary trees can improve upon this and reduce access to $O(\log N)$ time for the average case.
- Expands on the binary search technique and allows insertions and deletions.
- Worst case degenerates to $O(N)$ but this can be avoided by using balanced trees (AVL, Red-Black).

---

Binary Search Trees

- A binary search tree is a binary tree in which **every node**'s left subtree holds values less than the node's value, and every right subtree holds values greater than the node's value.
- A new node is added as a leaf.

---

BST Insertion

- Add the following values one at a time to an initially empty binary search tree using the naïve algorithm:

  90  20  9  98  10  28  -25

- What is the resulting tree?
### Traversals
- What is the result of an inorder traversal of the resulting tree?
- How could a preorder traversal be useful?

### Clicker 1
- After adding N distinct elements in random order to a Binary Search Tree what is the expected height of the tree? (using the simple insertion algorithm)
  - A. $O(\log N)$
  - B. $O(N^{1/2})$
  - C. $O(N)$
  - D. $O(N\log N)$
  - E. $O(N^2)$

### Clicker 2
- After adding N distinct elements to a Binary Search Tree what is the **worst case** height of the tree? (using the simple insertion algorithm)
  - A. $O(\log N)$
  - B. $O(N^{1/2})$
  - C. $O(N)$
  - D. $O(N\log N)$
  - E. $O(N^2)$

### Worst Case Performance
- Insert the following values into an initially empty binary search tree using the simple, naïve algorithm:
  
  $2 \ 3 \ 5 \ 7 \ 11 \ 13 \ 17$

  - What is the height of the tree?
  - What is the worst case height of a BST?
Node for Binary Search Trees

```java
public class BSTNode<E extends Comparable<E> { 
    private Comparable<E> myData;
    private BSTNode<E> myLeft;
    private BSTNode<E> myRight;

    public BSTNode(E item) {
        myData = item;
    }

    public E getValue() {
        return myData;
    }

    public BSTNode<E> getLeft() {
        return myLeft;
    }

    public BSTNode<E> getRight() {
        return myRight;
    }

    public void setLeft(BSTNode<E> b) {
        myLeft = b;
    }
    // setRight not shown

}```

More on Implementation

- Many ways to implement BSTs
- Using nodes is just one and even then many options and choices

```java
public class BinarySearchTree<E extends Comparable<E>> { 
    private BSTNode<E> root;
    private int size;
}
```
### Clicker 3

What are the best case and worst case order to add N distinct elements, one at a time, to an initially empty binary search tree using the simple add algorithm?

<table>
<thead>
<tr>
<th>Best</th>
<th>Worst</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. O(N)</td>
<td>O(N)</td>
</tr>
<tr>
<td>B. O(NlogN)</td>
<td>O(NlogN)</td>
</tr>
<tr>
<td>C. O(N)</td>
<td>O(NlogN)</td>
</tr>
<tr>
<td>D. O(NlogN)</td>
<td>O(N^2)</td>
</tr>
<tr>
<td>E. O(N)</td>
<td>O(N^2)</td>
</tr>
</tbody>
</table>

```java
// given int[] data
// no duplicates in data
BST<Integer> b = new BST<Integer>();
for(int x : data)
    b.add(x);
```

### Performance of Binary Trees

For the three core operations (add, access, remove) a binary search tree (BST) has an average case performance of O(log N)

Even when using the *naïve insertion / removal algorithms*

- no checks to maintain balance
- balance achieved based on the randomness of the data inserted

### Remove an Element

Three cases

- node is a leaf, 0 children (easy)
- node has 1 child (easy)
- node has 2 children (interesting)

### Properties of a BST

- The minimum value is in the left most node
- The maximum value is in the right most node
  - useful when removing an element from the BST
Alternate Implementation

- In class examples of dynamic data structures have relied on *null terminated ends*.
  - Use null to show end of list, no children
- Alternative form
  - use structural recursion and polymorphism

EmptyBST

```java
public class EmptyBST<E extends Comparable<? super E>> implements BST<E> {
    private static EmptyBST theOne = new EmptyBST();

    private EmptyBST() {}

    public static EmptyBST getEmptyBST() {
        return theOne;
    }

    public BST add(E obj) {
        return new NEBST(obj);
    }

    public boolean contains(E obj) {
        return false;
    }

    public int size() {
        return 0;
    }
}
```

Non Empty BST – Part 1

```java
public class NEBST <E extends Comparable<? super E>> implements BST<E> {

    private E data;
    private BST left;
    private BST right;

    public NEBST(E d){
        data = d;
        right = EmptyBST.getEmptyBST();
        left = EmptyBST.getEmptyBST();
    }

    public BST add(E obj) {
        int direction = obj.compareTo( data );
        if( direction < 0 )
            left = left.add( obj );
        else if( direction > 0 )
            right = right.add( obj );
        return this;
    }
}
```
public boolean contains(E obj){
    int dir = obj.compareTo(data);
    if( dir == 0 )
        return true;
    else if (dir < 0)
        return left.contains(obj);
    else
        return right.contains(obj);
}

public int size() {
    return 1 + left.size() + right.size();
}
Encoding

- UTCS
- 85 84 67 83
- 01010101 01010100 01000011 01010011
- what is a file?
- open a bitmap in a text editor
Text File

Project Gutenberg's The Adventures of Sherlock Holmes

This eBook is for the use of anyone anywhere at almost no restrictions whatsoever. You may copy and re-use it under the terms of the Project Gutenberg license, which you can find at www.gutenberg.net

Title: The Adventures of Sherlock Holmes

Author: Arthur Conan Doyle

Posting Date: April 18, 2011 [EBook #1661]
First Posted: November 29, 2002

Bitmap File

Project Gutenberg's The Adventures of Sherlock Holmes

This eBook is for the use of anyone anywhere at almost no restrictions whatsoever. You may copy and re-use it under the terms of the Project Gutenberg license, which you can find at www.gutenberg.net

Title: The Adventures of Sherlock Holmes

Author: Arthur Conan Doyle

Posting Date: April 18, 2011 [EBook #1661]
First Posted: November 29, 2002
**JPEG File**

![Image of a tower](image)

**JPEG VS BITMAP**

- **JPEG File**
  - F... F... F... 00 10 4A 46 48 46 00 01 00 00 00 49 46 46 46
  - 00 00 00 00 00 00 00 00 00 00 00 00 00
  - 00 00 00 00 00 00 00 00 00 00 00 00 00
  - 00 00 00 00 00 00 00 00 00 00 00 00 00
  - 00 00 00 00 00 00 00 00 00 00 00 00 00
  - 00 00 00 00 00 00 00 00 00 00 00 00 00
  - 00 00 00 00 00 00 00 00 00 00 00 00 00
  - 00 00 00 00 00 00 00 00 00 00 00 00 00
  - 00 00 00 00 00 00 00 00 00 00 00 00 00

- **Bitmap Image**
  - 2,305 KB

**Encoding Schemes**

- "It's all 1s and 0s"
- What do the 1s and 0s mean?
- 50 121 109
- ASCII -> 2ym
- Red Green Blue -> dark teal?

**Altering files**

- Tower bit map (Eclipse/Huffman/Data).
  Alter the first 300 characters of line 16784 to this

```plaintext
~00~00~00~00~00~00~00~00~00~00~00~00~00
~00~00~00~00~00~00~00~00~00~00~00~00~00
~00~00~00~00~00~00~00~00~00~00~00~00~00
~00~00~00~00~00~00~00~00~00~00~00~00~00
~00~00~00~00~00~00~00~00~00~00~00~00~00
~00~00~00~00~00~00~00~00~00~00~00~00~00
~00~00~00~00~00~00~00~00~00~00~00~00~00
~00~00~00~00~00~00~00~00~00~00~00~00~00
```
Why So Many Encoding Decoding Schemes?

- **Image file formats**: bmp, png, jpg, gif, tiff, svg, cgm

  [XKCD, Standards: https://xkcd.com/927/]

- **Agenda**
  - Encoding
  - Compression
  - Huffman Coding

Compression

- Compression: Storing the same information but in a form that takes less memory
- lossless and lossy compression
- Recall:

  ![Comparison between bitmap and JPEG images](Tower-number1-1024x768.bmp) Bitmap image 2,305 KB
  ![Comparison between bitmap and JPEG images](Tower-number1-1024x768.jpg) JPEG Image 283 KB

Lossy Artifacts
Why Bother?

• Is compression really necessary?

5 Terabytes
1250 HD, 2 hour movies or 1,250,000 songs
Price? About $110.00

Clicker 1

• With computer storage so cheap, is compression really necessary?
  A. No
  B. Yes
  C. It Depends

Little Pipes and Big Pumps

Home Internet Access
• 400 Mbps roughly $85 per month
• 12 months * 3 years * $70 = $2,520
• 400,000,000 bits / second = 5 * 10^7 bytes / sec

CPU Capability
• $2,000 for a good laptop or desktop
• Intel® Core™ i9-7900X
• Assume it lasts 3 years.
• Memory bandwidth 40 GB / sec
  = 4.0 * 10^10 bytes / sec
• on the order of 6.4 * 10^11 instructions / second

Mobile Devices?

Cellular Network
• Your mileage may vary …
• Mega bits per second
• AT&T
  17 mbps download, 7 mbps upload
• T-Mobile & Verizon
  12 mbps download, 7 mbps upload
• 17,000,000 bits per second = 2.125 x 10^6 bytes per second

iPhone CPU
• Apple A6 System on a Chip
• Coy about IPS
• 2 cores
• Rough estimates: 1 x 10^10 instructions per second

http://tinyurl.com/q6o7wan
Little Pipes and Big Pumps

Data In
From Network

Compression - Why Bother?

- Apostolos "Toli" Lerios
- Facebook Engineer
- Heads image storage group
- Jpeg images already compressed
- Look for ways to compress even more
- 1% less space = millions of dollars in savings

Agenda

- Encoding
- Compression
- Huffman Coding

Huffman Coding

- Proposed by Dr. David A. Huffman
  - Graduate class in 1951 at MIT with Robert Fano
  - Term paper or final
  - Term paper: prove min bits needed for binary coding of data
    - A Method for the Construction of Minimum Redundancy Codes
- Applicable to many forms of data transmission
  - Our example: text files
  - Still used in fax machines, mp3 encoding, others
The Basic Algorithm

- Huffman coding is a form of statistical coding
- Not all characters occur with the same frequency, in typical text files. (can be true when reading raw bytes as well)
- Yet in ASCII all characters are allocated the same amount of space
  - 1 char = 1 byte, be it e or X
  - fixed width encoding

The Basic Algorithm

- Any savings in tailoring codes to frequency of character?
- Code word lengths are no longer fixed like ASCII or Unicode
- Code word lengths vary and will be shorter for the more frequently used characters
- Examples use characters for clarity, but in reality just read raw bytes from file.

The Basic Algorithm

1. Scan file to be compressed and determine frequency of all values.
2. Sort or prioritize values based on frequency in file.
3. Build Huffman code tree based on prioritized values.
4. Perform a traversal of tree to determine new codes for values.
5. Scan file again to create new file using the new Huffman codes

Building a Tree
Scan the original text

- Consider the following short text
  Eerie eyes seen near lake.
- Determine frequency of all numbers (values or in this case characters) in the text
Building a Tree
Scan the original text

Eerie eyes seen near lake.

- What characters are present?

```
E e r i space
y s n a r l k .
```

Building a Tree
Prioritize characters

- Create binary tree nodes with a value and the frequency for each value
- Place nodes in a priority queue
  - The lower the frequency, the higher the priority in the queue

Building a Tree

<table>
<thead>
<tr>
<th>Char</th>
<th>Freq.</th>
<th>Char</th>
<th>Freq.</th>
<th>Char</th>
<th>Freq.</th>
</tr>
</thead>
<tbody>
<tr>
<td>E</td>
<td>1</td>
<td>y</td>
<td>1</td>
<td>k</td>
<td>1</td>
</tr>
<tr>
<td>e</td>
<td>8</td>
<td>s</td>
<td>2</td>
<td></td>
<td>1</td>
</tr>
<tr>
<td>r</td>
<td>2</td>
<td>n</td>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>i</td>
<td>1</td>
<td>a</td>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>space</td>
<td>4</td>
<td>l</td>
<td>1</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Building a Tree

- The queue after enqueuing all nodes

```
front
E i k l y a n r s sp
e 1 1 1 1 1 1 2 2 2 2 4 8
```

- Null Pointers are not shown
- sp = space
While priority queue contains two or more nodes
   - Create new node
   - Dequeue node and make it left child
   - Dequeue next node and make it right child
   - Frequency of new node equals sum of frequency of left and right children
     - New node does not contain value
   - Enqueue new node back into the priority queue
Building a Tree

```
  2
 /\  \\
2  2
 / \  \\
E i E i
  k l k l
  y  y
```

```
  2
 /\  \\
2  2
 / \  \\
E i E i
  k l k l
  y  y
```

```
  2
 /\  \\
2  2
 / \  \\
E i E i
  k l k l
  y  y
```

```
  2
 /\  \\
2  2
 / \  \\
E i E i
  k l k l
  y  y
```

```
  2
 /\  \\
2  2
 / \  \\
E i E i
  k l k l
  y  y
```

```
  2
 /\  \\
2  2
 / \  \\
E i E i
  k l k l
  y  y
```

```
  2
 /\  \\
2  2
 / \  \\
E i E i
  k l k l
  y  y
```

```
  2
 /\  \\
2  2
 / \  \\
E i E i
  k l k l
  y  y
```
Building a Tree

```
  2
 / 
sp 4
 / 
 4
 / 
an 2
 / 
 4
 / 
r s 2
 / 
e 8
```

Building a Tree

```
  2
 / 
sp 4
 / 
 4
 / 
an 2
 / 
r s 2
 / 
e 8
```

Building a Tree

```
  4
 / 
 4
 / 
an 2
 / 
r s 2
 / 
e 8
```

Building a Tree

```
  4
 / 
 4
 / 
an 2
 / 
r s 2
 / 
e 8
```

Building a Tree

```
  4
 / 
 4
 / 
an 2
 / 
r s 2
 / 
e 8
```

Building a Tree

```
  4
 / 
 4
 / 
an 2
 / 
r s 2
 / 
e 8
```

Building a Tree

```
  4
 / 
 4
 / 
an 2
 / 
r s 2
 / 
e 8
```

Building a Tree

```
  4
 / 
 4
 / 
an 2
 / 
r s 2
 / 
e 8
```
Clicker 2 - What is happening to the values with a low frequency compared to values with a high freq.?

A. Small Depth    B. Large Depth    C. Something else
Building a Tree

After enqueueing this node there is only one node left in priority queue.
Building a Tree

Dequeue the single node left in the queue.

This tree contains the new code words for each character.

Frequency of root node should equal number of characters in text.

Eerie eyes seen near lake. 4 spaces, 26 characters total

Encoding the File

Traverse Tree for Codes

- Perform a traversal of the tree to obtain new code words (sequence of 0's and 1's)
- Left, append a 0 to code word
- Right append a 1 to code word
- Code word is only complete when a leaf node is reached

Encoding the File

Rescan text and encode file using new code words

<table>
<thead>
<tr>
<th>Char</th>
<th>New Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>E</td>
<td>0000</td>
</tr>
<tr>
<td>i</td>
<td>0001</td>
</tr>
<tr>
<td>k</td>
<td>0010</td>
</tr>
<tr>
<td>l</td>
<td>0011</td>
</tr>
<tr>
<td>y</td>
<td>0100</td>
</tr>
<tr>
<td>.</td>
<td>0101</td>
</tr>
<tr>
<td>space</td>
<td>011</td>
</tr>
<tr>
<td>E</td>
<td>10</td>
</tr>
<tr>
<td>i</td>
<td>110</td>
</tr>
<tr>
<td>k</td>
<td>1101</td>
</tr>
<tr>
<td>l</td>
<td>1110</td>
</tr>
<tr>
<td>s</td>
<td>1111</td>
</tr>
</tbody>
</table>

Prefix free codes. The code for a value in never the prefix of another code.

Eerie eyes seen near lake.

Char New Code
E 0000
i 0001
k 0010
l 0011
y 0100
. 0101
space 011
e 10
a 1100
n 1101
r 1110
s 1111
Encoding the File

Results

- Have we made things any better?
- 82 bits to encode the text
- ASCII would take \(8 \times 26 = 208\) bits

\[
\begin{align*}
000010111000011001110 \\
0100101111011111101010 \\
110101111011001110 \\
011001111000010100101
\end{align*}
\]

*If modified code used 4 bits per character are needed. Total bits \(4 \times 26 = 104\). Savings not as great.*

Decoding the File

- How does receiver know what the codes are?
- Tree constructed for each text file.
  - Considers frequency for each file
  - Big hit on compression, especially for smaller files
- Tree predetermined
  - based on statistical analysis of text files or file types

Clicker 3 - Decoding the File

- Once receiver has tree it scans incoming bit stream
- 0 ⇒ go left
- 1 ⇒ go right

\[
\begin{align*}
10100010011110001111111 \\
11011100001010
\end{align*}
\]

A. elk nay sir
B. eek a snake
C. eek kin sly
D. eek snarl nil
E. eel a snarl

Assignment Hints

- reading chunks not chars
- header format
- the pseudo eof value
- the GUI
Assignment Example

- "Eerie eyes seen near lake." will result in different codes than those shown in slides due to:
  - adding elements in order to PriorityQueue
  - required pseudo eof character (PEOF)

Assignment Example

<table>
<thead>
<tr>
<th>Char</th>
<th>Freq.</th>
<th>Char</th>
<th>Freq.</th>
<th>Char</th>
<th>Freq.</th>
</tr>
</thead>
<tbody>
<tr>
<td>E</td>
<td>1</td>
<td>y</td>
<td>1</td>
<td>k</td>
<td>1</td>
</tr>
<tr>
<td>e</td>
<td>8</td>
<td>s</td>
<td>2</td>
<td>.</td>
<td>1</td>
</tr>
<tr>
<td>r</td>
<td>2</td>
<td>n</td>
<td>2</td>
<td>PEOF</td>
<td>1</td>
</tr>
<tr>
<td>i</td>
<td>1</td>
<td>a</td>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>space</td>
<td>4</td>
<td>1</td>
<td>1</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Assignment Example

Assignment Example
Codes

value: 32, equivalent char: , frequency: 4, new code 011
value: 46, equivalent char: ., frequency: 1, new code 11110
value: 69, equivalent char: E, frequency: 1, new code 11111
value: 97, equivalent char: a, frequency: 2, new code 0101
value: 101, equivalent char: e, frequency: 8, new code 10
value: 105, equivalent char: i, frequency: 1, new code 0000
value: 107, equivalent char: k, frequency: 1, new code 0001
value: 108, equivalent char: l, frequency: 1, new code 0010
value: 110, equivalent char: n, frequency: 2, new code 1100
value: 114, equivalent char: r, frequency: 2, new code 1101
value: 115, equivalent char: s, frequency: 2, new code 1110
value: 121, equivalent char: y, frequency: 1, new code 0011
value: 256, equivalent char: ?, frequency: 1, new code 0100
Graphs
Topic 21

"Hopefully, you’ve played around a bit with The Oracle of Bacon at Virginia and discovered how few steps are necessary to link just about anybody who has ever been in a movie to Kevin Bacon, but could there be some actor or actress who is even closer to the center of the Hollywood universe?.

By processing all of the almost half of a million people in the Internet Movie Database I discovered that there are currently 1160 people who are better centers than Kevin Bacon! … By computing the average of these numbers we see that the average (Sean) Connery Number is about 2.682 making Connery a better center than Bacon"

-Who is the Center of the Hollywood Universe?, University of Virginia

That was in 2001.
In 2013 Harvey Keitel has become the center of the Hollywood Universe. Connery is 136th.
Bacon has moved up to 370th.

An Early Problem in Graph Theory

- Leonhard Euler (1707 - 1783)
  – One of the first mathematicians to study graphs
- The Seven Bridges of Konigsberg Problem
  – Konigsberg is now called Kaliningrad
- A puzzle for the residents of the city
- The river Pregel flows through the city
- 7 bridges crossed the river
- Can you cross all bridges while crossing each bridge only once? An Eulerian Circuit

Konigsberg and the River Pregel

A
B
C
D

Clicker 1

- How many solutions does the Seven Bridges of Konigsberg Problem have?
A. 0
B. 1
C. 2
D. 3
E. >= 4
How to Solve

- Brute Force?
- Euler's Solution
  - Redraw the map as a graph (really a multigraph as opposed to a simple graph, 1 or 0 edges per pair of vertices)

Euler's Proposal

- A connected graph has an Euler tour (cross every edge exactly one time and end up at starting node) if and only if every vertex has an even number of edges
  - Eulerian Circuit
- Clicker 2 - What if we reduce the problem to only crossing each edge (bridge) exactly once?
  - Doesn't matter if we end up where we started
  - Eulerian Trail

A. 0    B. 1   C. 2   D. 3   E. >= 4

Graph Definitions

- A graph is comprised of a set of vertices (nodes) and a set of edges (links, arcs) connecting the vertices
  - An edge connects 2 vertices
- In a directed graph edges are one-way
  - Movement allowed from first node to second, but not second to first
  - Directed graphs also called digraphs
- In an undirected graph edges are two-way
  - Movement allowed in either direction

Definitions

- In a weighted graph the edge has cost or weight that measures the cost of traveling along the edge
- A path is a sequence of vertices connected by edges
  - The path length is the number of edges
  - The weighted path length is the sum of the cost of the edges in a path
- A cycle is a path of length 1 or more that starts and ends at the same vertex
  - A directed acyclic graph is a directed graph with no cycles
Scientists (and academics of ALL kinds) use graphs to model all kinds of things.

Example Graph

Roman Land Transportation Network
Enron emails 2001

US Airport Network

"Jefferson" High School, Ohio

Chains of Affection: The Structure of Adolescent Romantic and Sexual Networks, 2005,
Representing Graphs

- How to store a graph as a data structure?

Adjacency Matrix Representation

- Use a ragged 2d array to save space

The Map Coloring Problem

- How many colors do you need to color a map, so that no 2 countries that have a common border (not a point) are colored the same?
- How to solve using Brute Force?
**Example**

A four-coloring of a map of the states of the United States (ignoring lakes).

Source: https://en.wikipedia.org/wiki/Four_color_theorem

---

**A Solution**

---

**What About the Ocean?**

<table>
<thead>
<tr>
<th></th>
<th>A</th>
<th>Br</th>
<th>Bl</th>
<th>Ch</th>
<th>Co</th>
<th>E</th>
<th>FG</th>
<th>G</th>
<th>Pa</th>
<th>Pe</th>
<th>S</th>
<th>U</th>
<th>V</th>
<th>Oc</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>Br</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Bl</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Ch</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Co</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>E</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>FG</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>G</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Pa</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Pe</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>S</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>U</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>V</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Oc</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
</tbody>
</table>

---

**Make the Ocean Blue**
More Definitions

- A dense graph is one with a "large" number of edges
  - maximum number of edges?
- A sparse graph is one in which the number of edges is "much less" than the maximum possible number of edges
  - No standard cutoff for dense and sparse graphs

Graph Representation

- For dense graphs the adjacency matrix is a reasonable choice
  - For weighted graphs change booleans to double or int
  - Can the adjacency matrix handle directed graphs?
- Most graphs are sparse, not dense
- For sparse graphs an adjacency list is an alternative that uses less space
  - Each vertex keeps a list of edges indicating the vertices it is connected to.

Graph Implementation

```java
public class Graph {
    private static final double INFINITY = Double.MAX_VALUE;
    private Map<String, Vertex> vertices;

    public Graph() // create empty Graph

    public void addEdge(String source, String dest, double cost) {
        // find all paths from given vertex
    }

    public void findUnweightedShortestPath(String startName) {
        // called after findUnweightedShortestPath
    }

    public void printPath(String destName) {
    }
}
```

Graph Class

- This Graph class stores vertices
- Each vertex has an adjacency list
  - what vertices does it connect to?
- shortest path method finds all paths from start vertex to every other vertex in graph
- after shortest path method called queries can be made for path length from start node to destination node
Vertex Class (nested in Graph)

private static class Vertex
    private String name;
    private List<Edge> adjacent;

    public Vertex(String n)
    // for shortest path algorithms
    private double distance;
    private Vertex prev;
    private int scratch;

    // call before finding new paths
    public void reset();

Edge Class (nested in Graph)

private static class Edge
    private Vertex dest;
    private double cost;

    private Edge(Vertex d, double c)

Unweighted Shortest Path

- Given a vertex, S (for start) find the shortest path from S to all other vertices in the graph
- Graph is unweighted (set all edge costs to 1)

6 Degrees of Wikipedia

https://www.sixdegreesofwikipedia.com/
Word Ladders

- Agree upon dictionary
- Start word and end word of same length
- Change one letter at a time to form step
- Step must also be a word
- Example: Start = silly, end = funny

Clicker 3 - Graph Representation

- What are the vertices and when does an edge exist between two vertices?

Vertices | Edges
---|---
A. Letters | Words
B. Words | Words that share one or more letters
C. Letters | Words that share one or more letters
D. Words | Words that differ by one letter
E. Words | Letters

Clicker 4 - Size of Graph

- Number of vertices and edges depends on dictionary
- Modified Scrabble dictionary, 5 letter words
- Words are vertices
  - 8660 words
- Edge exists between word if they are one letter different
  - 24,942 edges

Is this graph sparse or dense?

A. Sparse
B. Dense

Max number of edges = \( \frac{N \times (N - 1)}{2} \)

37,493,470
Clicker 5 - Unweighted Shortest Path Algorithm

- Problem: Find the **shortest word ladder** between two words if one exists
- What kind of search should we use?
  A. Breadth First Search
  B. Depth First Search
  C. Either one

**Unweighted Shortest Path Algorithm**

- Set distance of start to itself to 0
- Create a queue and add the start vertex
- while the queue is not empty
  - remove front
  - loop through all edges of current vertex
    - get vertex edge connects to
    - if this vertex has not been visited (have not found path to the destination of the edge)
      - sets its distance to current distance + 1
      - sets its previous vertex to current vertex
      - add new vertex to queue

---

**Portion of Graph**

Start at "smart" and enqueue it [smart]
Deque (smart), loop through edges [smart]

Deque (smart), loop through edges [swart, start]

Deque (smart), loop through edges [swart, start, scart]

Deque (smart), loop through edges [swart, start, scart, smalt]
Dequeue (smart), loop through edges
[swart, start, scart, smalt, smarm]

Done with smart, dequeue (swart)
[start, scart, smalt, smarm]

loop through edges of swart (start already present)
[start, scart, smalt, smarm]

loop through edges of swart (scart already present)
[start, scart, smalt, smarm]
Unweighted Shortest Path

- Implement method
- demo
- how is path printed?
- The \textit{diameter} of a graph is the longest shortest past in the graph
- How to find?
- How to find \textit{center} of graph?
  - many measures of centrality
  - ours: vertex connected to the largest number of other vertices with the shortest average path length

Positive Weighted Shortest Path

- Edges in graph are weighted and all weights are positive
- Similar solution to unweighted shortest path
- Dijkstra's algorithm
- Edsger W. Dijkstra (1930–2002)
- UT Professor 1984 - 2000
- Algorithm developed in 1956 and published in 1959.
Dijkstra on Creating the Algorithm

What is the shortest way to travel from Rotterdam to Groningen, in general: from given city to given city. It is the algorithm for the shortest path, which I designed in about twenty minutes. One morning I was shopping in Amsterdam with my young fiancée, and tired, we sat down on the café terrace to drink a cup of coffee and I was just thinking about whether I could do this, and I then designed the algorithm for the shortest path. As I said, it was a twenty-minute invention. In fact, it was published in ’59, three years later. The publication is still readable, it is, in fact, quite nice. One of the reasons that it is so nice was that I designed it without pencil and paper. I learned later that one of the advantages of designing without pencil and paper is that you are almost forced to avoid all avoidable complexities. Eventually that algorithm became, to my great amazement, one of the cornerstones of my fame.


Dijkstra's Algorithm

- Pick the start vertex
- Set the cost of the start vertex to 0 and all other vertices to INFINITY
- While there are unvisited vertices:
  - Let the current vertex be the vertex with the lowest cost path from start to it that has not yet been visited
  - mark current vertex as visited
  - for each edge from the current vertex
    - if the sum of the cost of the current vertex and the cost of the edge is less than the cost of the destination vertex
      - update the cost of the destination vertex
      - set the previous of the destination vertex to the current vertex
      - THIS IS NOT VISITING THE NEIGHBORING VERTEX

Example of a Greedy Algorithm

- A Greedy Algorithm does what appears to be the best thing at each stage of solving a problem
- Gives best solution in Dijkstra's Algorithm
- Does NOT always lead to best answer
- Fair teams:
  - (10, 10, 8, 8, 8), 2 teams
- Making change with fewest coins
  - (1, 5, 10) 15 cents
  - (1, 5, 12) 15 cents

Vertex Class (nested in Graph)

```java
private static class Vertex
    private String name;
    private List<Edge> adjacent;
    public Vertex(String n)
        // for shortest path algorithms
        private double distance;
        private Vertex prev;
        private int scratch;

    public void reset()
    // call before finding new paths
```

Dijkstra's Algorithm
Clicker 6 - What is the cost of the lowest cost path from A to E?
A. 5
B. 17
C. 20
D. 28
E. 37

A is start vertex
Set cost of A to 0, all others to INFINITY
Place A in a priority queue

[(A,0)] pq
dequeue (A,0)
Mark A as visited

[] pq
current vertex A:
loop through A's edges
if sum of cost from A to dest is less than current cost
update cost and prev
A \rightarrow B, 0 + 1 < INFINITY

\[(B,1)\] pq

A \rightarrow C, 0 + 7 < INFINITY

\[(B,1), (C, 7)\] pq

\[(B,1), (C, 7), (G, 17)\] pq

current vertex B:
loop through B's edges
if sum of cost from B to edge is less than current cost
update cost and prev

\[(B,1), (C, 7), (G, 17)\] pq
[(C, 7), (G, 17)] pq
B -> C, 1 + 3 < 7
update C's cost and previous
[(C, 4), (C, 7), (G, 17)] pq

[(C, 4), (C, 7), (G, 17)] pq
B -> D, 1 + 21 < INFINITY
[(C, 4), (C, 7), (G, 17), (D, 22)] pq

[(C, 4), (C, 7), (G, 17), (D, 22)] pq
current vertex is C, cost 4
loop through C's edges

[(C, 7), (G, 17), (D, 22)] pq
C -> A, 7 + 4 !< 0, skip
[(C, 7), (G, 17), (D, 22)] pq
C → B, 4 + 3 !< 1, skip

[(C, 7), (G, 17), (D, 22)] pq
C → F, 4 + 3 < INFINITY

[(C, 7), (F, 7), (G, 17), (D, 22)] pq

current vertex is C
Already visited so skip

[(F, 7), (G, 17), (D, 22)] pq
current vertex is F
loop through F's edges
[(G, 17), (D, 22)] pq
F -> C, 7 + 3 !< 4, so skip

A
B
C
D
E
G

[(G, 17), (D, 22)] pq
F -> D, 7 + 4 < 22
update D's cost and previous
[(D, 11), (G, 17), (D, 22)] pq

A
B
C
D
E
G

[(D, 11), (G, 17), (D, 22)] pq
D -> B, 11 + 21 !< 1, so skip

[(G, 17), (D, 22)] pq

A
B
C
D
E
G

[(G, 17), (D, 22)] pq

A
B
C
D
E
G

[(D, 11), (G, 17), (D, 22)] pq

A
B
C
D
E
G

[(G, 17), (D, 22)] pq

A
B
C
D
E
G

[(D, 11), (G, 17), (D, 22)] pq

A
B
C
D
E
G
\[
\begin{align*}
&[(G, 17), (D, 22)] \text{ pq} \\
&D \rightarrow E, 11 + 6 < \text{INFINITY} \\
&\text{update E's cost and previous} \\
&[(G, 17), (E, 17), (D, 22)] \text{ pq} \\
\end{align*}
\]

\[
\begin{align*}
&[(G, 17), (E, 17), (D, 22)] \text{ pq} \\
&D \rightarrow F, 4 + 11 !< 7, \text{so skip} \\
\end{align*}
\]

\[
\begin{align*}
&[(G, 17), (E, 17), (D, 22)] \text{ pq} \\
&D \rightarrow G, 11 + 5 < 17 \\
&\text{update G's cost and previous} \\
&[(G, 16), (G, 17), (E, 17), (D, 22)] \text{ pq} \\
\end{align*}
\]

\[
\begin{align*}
&[(G, 17), (E, 17), (D, 22)] \text{ pq} \\
&\text{current vertex is G} \\
&\text{loop though edges, already visited all neighbors} \\
\end{align*}
\]
Implementing Dijkstra's

- Create a Path class to allow for multiple distances to a given vertex

```java
private static class Path
    implements Comparable<Path> {
    private Vertex dest;
    private double cost;
}
```

- Use a priority queue of Paths to store the vertices and distances

Why? References!!!

- Slide 74 and 75, adding new, lower cost path to Vertex D
- Abstractly: \([(G, 17), (D, 22)] \) became \([(D, 11), (G, 17), (D, 22)] \)
- What does priority queue store? References to Vertex Objects

<table>
<thead>
<tr>
<th>Vertex name</th>
<th>Vertex name</th>
<th>distance</th>
<th>distance</th>
</tr>
</thead>
<tbody>
<tr>
<td>G</td>
<td>D</td>
<td>17</td>
<td>22</td>
</tr>
</tbody>
</table>
Lower Cost Path to D

- New, lower cost path to D. Alter Vertex D's distance to 11 and add to priority queue
- Abstractly \([(D, 11), (G, 17), (D, 11)]\)

PROBLEMS?????

Alternatives to Dijkstra's Algorithm

- A*, pronounced "A Star"
- A heuristic, goal of finding shortest weighted path from single start vertex to goal vertex
- Uses actual distance like Dijkstra's but also estimates remaining cost or distance
  - distance is set to current distance from start PLUS the estimated distance to the goal
- For example when finding a path between towns, estimate the remaining distance as the straight-line (as the crow flies) distance between current location and goal.

Spanning Tree

- Spanning Tree: A tree of edges that connects all the vertices in a graph

Minimum Spanning Tree

- Minimum Spanning Tree: A spanning tree in a weighted graph with the lowest total cost
  - used in network design, taxonomy, Image registration, and more!

Clicker 7 - Minimum Spanning Tree

Cost of spanning tree shown?
A. 6
B. 7
C. 29
D. 61
E. None of These
Prim's Algorithm

- Pick a vertex arbitrarily from graph
  - In other words, it doesn't matter which one
- Add lowest cost edge between the tree and a vertex that is not part of the tree UNTIL every vertex is part of the tree
- Greedy Algorithm, very similar to Dijkstra's

Lowest cost edge from tree to vertex not in Tree?
2 from D to A (or C)

Pick D as root

Lowest cost edge from tree to vertex not in Tree?
2 from D to C (OR from A o B)
Prim's Algorithm

Lowest cost edge from tree to vertex not in Tree?
2 from A to B

CS314 Graphs 93

Lowest cost edge from tree to vertex not in Tree?
5 from D to G

CS314 Graphs 94

Lowest cost edge from tree to vertex not in Tree?
1 from G to F

CS314 Graphs 95

Lowest cost edge from tree to vertex not in Tree?
6 from G to E

CS314 Graphs 96
Prim's Algorithm

Pick D as root

Lowest cost edge from tree to vertex not in Tree?
4 from D to F

Lowest cost edge from tree to vertex not in Tree?
3 from F to C

Lowest cost edge from tree to vertex not in Tree?
3 from C to B
Prim's Algorithm

Lowest cost edge from tree to vertex not in Tree?
1 from B to A

Cost of Spanning Tree?

Lowest cost edge from tree to vertex not in Tree?
6 from D to E

Lowest cost edge from tree to vertex not in Tree?
5 from D to G

CS314 Graphs
Other Graph Algorithms

- Lots!
- [http://en.wikipedia.org/wiki/Category:Graph_algorithms](http://en.wikipedia.org/wiki/Category:Graph_algorithms)
**Topic 22**
**Hash Tables**

"hash collision n. [from the techspeak] (var. `hash clash') When used of people, signifies a confusion in associative memory or imagination, especially a persistent one (see thinko).

True story: One of us was once on the phone with a friend about to move out to Berkeley. When asked what he expected Berkeley to be like, the friend replied: 'Well, I have this mental picture of naked people throwing Molotov cocktails, but I think that's just a collision in my hash tables.'"

-The Hacker's Dictionary

---

**A Problem**

- From *Programming Pearls* (Jon in Italics)

*Why do you want to write your own sort at all? Why not use a sort provided by your system?*

I need the sort in the middle of a large system, and for obscure technical reasons, I can't use the system file-sorting program.

*What exactly are you sorting? How many records are in the file? What is the format of each record?*

The file contains at most ten million records; each record is a seven-digit integer.

*Wait a minute. If the file is that small, why bother going to disk at all? Why not just sort it in main memory?*

Although the machine has many megabytes of main memory, this function is part of a big system. I expect that I'll have only about a megabyte free at that point.

*Is there anything else you can tell me about the records?*

Each one is a seven-digit positive integer with no other associated data, and no integer can appear more than once.

---

**System Sort**

- Jon was senior programmer on a large programming project.
- Senior programmer spend a lot of time helping junior programmers.
- Junior programmer to Jon: "I need help writing a sorting algorithm."
Starting Other Programs

getRuntime

```java
public static Runtime getRuntime()
```

Returns the runtime object associated with the current Java application. Most of the methods of class Runtime are instance methods and must be invoked with respect to the current runtime object.

**Returns:**
the Runtime object associated with the current Java application.

---

Clicker 1 and 2

- When did this conversation take place?
  - A. circa 1965
  - B. circa 1975
  - C. circa 1985
  - D. circa 1995
  - E. circa 2005
- What were they sorting?
  - A. SSNs.
  - B. Random values
  - C. Street Addresses
  - D. Personal Incomes
  - E. Phone Numbers

---

A Solution

```java
public Process exec(String command) throws IOException
```

Executes the specified string command in a separate process.

This is a convenience method. An invocation of the form `exec(command)` behaves in exactly the same way as the invocation `exec(command, null, null)`.

**Parameters:**
command - a specified system command.

**Returns:**
A new Process object for managing the subprocess.

```java
/* phase 1: initialize set to empty */
for i = [0, n)
    bit[i] = 0

/* phase 2: insert present elements into the set */
for each i in the input file
    bit[i] = 1

/* phase 3: write sorted output */
for i = [0, n)
    if bit[i] == 1 write i on the output file
```
Some Structures so Far

- ArrayLists
  - O(1) access
  - O(N) insertion (average case), better at end
  - O(N) deletion (average case)

- LinkedLists
  - O(N) access
  - O(N) insertion (average case), better at front and back
  - O(N) deletion (average case), better at front and back

- Binary Search Trees
  - O(log N) access if balanced
  - O(log N) insertion if balanced
  - O(log N) deletion if balanced

Why are Binary Trees Better?

- Divide and Conquer - splitting problem into smaller problems
- Can we reduce the work by a bigger factor? 3? 10? More?
- An ArrayList does this in a way when accessing elements
  - but must use an integer value
  - each position holds a single element
  - given the index in an array, I can access that element rather quickly
  - determining the address of the element requires a multiply op and an add op

Hash Tables

- Hash Tables maintaining the fast access of arrays but improve the order for insertion, and deletion compare to array based lists.

- Hash tables use an array and hash functions to determine the index for each element.

Hash Functions

- Hash: "From the French hatcher, which means 'to chop'.
  to hash to mix randomly or shuffle (To cut up, to slash or hack about; to mangle)
- Hash Function: Take a large piece of data and reduce it to a smaller piece of data, usually a single integer.
  – A function or algorithm
  – The input need not be integers!
Hash Function

- **SHA 512 Hash code**

```
c3e6ef13fb80e349813047547174a35367fb19ee0ba704a27ab748ed99a6463671bcd1bef348bb42a5e883301ef786970fe303d0fd3f677f8d0a8fd04aeba798
```

*Apache_OpenOffice_4.1.7_Win_x86_install_en-US.exe*

**Simple Example**

- Assume we are using names as our key
  - take 3rd letter of name, take int value of letter \((a = 0, b = 1, \ldots)\), divide by 6 and take remainder
- What does "Bellers" hash to?
  - L -> 11 -> 11 % 6 = 5
Result of Hash Function

- Mike = (10 % 6) = 4
- Kelly = (11 % 6) = 5
- Olivia = (8 % 6) = 2
- Isabelle = (0 % 6) = 0
- David = (21 % 6) = 3
- Margaret = (17 % 6) = 5 (uh oh)
- Wendy = (13 % 6) = 1

This is an imperfect hash function. A perfect hash function yields a one to one mapping from the keys to the hash values.

What is the maximum number of values this function can hash perfectly?

Clicker 3 - Hash Function

- Assume the hash function for String adds up the Unicode value for each character.

```java
public int hashcode(String s) {
    int result = 0;
    for(int i = 0; i < s.length(); i++)
        result += s.charAt(i);
    return result;
}
```

- Hashcode for "DAB" and "BAD"?
  
  A. 301 103
  B. 4 4
  C. 412 214
  D. 5 5
  E. 199 199

More on Hash Functions

- transform the key (which may not be an integer) into an integer value
- The transformation can use one of four techniques
  - Mapping
  - Folding
  - Shifting
  - Casting

Hashing Techniques

- Mapping
  - As seen in the example
  - integer values or things that can be easily converted to integer values in key

- Folding
  - partition key into several parts and the integer values for the various parts are combined
  - the parts may be hashed first
  - combine using addition, multiplication, shifting, logical exclusive OR
Shifting

- More complicated with shifting
  
  ```java
  int hashVal = 0;
  int i = str.length() - 1;
  while(i > 0)
  { hashVal = (hashVal << 1) + (int) str.charAt(i);
    i--;
  }
  ```
  
  Different answers for "dog" and "god"

Shifting may give a better range of hash values when compared to just folding

Casts

- Very simple
  - Essentially casting as part of fold and shift when working with chars.

The Java String class

```java
public int hashCode() {
  int h = hash;
  if (h == 0 && value.length > 0) {
    char[] val = value;
    for (int i = 0; i < value.length; i++) {
      h = 31 * h + val[i];
    }
    hash = h;
  }
  return h;
}
```

Mapping Results

- Transform hashed key value into a legal index in the hash table
- Hash table is normally uses an array as its underlying storage container
- Normally get location on table by taking result of hash function, dividing by size of table, and taking remainder
  
  ```plaintext
  index = key mod n
  n is size of hash table
  ```

  Empirical evidence shows a prime number is best
  
  10 element hash table, move up to 11 or 13 elements

"Isabelle" `230492619` 

hashCode method

```
230492619 % 997 = 177
```

```
0 1 2 3 ..........177.............. 996
```

"Isabelle"
Handling Collisions

- What to do when inserting an element and already something present?

Open Addressing

- Could search forward or backwards for an open space
- Linear probing:
  - move forward 1 spot. Open?, 2 spots, 3 spots
  - reach the end?
  - When removing, insert a blank
  - null if never occupied, blank if once occupied
- Quadratic probing
  - 1 spot, 2 spots, 4 spots, 8 spots, 16 spots
- Resize when load factor reaches some limit

Closed Addressing: Chaining

- Each element of hash table be another data structure
  - linked list, balanced binary tree
  - More space, but somewhat easier
  - everything goes in its spot
- What happens when resizing?
  - Why don't things just collide again?

Hash Tables in Java

- `hashCode` method in `Object`
- `hashCode` and `equals`
  - "If two objects are equal according to the equals (Object) method, then calling the `hashCode` method on each of the two objects must produce the same integer result."
  - if you override `equals` you need to override `hashCode`
- Overriding one of `equals` and `hashCode`, but not the other, can cause logic errors that are difficult to track down.
Hash Tables in Java

- **HashTable class**
- **HashSet class**
  - implements Set interface with internal storage container that is a HashTable
  - compare to TreeSet class, internal storage container is a Red Black Tree
- **HashMap class**
  - implements the Map interface, internal storage container for keys is a hash table

Comparison

- Compare these data structures for speed:
  - Java HashSet
  - Java TreeSet
  - our naïve Binary Search Tree
  - our HashTable
  - Insert random ints

Clicker 4

- What will be order from fastest to slowest?
  A. HashSet TreeSet HashTable314 BST
  B. HashSet HashTable314 TreeSet BST
  C. TreeSet HashSet BST HashTable314
  D. HashTable314 HashSet BST TreeSet
  E. None of these
Topic 23

Red Black Trees

"People in every direction
No words exchanged
No time to exchange
And all the little ants are marching
Red and Black antennas waving"

-Ants Marching, Dave Matthew's Band

"Welcome to L.A.'s Automated Traffic Surveillance and Control Operations Center. See, they use video feeds from intersections and specifically designed algorithms to predict traffic conditions, and thereby control traffic lights. So all I did was come up with my own... kick ass algorithm to sneak in, and now we own the place."

-Lyle, the Napster, (Seth Green), The Italian Job

Binary Search Trees

- Average case and worst case Big O for
  - insertion
  - deletion
  - access
- Balance is important. Unbalanced trees give worse than log N times for the basic tree operations
- Can balance be guaranteed?

Red Black Trees

- A BST with more complex algorithms to ensure balance
- Each node is labeled as Red or Black.
- Path: A unique series of links (edges) traverses from the root to each node.
  - The number of edges (links) that must be followed is the path length
- In Red Black trees paths from the root to elements with 0 or 1 child are of particular interest

Clicker 1

- 2000 elements are inserted one at a time into an initially empty binary search tree using the simple algorithm. What is the maximum possible height of the resulting tree?

A. 1
B. 11
C. 21
D. 500
E. 1999
Paths to Single or Zero Child Nodes

- How many?

Red Black Tree Rules

1. Every node is colored either red or black
2. The root is black
3. If a node is red its children must be black. (a.k.a. the red rule)
4. Every path from a node to a null link must contain the same number of black nodes (a.k.a. the path rule)

Example of a Red Black Tree

- The root of a Red Black tree is black
- Every other node in the tree follows these rules:
  - Rule 3: If a node is Red, all of its children are Black
  - Rule 4: The number of Black nodes must be the same in all paths from the root node to null nodes

Red Black Tree?

- The root of a Red Black tree is black
- Every other node in the tree follows these rules:
  - Rule 3: If a node is Red, all of its children are Black
  - Rule 4: The number of Black nodes must be the same in all paths from the root node to null nodes
Clicker 2

- Is the tree on the previous slide a binary search tree? Is it a red black tree?
  - BST?  Red-Black?
  A. No  No
  B. No  Yes
  C. Yes  No
  D. Yes  Yes

Clicker 3

- Is the tree on the previous slide a binary search tree? Is it a red black tree?
  - BST?  Red-Black?
  A. No  No
  B. No  Yes
  C. Yes  No
  D. Yes  Yes

Implications of the Rules

- If a Red node has any children, it must have two children and they must be Black. (Why?)
- If a Black node has only one child that child must be a Red leaf. (Why?)
- Due to the rules there are limits on how unbalanced a Red Black tree may become.
  – on the previous example may we hang a new node off of the leaf node that contains 0?
Properties of Red Black Trees

- If a Red Black Tree is complete, with all Black nodes except for Red leaves at the lowest level the height will be minimal, $\sim \log N$.
- To get the max height for N elements there should be as many Red nodes as possible down one path and all other nodes are Black.
  - This means the max height would be approximately $2 \times \log N$ (don't use this as a formula).
  - Typically less than this.
  - See example on next slide.
  - Interesting exercise, draw max height tree with N nodes.

Maintaining the Red Black Properties in a Tree

- Insertions
- Must maintain rules of Red Black Tree.
- New Node always a leaf
  - Can't be black or we will violate rule 4.
  - Therefore the new leaf must be red.
  - If parent is black, done (trivial case).
  - If parent red, things get interesting because a red leaf with a red parent violates rule 3.

Insertions with Red Parent - Child

Must modify tree when insertion would result in Red Parent - Child pair using color changes and rotations.
Case 1

- Suppose sibling of parent is Black.
  - by convention null nodes are black
- In the previous tree, true if we are inserting a 3 or an 8.
  - What about inserting a 99? Same case?
- Let X be the new leaf Node, P be its Red Parent, S the Black sibling and G, P's and S's parent and X's grandparent
  - What color is G?

Fixing the Problem

If X is an outside node a single rotation between P and G fixes the problem. A rotation is an exchange of roles between a parent and child node. So P becomes G's parent. Also must recolor P and G.

Case 1 - The Picture

Relative to G, X could be an inside or outside node. Outside -> left left or right right moves Inside -> left right or right left moves

Single Rotation

Apparent rule violation?
Recall, S is null if X is a leaf, so no problem
If this occurs higher in the tree (why?) subtrees A, B, and C will have one more black node than D and E.
Case 2

- What if X is an inside node relative to G?
  - a single rotation will not work
- Must perform a double rotation
  - rotate X and P
  - rotate X and G

![Diagram of a tree with nodes P, G, S, X, A, B, C, D, E showing the structure before and after rotations.]

First Rotation

- Rotate P and X, no color change

![Diagram of a tree after the first rotation showing nodes P, X, G, S, C, D, E.]

- What does this actually do?

After Double Rotation

![Diagram of a tree after the double rotation showing nodes P, X, G, S, A, B, C, D, E.]

Case 3

Sibling is Red, not Black

![Diagram of a tree with nodes X, P, G, S, C, D, E showing the structure after the case 3 scenario.]

- Any problems?
Fixing Tree when S is Red

- Must perform single rotation between parent, P and grandparent, G, and then make appropriate color changes.

More on Insert

- Problem: What if on the previous example G's parent (GG!) had been red?
- Easier to never let Case 3 ever occur!
- On the way down the tree, if we see a node X that has 2 Red children, we make X Red and its two children black.
  - if recolor the root, recolor it to black
  - the number of black nodes on paths below X remains unchanged
  - If X's parent was Red then we have introduced 2 consecutive Red nodes. (violation of rule)
  - to fix, apply rotations to the tree, same as inserting node.

Example of Inserting Sorted Numbers

- 1 2 3 4 5 6 7 8 9 10

  Insert 1. A leaf so red. Realize it is root so recolor to black.

  Insert 2

  make 2 red. Parent is black so done.
Insert 3

Insert 3. Parent is red. Parent's sibling is black (null). 3 is outside relative to grandparent. Rotate parent and grandparent.

On way down see 2 with 2 red children. Recolor 2 red and children black.

When adding 4 parent is black so done. Set root to black!

5's parent is red. Parent's sibling is black (null). 5 is outside relative to grandparent (3) so rotate parent and grandparent then recolor

Finish insert of 5
Insert 6

On way down see 4 with 2 red children. Make 4 red and children black. 4's parent is black so no problem.

Finishing insert of 6

6's parent is black so done.

Insert 7

7's parent is red. Parent's sibling is black (null). 7 is outside relative to grandparent (5) so rotate parent and grandparent then recolor.

Finish insert of 7
Insert 8

The caveat!!!
Getting unbalanced on that right subtree?!?

On way down see 6 with 2 red children.
Make 6 red and children black. This creates a problem because 6's parent, 4, is also red. Must perform rotation.

Still Inserting 8

Recolored now need to rotate.

Recall, the subtrees and the one extra black node.

Finish inserting 8

Recolored now need to rotate

Insert 9

On way down see 4 has two red children so recolor 4 red and children black.
Realize 4 is the root so recolor black
Finish Inserting 9

After rotations and recoloring

4
2
6
1
3
5
8
7
9

Insert 10

On way down see 8 has two red children so change 8 to red and children black

4
2
6
1
3
5
8
7
9
10

Insert 11

Again a rotation is needed.

4
2
6
1
3
5
8
7
9
10
11

Finish inserting 11
"You think you know when you can **learn**, are more sure when you can **write**, even more when you can **teach**, but certain when you can **program**."

- Alan Perlis

### Priority Queue

- Recall priority queue
  - elements enqueued based on priority
  - dequeue removes the highest priority item

### Options?
- List? Binary Search Tree? **Clicker 1**

<table>
<thead>
<tr>
<th>Linked List enqueue</th>
<th>BST enqueue</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. O(N)</td>
<td>O(1)</td>
</tr>
<tr>
<td>B. O(N)</td>
<td>O(logN)</td>
</tr>
<tr>
<td>C. O(N)</td>
<td>O(N)</td>
</tr>
<tr>
<td>D. O(logN)</td>
<td>O(logN)</td>
</tr>
<tr>
<td>E. O(1)</td>
<td>O(logN)</td>
</tr>
</tbody>
</table>

### Another Option

- **A heap**
  - not to be confused with the runtime heap (portion of memory for dynamically allocated variables)

- **A complete binary tree**
  - all levels have maximum number of nodes except deepest where nodes are filled in from left to right

- **Maintains the heap order property**
  - in a min heap the value in the root of any subtree is less than or equal to all other values in the subtree

### Clicker 2

- In a max heap with no duplicates where is the largest value?
  - A. the root of the tree
  - B. in the left-most node
  - C. in the right-most node
  - D. a node in the lowest level
  - E. none of these
Example Min Heap

Enqueue Operation
- Add new element to next open spot in array
- Swap with parent if new value is less than parent
- Continue back up the tree as long as the new value is less than new parent node

Enqueue Example
- Add 15 to heap (initially next left most node)
- Swap 15 and 52
Enqueue Example

- Swap 15 and 17, then stop

Enqueue Example

- Insert the following values 1 at a time into a min heap:
  16 9 5 8 13 8 8 5 5 19 27 9 3

Internal Storage

- Interestingly heaps are often implemented with an array instead of nodes

for element at index i:
  parent index: \( i / 2 \)
  left child index: \( i \times 2 \)
  right child index: \( i \times 2 + 1 \)
PriorityQueue Class

public class PriorityQueue<E extends Comparable<? super E>> {
    private int size;
    private E[] con;

    public PriorityQueue() {
        heap = getArray(2);
    }

    private E[] getArray(int size) {
        return (E[]) (new Comparable[size]);
    }

    private void enlargeArray(int newSize) {
        E[] temp = getArray(newSize);
        System.arraycopy(con, 1, temp, 1, size);
        con = temp;
    }

    public void enqueue(E val) {
        if (size >= con.length - 1)
            enlargeArray(con.length * 2 + 1);
        size++;
        int indexToPlace = size;
        while (indexToPlace > 1 && val.compareTo(con[indexToPlace / 2]) < 0) {
            con[indexToPlace] = con[indexToPlace / 2]; // swap
            indexToPlace /= 2; // change indexToPlace to parent
        }
        con[indexToPlace] = val;
    }

    Enqueue Example
    With Array Shown
    ▶ Add 15 to heap
    (initially next left most node)
    ▶ Swap 15 and 52

    12 17 15 19 52 37 25 45 21
    45 21 15
    10 / 2 = 5 (index of parent)
    5 / 2 = 2 (index of parent)
Enqueue Example
With Array Shown

- Swap 15 and 17

Dequeue -> remove 12

min value / front of queue is in root of tree

swap value from last node to root and move
down swapping with smaller child unless
values is smaller than both children
Dequeue Example

Swap 35 into root (save 12 to return)

Min child?
1 * 2 = 2 -> 15
1 * 2 + 1 = 3 -> 13
Swap with 13
Dequeue Example

Min child?
- $3 \times 2 = 6 \rightarrow 45$
- $3 \times 2 + 1 = 7 \rightarrow 53$

Less than or equal to both of my children!
Stop!

<table>
<thead>
<tr>
<th>0</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
<th>11</th>
<th>12</th>
<th>13</th>
<th>14</th>
<th>15</th>
</tr>
</thead>
<tbody>
<tr>
<td>13</td>
<td>15</td>
<td>35</td>
<td>17</td>
<td>23</td>
<td>45</td>
<td>53</td>
<td>45</td>
<td>21</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Clicker 3 - PriorityQueue Comparison

- Run a Stress test of PQ implemented with Heap and PQ implemented with BinarySearchTree
- What will result be?
A. Heap takes half the time or less of BST
B. Heap faster, but not twice as fast
C. About the same
D. BST faster, but not twice as fast
E. BST takes half the time or less of Heap

Dequeue Code

```java
public E dequeue() {
    E top = con[1];
    int hole = 1;
    boolean done = false;
    while ( hole * 2 < size && !done ) {
        int child = hole * 2;
        // see which child is smaller
        if ( con[child].compareTo( con[child + 1] ) > 0 )
            child++;
        // is replacement value bigger than child?
        if (con[size].compareTo(con[child]) > 0 ) {
            con[hole] = con[child];
            hole = child;
        } else
            done = true;
    }
    con[hole] = con[size];
    size--;
    return top;
}
```
"Thus, I thought *dynamic programming* was a good name. It was something not even a Congressman could object to. So I used it as an umbrella for my activities"
- Richard E. Bellman

### Origins
- A method for solving complex problems by breaking them into smaller, easier, sub problems
- Term *Dynamic Programming* coined by mathematician Richard Bellman in early 1950s
  - employed by Rand Corporation
  - Rand had many, large military contracts
  - Secretary of Defense, Charles Wilson “against research, especially mathematical research”
  - how could any one oppose "dynamic"?

### Dynamic Programming
- Break big problem up into smaller problems ...
  - Sound familiar?
- Recursion?
  - $N! = 1$ for $N == 0$
  - $N! = N \times (N - 1)!$ for $N > 0$

### Fibonacci Numbers
- $1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 114, \ldots$
- $F_1 = 1$
- $F_2 = 1$
- $F_N = F_{N-1} + F_{N-2}$
  - Recursive Solution?
Failing Spectacularly

Naïve recursive method

```java
// pre: n > 0
// post: return the nth Fibonacci number
public int fib(int n) {
    if (n <= 2)
        return 1;
    else
        return fib(n - 1) + fib(n - 2);
}
```

Clicker 1 - Order of this method?
A. O(1)  B. O(log N)  C. O(N)  D. O(N²)  E. O(2^N)

Failing Spectacularly

Clicker 2 - Failing Spectacularly

50th fibonacci number: -298632863 - Time: 37.21 seconds

How long to calculate the 70th Fibonacci Number with this method?
A. 37 seconds  B. 74 seconds  C. 740 seconds  D. 14,800 seconds  E. None of these
Aside - Overflow

- at 47th Fibonacci number overflows int
- Could use BigInteger class instead

```java
private static final BigInteger one
    = new BigInteger("1");

private static final BigInteger two
    = new BigInteger("2");

public static BigInteger fib(BigInteger n) {
    if(n.compareTo(two) <= 0)
        return one;
    else {
        BigInteger firstTerm = 
            fib(n.subtract(two));
        BigInteger secondTerm = 
            fib(n.subtract(one));
        return firstTerm.add(secondTerm);
    }
}
```

Aside - BigInteger

- Answers correct beyond 46th Fibonacci number
- Even slower, math on BigIntegers, object creation, and garbage collection

<table>
<thead>
<tr>
<th>Fibonacci Number</th>
<th>Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>37th</td>
<td>2.406739213</td>
</tr>
<tr>
<td>38th</td>
<td>3.680196724</td>
</tr>
<tr>
<td>39th</td>
<td>5.941275208</td>
</tr>
<tr>
<td>40th</td>
<td>9.63855468</td>
</tr>
<tr>
<td>41th</td>
<td>15.659745756</td>
</tr>
<tr>
<td>42th</td>
<td>25.404417949</td>
</tr>
<tr>
<td>43th</td>
<td>40.867030512</td>
</tr>
<tr>
<td>44th</td>
<td>66.391845965</td>
</tr>
<tr>
<td>45th</td>
<td>106.964369924</td>
</tr>
<tr>
<td>46th</td>
<td>178.981819822</td>
</tr>
<tr>
<td>47th</td>
<td>287.052365326</td>
</tr>
</tbody>
</table>

Slow Fibonacci

- Why so slow?
- Algorithm keeps calculating the same value over and over
- When calculating the 40th Fibonacci number the algorithm calculates the 4th Fibonacci number 24,157,817 times!!!

Fast Fibonacci

- Instead of starting with the big problem and working down to the small problems
- ... start with the small problem and work up to the big problem

```java
public static BigInteger fastFib(int n) {
    BigInteger smallTerm = one;
    BigInteger largeTerm = one;
    for(int i = 3; i <= n; i++) {
        BigInteger temp = largeTerm;
        largeTerm = largeTerm.add(smallTerm);
        smallTerm = temp;
    }
    return largeTerm;
}
```
Fast Fibonacci

| 1th fibonacci number: 1 | Time: 4.467E-6 |
| 2th fibonacci number: 1 | Time: 4.47E-7 |
| 3th fibonacci number: 2 | Time: 7.146E-6 |
| 4th fibonacci number: 3 | Time: 2.68E-6 |
| 5th fibonacci number: 5 | Time: 2.68E-6 |
| 6th fibonacci number: 8 | Time: 2.679E-6 |
| 7th fibonacci number: 13 | Time: 3.573E-6 |
| 8th fibonacci number: 21 | Time: 4.02E-6 |
| 9th fibonacci number: 34 | Time: 4.466E-6 |
| 10th fibonacci number: 55 | Time: 4.467E-6 |
| 11th fibonacci number: 89 | Time: 4.913E-6 |
| 12th fibonacci number: 144 | Time: 6.253E-6 |
| 13th fibonacci number: 233 | Time: 6.253E-6 |
| 14th fibonacci number: 377 | Time: 5.806E-6 |
| 15th fibonacci number: 610 | Time: 6.7E-6 |
| 16th fibonacci number: 987 | Time: 7.146E-6 |
| 17th fibonacci number: 1597 | Time: 7.146E-6 |

Memoization

- Store (cache) results from computations for later lookup
- Memoization of Fibonacci Numbers

```java
public class FibMemo {

    private static List<BigInteger> lookupTable = new ArrayList<BigInteger>();

    private static final BigInteger one = new BigInteger("1");

    static {
        // no fib for n == 0
        lookupTable.add(null);
        lookupTable.add(one);
        lookupTable.add(one);
    }

    public static BigInteger fib(int n) {
        // check lookup table
        if (n < lookupTable.size())
            return lookupTable.get(n);

        // must calculate nth fibonacci
        // don't repeat work
        BigInteger smallTerm = lookupTable.get(lookupTable.size() - 2);
        BigInteger largeTerm = lookupTable.get(lookupTable.size() - 1);
        for (int i = lookupTable.size(); i <= n; i++) {
            BigInteger temp = largeTerm;
            largeTerm = largeTerm.add(smallTerm);
            lookupTable.add(largeTerm); // memo
            smallTerm = temp;
        }

        return largeTerm;
    }
}
```
Dynamic Programming

- When to use?
  - When a big problem can be broken up into subproblems.
- Solution to original problem can be calculated from results of smaller problems.
- Sub problems must have a natural ordering from smallest to largest (simplest to hardest)
  - larger problems depend on previous solutions
- Multiple techniques within DP

DP Algorithms

- Step 1: Define the *meaning* of the subproblems (in English for sure, Mathematically as well if you find it helpful).
- Step 2: Show where the solution will be found.
- Step 3: Show how to set the first subproblem.
- Step 4: Define the order in which the subproblems are solved.
- Step 5: Show how to compute the answer to each subproblem using the previously computed subproblems. (This step is typically polynomial, once the other subproblems are solved.)

Dynamic Programming Requires:

- overlapping sub problems:
  - problem can be broken down into sub problems
  - obvious with Fibonacci
  - Fib(N) = Fib(N - 2) + Fib(N - 1) for N >= 3
- optimal substructure:
  - the optimal solution for a problem can be constructed from optimal solutions of its sub problems
  - In Fibonacci just sub problems, no optimality
  - min coins opt(36) = 1_{12} + opt(24) = [1, 5, 12]

Dynamic Programming Example

- Another simple example
- Finding the best solution involves finding the best answer to simpler problems
- Given a set of coins with values \((V_1, V_2, \ldots, V_N)\) and a target sum \(S\), find the fewest coins required to equal \(S\)
- What is Greedy Algorithm approach?
- Does it always work?
- \{1, 5, 12\} and target sum = 15
- Could use recursive backtracking …
Minimum Number of Coins

- To find minimum number of coins to sum to 15 with values {1, 5, 12} start with sum 0
  - recursive backtracking would likely start with 15
- Let $M(S) =$ minimum number of coins to sum to $S$
- At each step look at target sum, coins available, and previous sums
  - pick the smallest option

M(0) = 0 coins
M(1) = 1 coin (1 coin)
M(2) = 2 coins (1 coin + M(1))
M(3) = 3 coins (1 coin + M(2))
M(4) = 4 coins (1 coin + M(3))
M(5) = interesting, 2 options available:
  1 + others OR single 5
  if 1 then 1 + M(4) = 5, if 5 then 1 + M(0) = 1
  clearly better to pick the coin worth 5

Options: 1, 5

M(6) = 2 (1 coin + M(5))
M(7) = 3 (1 coin + M(6))
M(8) = 4 (1 coin + M(7))
M(9) = 5 (1 coin + M(8))
M(10) = 2 (1 coin + M(5))
  options: 1, 5

Options: 1, 5, 12

M(11) = 2 (1 coin + M(10))
  options: 1, 5
M(12) = 1 (1 coin + M(0))
  options: 1, 5, 12
M(13) = 2 (1 coin + M(12))
  options: 1, 12
M(14) = 3 (1 coin + M(13))
  options: 1, 12
M(15) = 3 (1 coin + M(10))
  options: 1, 5, 12

KNAPSACK PROBLEM - RECURSIVE BACKTRACKING AND DYNAMIC PROGRAMMING
Knapsack Problem

- A variation of a *bin packing* problem
- Similar to fair teams problem from recursion assignment
- You have a set of items
- Each item has a weight and a value
- You have a knapsack with a weight limit
- Goal: Maximize the **value** of the items you put in the knapsack without exceeding the weight limit

Knapsack Example

- Items:

<table>
<thead>
<tr>
<th>Item Number</th>
<th>Weight of Item</th>
<th>Value of Item</th>
<th>Value per unit Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>6</td>
<td>6.0</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>11</td>
<td>5.5</td>
</tr>
<tr>
<td>3</td>
<td>4</td>
<td>1</td>
<td>0.25</td>
</tr>
<tr>
<td>4</td>
<td>4</td>
<td>12</td>
<td>3.0</td>
</tr>
<tr>
<td>5</td>
<td>6</td>
<td>19</td>
<td>3.167</td>
</tr>
<tr>
<td>6</td>
<td>7</td>
<td>12</td>
<td>1.714</td>
</tr>
</tbody>
</table>

- Weight Limit = 8

- One greedy solution: Take the highest ratio item that will fit: (1, 6), (2, 11), and (4, 12)
- Total value = 6 + 11 + 12 = 29
- **Clicker 3** - Is this optimal?  
  A. No  
  B. Yes

Knapsack - Recursive Backtracking

```java
private static int knapsack(ArrayList<Item> items, int current, int capacity) {
    int result = 0;
    if (current < items.size()) {
        // don't use item
        int withoutItem = knapsack(items, current + 1, capacity);
        int withItem = 0;
        // if current item will fit, try it
        Item currentItem = items.get(current);
        if (currentItem.weight <= capacity) {
            withItem += knapsack(items, current + 1, capacity - currentItem.weight);
        }
        result = Math.max(withoutItem, withItem);
    }
    return result;
}
```

Knapsack - Dynamic Programming

- Recursive backtracking starts with max capacity and makes choice for items: choices are:
  - take the item if it fits
  - don't take the item
- Dynamic Programming, start with simpler problems
- Reduce number of items available
- ... AND Reduce weight limit on knapsack
- Creates a 2d array of possibilities
Knapsack - Optimal Function

- OptimalSolution(items, weight) is best solution given a subset of items and a weight limit
- 2 options:
  - OptimalSolution does not select \( i \)th item
    - select best solution for items 1 to \( i - 1 \) with weight limit of \( w \)
  - OptimalSolution selects \( i \)th item
    - New weight limit = \( w - \) weight of \( i \)th item
    - select best solution for items 1 to \( i - 1 \) with new weight limit

Knapsack Optimal Function

- OptimalSolution(items, weight limit) =
  - 0 if 0 items
  - OptimalSolution(items - 1, weight) if weight of \( i \)th item is greater than allowed weight \( w_i > w \)  (In others \( i \)th item doesn't fit)
  - max of (OptimalSolution(items - 1, w), value of \( i \)th item + OptimalSolution(items - 1, w - \( w_i \))

Knapsack - Algorithm

- Create a 2d array to store value of best option given subset of items and possible weights
- In our example 0 to 6 items and weight limits of of 0 to 8
- Fill in table using OptimalSolution Function

Knapsack Algorithm

Given N items and WeightLimit
Create Matrix M with N + 1 rows and WeightLimit + 1 columns
For weight = 0 to WeightLimit
  M[0, w] = 0
For item = 1 to N
  for weight = 1 to WeightLimit
    if(weight of ith item > weight)
      M[item, weight] = M[item - 1, weight]
    else
      M[item, weight] = max of M[item - 1, weight] AND value of item + M[item - 1, weight - weight of item]
Knapsack - Table

<table>
<thead>
<tr>
<th>Item</th>
<th>Weight</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>6</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>11</td>
</tr>
<tr>
<td>3</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>4</td>
<td>4</td>
<td>12</td>
</tr>
<tr>
<td>5</td>
<td>6</td>
<td>19</td>
</tr>
<tr>
<td>6</td>
<td>7</td>
<td>12</td>
</tr>
</tbody>
</table>

items / capacity 0 1 2 3 4 5 6 7 8

{} 0 0 0 0 0 0 0 0 0
{1} 0 6 6 6 6 6 6 6 6
{1, 2} 0 6 11 17 17 17 17 17 17
{1, 2, 3} 0 6 11 17 17 17 17 17 18
{1, 2, 3, 4} 0 6 11 17 17 17 17 18 23
{1, 2, 3, 4, 5} 0 6 11 17 17 17 18 23 29
{1, 2, 3, 4, 5, 6} 0 6 11 17 17 18 23 29 30

Knapsack - Completed Table

<table>
<thead>
<tr>
<th>items / weight</th>
<th>0</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
</tr>
</thead>
<tbody>
<tr>
<td>{}</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>{1}</td>
<td>0</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td>{1, 2, 3, 4}</td>
<td>0</td>
<td>6</td>
<td>11</td>
<td>17</td>
<td>17</td>
<td>17</td>
<td>17</td>
<td>17</td>
<td>17</td>
</tr>
<tr>
<td>{1, 2, 3, 4, 5}</td>
<td>0</td>
<td>6</td>
<td>11</td>
<td>17</td>
<td>17</td>
<td>18</td>
<td>23</td>
<td>29</td>
<td>29</td>
</tr>
<tr>
<td>{1, 2, 3, 4, 5, 6}</td>
<td>0</td>
<td>6</td>
<td>11</td>
<td>17</td>
<td>17</td>
<td>18</td>
<td>23</td>
<td>29</td>
<td>30</td>
</tr>
</tbody>
</table>

Knapsack - Items to Take

<table>
<thead>
<tr>
<th>items / weight</th>
<th>0</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
</tr>
</thead>
<tbody>
<tr>
<td>{}</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>{1}</td>
<td>0</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td>{1, 2, 3, 4}</td>
<td>0</td>
<td>6</td>
<td>11</td>
<td>17</td>
<td>17</td>
<td>17</td>
<td>17</td>
<td>17</td>
<td>17</td>
</tr>
<tr>
<td>{1, 2, 3, 4, 5}</td>
<td>0</td>
<td>6</td>
<td>11</td>
<td>17</td>
<td>17</td>
<td>18</td>
<td>23</td>
<td>29</td>
<td>29</td>
</tr>
<tr>
<td>{1, 2, 3, 4, 5, 6}</td>
<td>0</td>
<td>6</td>
<td>11</td>
<td>17</td>
<td>17</td>
<td>18</td>
<td>23</td>
<td>29</td>
<td>30</td>
</tr>
</tbody>
</table>

Dynamic Knapsack

```java
public static int knapsack(ArrayList<Item> items, int maxCapacity) {
    final int ROWS = items.size() + 1;
    final int COLS = maxCapacity + 1;
    int[][] partialSolutions = new int[ROWS][COLS];

    for (int item = 1; item <= items.size(); item++) {
        for (int capacity = 0; capacity <= maxCapacity; capacity++) {
            Item currentItem = items.get(item - 1);
            int best = partialSolutions[item - 1][capacity];
            if (currentItem.weight <= capacity) {
                int withItem = currentItem.value;
                int capLeft = capacity - currentItem.weight;
                withItem += partialSolutions[item - 1][capLeft];
                if (withItem > best) {
                    best = withItem;
                }
            }
            partialSolutions[item][capacity] = best;
        }
    }
    return partialSolutions[ROWS - 1][COLS - 1];
}
```

CS314 Dynamic Programming
Dynamic vs. Recursive Backtracking

Number of items: 34. Capacity: 258
Recursive knapsack. Answer: 433, time: 111.77610595
Dynamic knapsack. Answer: 433, time: 2.6353E-5

Number of items: 35. Capacity: 199
Recursive knapsack. Answer: 318, time: 154.049166387
Dynamic knapsack. Answer: 310, time: 2.3673E-5

Number of items: 36. Capacity: 260
Recursive knapsack. Answer: 436, time: 451.122478468
Dynamic knapsack. Answer: 436, time: 3.0373E-5

Number of items: 37. Capacity: 238
Recursive knapsack. Answer: 411, time: 636.560835011
Dynamic knapsack. Answer: 411, time: 3.5285E-5

Number of items: 38. Capacity: 308

Clicker 4

Which approach to the knapsack problem uses more memory?
A. the recursive backtracking approach
B. the dynamic programming approach
C. they use about the same amount of memory
In 1959, (Edward) Fredkin recommended that BBN (Bolt, Beranek and Newman, now BBN Technologies) purchase the very first PDP-1 to support research projects at BBN. The PDP-1 came with no software whatsoever.

Fredkin wrote a PDP-1 assembler called FRAP (Free of Rules Assembly Program),”

Tries were first described by René de la Briandais in File searching using variable length keys.

Tries aka Prefix Trees

- Pronunciation:
- From retrieve
- Name coined by Computer Scientist Edward Fredkin
- Retrieval so “tree”
- … but that is very confusing so most people pronounce it “try”

Predictive Text and AutoComplete

- Search engines and texting applications guess what you want after typing only a few characters
AutoComplete

- So do other programs such as IDEs

```java
String name = "Kelly J";
while (...)
{
  ...;
}
```

Searching a Dictionary

- How?
  - Could search a set for all values that start with the given prefix.
  - Naively $O(N)$ (search the whole data structure).
  - Could improve if possible to do a binary search for prefix and then localize search to that location.
  - May be more difficult if prefix is not actually in the set or dictionary

Tries

- A general tree
- Root node (or possible a list of root nodes)
- Nodes can have many children — not a binary tree
- In simplest form each node stores a character and a data structure (list?) to refer to its children
- Stores all the words or phrases in a dictionary.
- How?

René de la Briandais Original Paper

![Trie Diagram](https://example.com/trie.png)

Fig. 1—Formation of a set of tables.
**Picture of a Dinosaur**

---

**Can**

![Diagram of Can](image)

*All entries of any one table are covered by a single arc (→).

Fig. 1—Formation of a set of tables.

---

**Candy**

![Diagram of Candy](image)

*All entries of any one table are covered by a single arc (→).

Fig. 1—Formation of a set of tables.

---

**Fox**

![Diagram of Fox](image)

*All entries of any one table are covered by a single arc (→).

Fig. 1—Formation of a set of tables.
Clicker 2

- Is “fast” in the dictionary represented by this Trie?
  A. No
  B. Yes
  C. It depends

Clicker 3

- Is “fist” in the dictionary represented by this Trie?
  A. No
  B. Yes
  C. It depends

Tries

- Another example of a Trie
- Each node stores:
  - A char
  - A boolean indicating if the string ending at that node is a word
  - A list of children

Predictive Text and AutoComplete

- As characters are entered, we descend the Trie
- … and from the current node …
- … we can descend to terminators and leaves to see all possible words based on current prefix
- b, e, e -> bee, been, bees
Tries

- Stores words and phrases.
  - other values possible, but typically Strings
- The whole word or phrase is not actually stored in a single node.
  - rather the path in the tree represents the word.

TNode Class

```java
private static class TNode {
    private boolean word;
    private char ch;
    private LinkedList<TNode> children;
}
```

- Basic implementation uses a LinkedList of TNode objects for children
- Other options?
  - ArrayList?
  - Something more exotic?

Implementing a Trie

```java
public class Trie {

    private TNode root;
    private int size;
    private int numNodes;

    public Trie() {
        root = new TNode();
        numNodes = 1;
    }
}
```

Basic Operations

- Adding a word to the Trie
- Getting all words with given prefix
- Demo in IDE
Compressed Tries

- Some words, especially long ones, lead to a chain of nodes with single child, followed by single child:

![Trie diagram showing compressed nodes]

Compressed Trie

- Reduce number of nodes, by having nodes store Strings
- A chain of single child followed by single child (followed by single child ... ) is compressed to a single node with that String
- Does not have to be a chain that terminates in a leaf node
  - Can be an internal chain of nodes

Original, Uncompressed

![Trie diagram showing uncompressed nodes]

Compressed Version

- 8 fewer nodes compared to uncompressed version
- s – t – o – c - k
Data Structures

- Data structures we have studied
  - arrays, array based lists, linked lists, maps, sets, stacks, queues, trees, binary search trees, graphs, hash tables, red-black trees, priority queues, heaps, tries
- Most program languages have some built in data structures, native or library
- Must be familiar with performance of data structures
  - best learned by implementing them yourself

Must be able to learn new and apply new data structures

deque, b-trees, quad-trees, binary space partition trees, skip list, sparse list, sparse matrix, union-find data structure, Bloom filters, AVL trees, 2-3-4 trees, and more!

We have not covered every data structure

“It’s a long-standing principle of programming style that the functional elements of a program should not be too large. If some component of a program grows beyond the stage where it's readily comprehensible, it becomes a mass of complexity which conceals errors as easily as a big city conceals fugitives. Such software will be hard to read, hard to test, and hard to debug.” – Paul Graham

Java 8 FP features

1. Effect-free programming
2. First-class functions
3. Processing structured data via functions
4. Function closures
5. Higher-order operations on collections

Effect-free code (19.1)

- **side effect**: A change to the state of an object or program variable produced by a call on a function (i.e., a method).
  - example: modifying the value of a variable
  - example: printing output to System.out
  - example: reading/writing data to a file, collection, or network

\[
\text{int result} = f(x) + f(x); \\
\text{int result} = 2 * f(x);
\]

- Are the two above statements equivalent?
  - Yes, if the function \( f() \) has no side effects.
  - One goal of functional programming is to minimize side effects.
Code w/ side effects

```java
public class SideEffect {
    public static int x;
    public static int f(int n) {
        x = x * 2;
        return x + n;
    }
    // what if it were 2 * f(x)?
    public static void main(String[] args) {
        x = 5;
        int result = f(x) + f(x);
        System.out.println(result);
    }
}
```

First-class functions (19.2)

- **first-class citizen**: An element of a programming language that is tightly integrated with the language and supports the full range of operations generally available to other entities in the language.
- In functional programming, functions (methods) are treated as first-class citizens of the languages.
  - can store a function in a variable
  - can pass a function as a parameter to another function
  - can return a function as a value from another function
  - can create a collection of functions
  - ...

Lambda expressions

- **lambda expression** ("lambda"): Expression that describes a function by specifying its parameters and return value.
  - Java 8 adds support for lambda expressions.
  - Essentially an anonymous function (aka method)
- Syntax:
  ```
  (parameters) -> expression
  ```
- Example:
  ```
  (x) -> x * x // squares a number
  ```
  - The above is roughly equivalent to:
    ```java
    public static int squared(int x) {
        return x * x;
    }
    ```

MathMatrix add / subtract

- Recall the MathMatrix class:
```java
public MathMatrix add(MathMatrix rhs) {
    int[][] res = new int[cells.length][cells[0].length];
    for (int r = 0; r < res.length; r++)
        for (int c = 0; c < res[0].length; c++)
            res[r][c] = cells[r][c] + rhs.cells[r][c];
    return new MathMatrix(res);
}
```
```java
public MathMatrix subtract(MathMatrix rhs) {
    int[][] res = new int[cells.length][cells[0].length];
    for (int r = 0; r < res.length; r++)
        for (int c = 0; c < res[0].length; c++)
            res[r][c] = cells[r][c] - rhs.cells[r][c];
    return new MathMatrix(res);
}
```
MathMatrix add / subtract

• GACK!!!

• How do we generalize the idea of "add or subtract"?
  – How much work would it be to add other operators?
  – Can functional programming help remove the repetitive code?

Code w/ lambdas

• We can represent the math operation as a lambda:

```java
public MathMatrix add(MathMatrix rhs) {
    return getMat(rhs,
        (x, y) -> x + y);
}
```

```java
public MathMatrix subtract(MathMatrix rhs) {
    return getMat(rhs,
        (x, y) -> x - y);
}
```

getMat method

```java
private MathMatrix getMat(MathMatrix rhs,
    IntBinaryOperator operator) {
    int[][] res = new int[cells.length][cells[0].length];
    for (int r = 0; r < cells.length; r++) {
        for (int c = 0; c < cells[0].length; c++) {
            int temp1 = cells[r][c];
            int temp2 = rhs.cells[r][c];
            res[r][c] = operator.applyAsInt(temp1, temp2);
        }
    }
    return new MathMatrix(res);
}
```

// IntBinaryOperator Documentation

Clicker 1

• Which of the following is a lambda that checks if x divides evenly into y?

A. \((x, y) \rightarrow y / x == 0\)
B. \((x, y) \rightarrow x / y == 0\)
C. \((x, y) \rightarrow y \% x == 0\)
D. \((x, y) \rightarrow x \% y == 0\)
E. \((x, y) \rightarrow y * x == 0\)
**Streams (19.3)**

- **stream**: A sequence of elements from a data source that supports aggregate operations.

- Streams operate on a data source and modify it:

  - example: print each element of a collection
  - example: sum each integer in a file
  - example: concatenate strings together into one large string
  - example: find the largest value in a collection
  - ...

---

**The map modifier**

- The **map** modifier applies a lambda to each stream element:
  - **higher-order function**: Takes a function as an argument.
  - Abstracting away loops (and data structures)

  ```java
  // compute the sum of the squares of integers 1-5
  int sum = IntStream.range(1, 6)
              .map(n -> n * n)
              .sum();
  
  // the stream operations are as follows:
  IntStream.range(1, 6) -> [1, 2, 3, 4, 5]
  -> map -> [1, 4, 9, 16, 25]
  -> sum -> 55
  ```

---

**The filter modifier**

- The **filter** stream modifier removes/keeps elements of the stream using a boolean lambda:

  ```java
  // compute the sum of squares of odd integers
  int sum = IntStream.of(3, 1, 4, 1, 5, 9, 2, 6, 5, 3)
                      .filter(n -> n % 2 != 0)
                      .map(n -> n * n)
                      .sum();
  
  // the stream operations are as follows:
  IntStream.of  -> [3, 1, 4, 1, 5, 9, 2, 6, 5, 3]
  -> filter -> [3, 1, 1, 5, 9, 5, 3]
  -> map -> [9, 1, 1, 25, 81, 25, 9]
  -> sum -> 151
  ```

---

**Code w/o streams**

- Non-functional programming sum code:

  ```java
  // compute the sum of the squares of integers 1-5
  int sum = 0;
  for (int i = 1; i <= 5; i++) {
      sum = sum + i * i;
  }
  ```

---
Streams and methods

- using streams as part of a regular method:

  ```java
  // Returns true if the given integer is prime.
  // Assumes n >= 0.
  public static boolean isPrime(int n) {
    return IntStream.range(1, n + 1)
      .filter(x -> n % x == 0)
      .count() == 2;
  }
  
  // How to make this method faster?
  ```

The reduce modifier

- The reduce modifier (method) combines elements of a stream using a lambda combination function.
  - Accepts two parameters: an initial value and a lambda to combine that initial value with each subsequent value in the stream.

  ```java
  // Returns n!, or 1 * 2 * 3 * ... * (n-1) * n.
  // Assumes n is non-negative.
  public static int factorial(int n) {
    return IntStream.range(2, n + 1)
      .reduce(1, (a, b) -> a * b);
  }
  ```

Stream operators

<table>
<thead>
<tr>
<th>Method name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>anyMatch(f)</td>
<td>returns true if any elements of stream match given predicate</td>
</tr>
<tr>
<td>allMatch(f)</td>
<td>returns true if all elements of stream match given predicate</td>
</tr>
<tr>
<td>average()</td>
<td>returns arithmetic mean of numbers in stream</td>
</tr>
<tr>
<td>collect(f)</td>
<td>convert stream into a collection and return it</td>
</tr>
<tr>
<td>count()</td>
<td>returns number of elements in stream</td>
</tr>
<tr>
<td>distinct()</td>
<td>returns unique elements from stream</td>
</tr>
<tr>
<td>filter(f)</td>
<td>returns the elements that match the given predicate</td>
</tr>
<tr>
<td>forEach(f)</td>
<td>performs an action on each element of stream</td>
</tr>
<tr>
<td>limit(size)</td>
<td>returns only the next size elements of stream</td>
</tr>
<tr>
<td>map(f)</td>
<td>applies the given function to every element of stream</td>
</tr>
<tr>
<td>noneMatch(f)</td>
<td>returns true if zero elements of stream match given predicate</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Method name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>parallel()</td>
<td>returns a multithreaded version of this stream</td>
</tr>
<tr>
<td>peek(f)</td>
<td>examines the first element of stream only</td>
</tr>
<tr>
<td>reduce(f)</td>
<td>applies the given binary reduction function to stream elements</td>
</tr>
<tr>
<td>sequential()</td>
<td>single-threaded, opposite of parallel()</td>
</tr>
<tr>
<td>skip(n)</td>
<td>omits the next n elements from the stream</td>
</tr>
<tr>
<td>sorted()</td>
<td>returns stream's elements in sorted order</td>
</tr>
<tr>
<td>sum()</td>
<td>returns sum of elements in stream</td>
</tr>
<tr>
<td>toArray()</td>
<td>converts stream into array</td>
</tr>
</tbody>
</table>

Static method

<table>
<thead>
<tr>
<th>Static method</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>concat(s1, s2)</td>
<td>glues two streams together</td>
</tr>
<tr>
<td>empty()</td>
<td>returns a zero-element stream</td>
</tr>
<tr>
<td>iterate(seed, f)</td>
<td>returns an infinite stream with given start element</td>
</tr>
<tr>
<td>of(values)</td>
<td>converts the given values into a stream</td>
</tr>
<tr>
<td>range(start, end)</td>
<td>returns a range of integer values as a stream</td>
</tr>
</tbody>
</table>
Clicker 2

What is output by the following code?

```java
int x1 = IntStream.of(-2, 5, 5, 10, -6)
    .map(x -> x / 2)
    .filter(y -> y > 0)
    .sum();
System.out.print(x1);
```

A. (-2, 5, 5, 10, -6)
B. 6
C. (-1, 2.5, 2.5, 5, -3)
D. 9
E. 20

Optional results

Some stream terminators like max return an "optional" result because the stream might be empty or not contain the result:

```java
// print largest multiple of 10 in list
// (does not compile!)
int largest =
    IntStream.of(55, 20, 19, 31, 40, -2, 62, 30)
    .filter(n -> n % 10 == 0)
    .max();
System.out.println(largest);
```

Optional results fix

To extract the optional result, use a "get as" terminator.
- Converts type OptionalInt to Integer

```java
// print largest multiple of 10 in list
// (this version compiles and works.)
int largest =
    IntStream.of(55, 20, 19, 31, 40, -2, 62, 30)
    .filter(n -> n % 10 == 0)
    .max()
    .getAsInt();
System.out.println(largest);
```

Ramya, Spring 2018

"Okay, but why?"
- Programming with Streams is an alternative to writing out the loops ourselves
- Streams “abstract away” the loop structures we have spent so much time writing
- Why didn’t we just start with these?
Stream exercises

- Write a method `sumAbsVals` that uses stream operations to compute the sum of the absolute values of an array of integers. For example, the sum of {-1, 2, -4, 6, -9} is 22.

- Write a method `largestEven` that uses stream operations to find and return the largest even number from an array of integers. For example, if the array is {5, -1, 12, 10, 2, 8}, your method should return 12. You may assume that the array contains at least one even integer.

Closures (19.4)

- **bound/free variable**: In a lambda expression, parameters are bound variables while variables in the outer containing scope are free variables.
- **function closure**: A block of code defining a function along with the definitions of any free variables that are defined in the containing scope.

```java
// free variables: min, max, multiplier
// bound variables: x, y
int min = 10;
int max = 50;
int multiplier = 3;
compute((x, y) -> Math.max(x, min) *
        Math.max(y, max) * multiplier);
```

(19.4) Higher Order Operations on Collections (Streams and Arrays)

- An array can be converted into a stream with `Arrays.stream`:

  ```java
  // compute sum of absolute values of even ints
  int[] numbers = {3, -4, 8, 4, -2, 17,
                  9, -10, 14, 6, -12};
  int sum = Arrays.stream(numbers)
              .map(n -> Math.abs(n))
              .filter(n -> n % 2 == 0)
              .distinct()
              .sum();
  ```

Method references

```java
// compute sum of absolute values of even ints
int[] numbers = {3, -4, 8, 4, -2, 17,
                 9, -10, 14, 6, -12};
int sum = Arrays.stream(numbers)
             .map(Math::abs)
             .filter(n -> n % 2 == 0)
             .distinct()
             .sum();
```
Streams and lists

- A collection can be converted into a stream by calling its `stream` method:

```java
// compute sum of absolute values of even ints
ArrayList<Integer> list =
    new ArrayList<Integer>();
list.add(-42);
list.add(-17);
list.add(68);
list.stream()
    .map(Math::abs)
    .forEach(System.out::println);
```

Streams and strings

```java
// convert into set of lowercase words
List<String> words = Arrays.asList(
    "To", "be", "or", "Not", "to", "be");
Set<String> words2 = words.stream()
    .map(String::toLowerCase)
    .collect(Collectors.toSet());
System.out.println("word set = " + words2);
```

output:
```
word set = [not, be, or, to]
```

Streams and files

```java
// find longest line in the file
int longest = Files.lines(Paths.get("haiku.txt"))
    .mapToLong(String::length)
    .max()
    .getAsInt();
```

stream operations:
```
Files.lines -> ["haiku are funny",
    "but sometimes they don't make sense",
    "refrigerator"]
-> mapToLong -> [15, 35, 12]
-> max -> 35
```

Stream exercises

- Write a method `fiveLetterWords` that accepts a file name as a parameter and returns a count of the number of unique lines in the file that are exactly five letters long. Assume that each line in the file contains at least one word.

```java
```
- Write a method using streams that finds and prints the first 5 perfect numbers. (Recall a perfect number is equal to the sum of its unique integer divisors, excluding itself.)

```java
```