

Topic 24

Heaps

"You think you know when you can **learn**,
are more sure when you can **write**,
even more when you can **teach**,
but certain when you can **program**."

- Alan Perlis



Priority Queue

- Recall priority queue
 - elements enqueued based on priority
 - dequeue removes the highest priority item
- Options?
 - List? Binary Search Tree? **Clicker 1**

Linked List enqueue

BST enqueue

A. $O(N)$

$O(1)$

B. $O(N)$

$O(\log N)$

C. $O(N)$

$O(N)$

D. $O(\log N)$

$O(\log N)$

E. $O(1)$

$O(\log N)$

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2

Another Option

- A *heap*
 - not to be confused with the runtime heap (portion of memory for dynamically allocated variables)
- A complete binary tree
 - all levels have maximum number of nodes except deepest where nodes are filled in from left to right
- Maintains the *heap order property*
 - in a min heap the value in the root of any subtree is less than or equal to all other values in the subtree

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3

Clicker 2

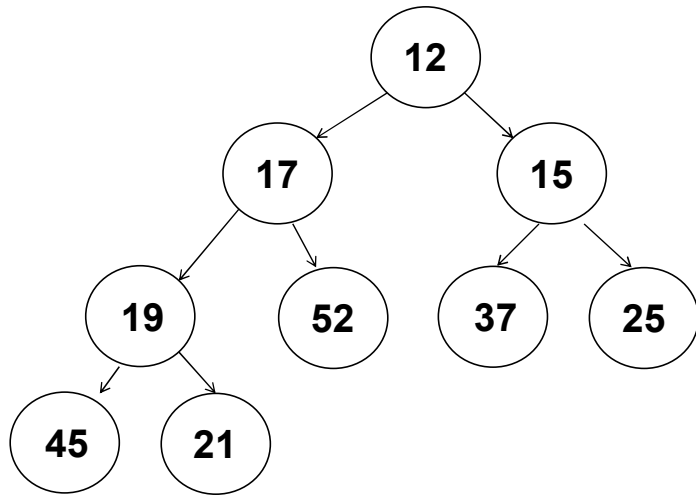
- In a max heap with no duplicates where is the largest value?
 - A. the root of the tree
 - B. in the left-most node
 - C. in the right-most node
 - D. a node in the lowest level
 - E. none of these

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4

Example Min Heap

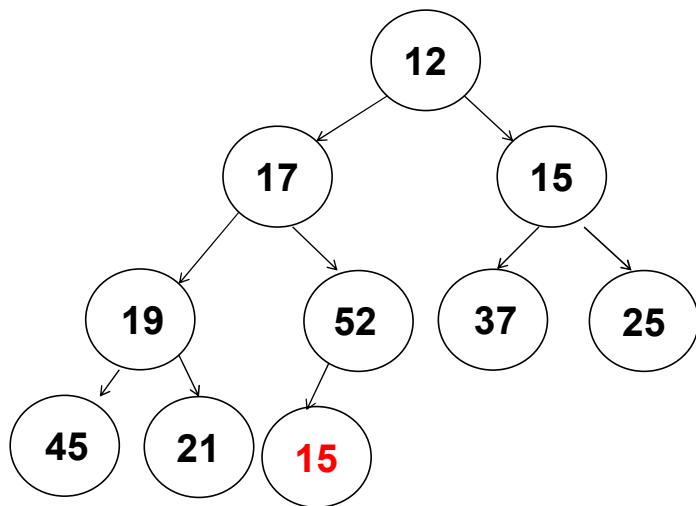


Enqueue Operation

- ▶ Add new element to next open spot in array
- ▶ Swap with parent if new value is less than parent
- ▶ Continue back up the tree as long as the new value is less than new parent node

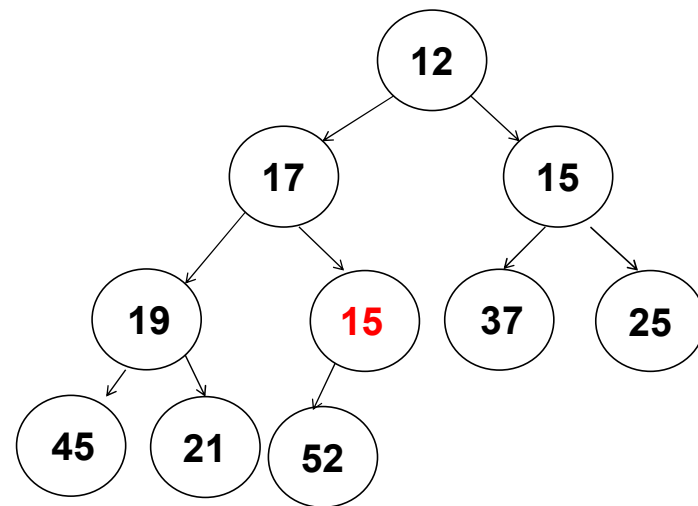
Enqueue Example

- ▶ Add 15 to heap (initially next left most node)



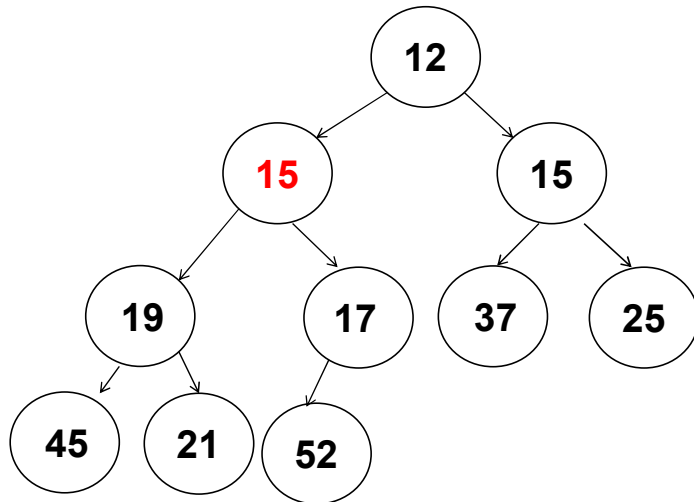
Enqueue Example

- ▶ Swap 15 and 52



Enqueue Example

- Swap 15 and 17, then stop



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9

Enqueue Example

- Insert the following values 1 at a time into a min heap:

16 9 5 8 13 8 8 5 5 19 27 9 3

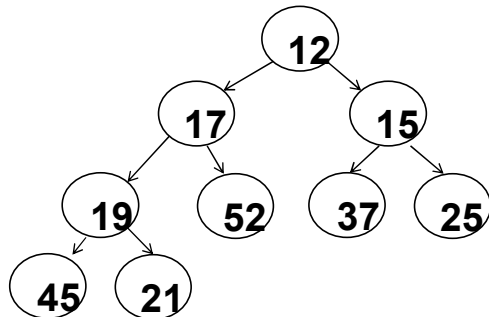
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10

Internal Storage

- Interestingly heaps are often implemented with an array instead of nodes



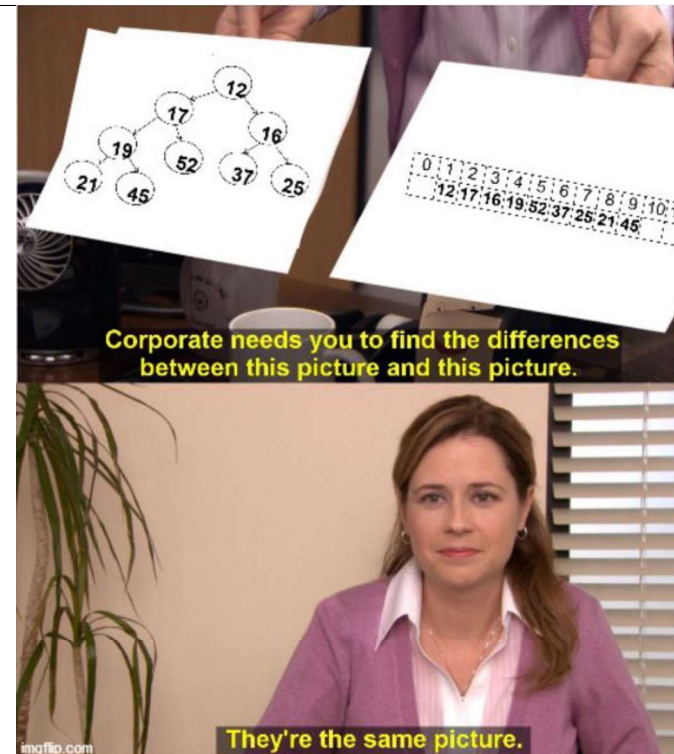
for element at index i :
 parent index: $i / 2$
 left child index: $i * 2$
 right child index: $i * 2 + 1$

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	12	17	15	19	52	37	25	45	21						

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11



In Honor of
 Elijah,
 The Meme King,
 Spring 2020

12

PriorityQueue Class

```
public class PriorityQueue<E extends Comparable<? super E>>
{
    private int size;
    private E[] con;

    public PriorityQueue() {
        heap = getArray(2);
    }

    private E[] getArray(int size) {
        return (E[]) (new Comparable[size]);
    }
}
```

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13

PriorityQueue enqueue

```
public void enqueue(E val) {
    if ( size >= con.length - 1 )
        enlargeArray( con.length * 2 + 1 );

    size++;
    int indexToPlace = size;
    while ( indexToPlace > 1
        && val.compareTo( con[indexToPlace / 2] ) < 0 ) {

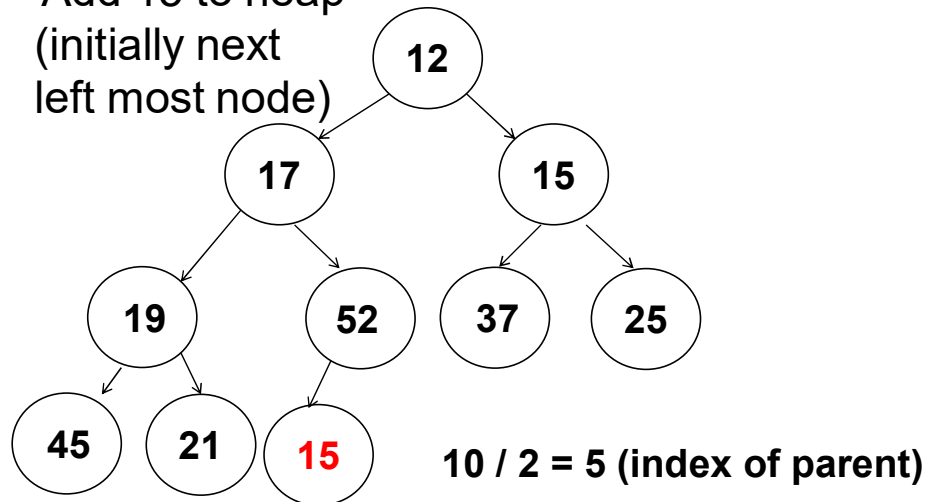
        con[indexToPlace] = con[indexToPlace / 2]; // swap
        indexToPlace /= 2; // change indexToPlace to parent
    }
    con[indexToPlace] = val;
}

private void enlargeArray(int newSize) {
    E[] temp = getArray(newSize);
    System.arraycopy(con, 1, temp, 1, size);
    con = temp;
}
```

14

Enqueue Example With Array Shown

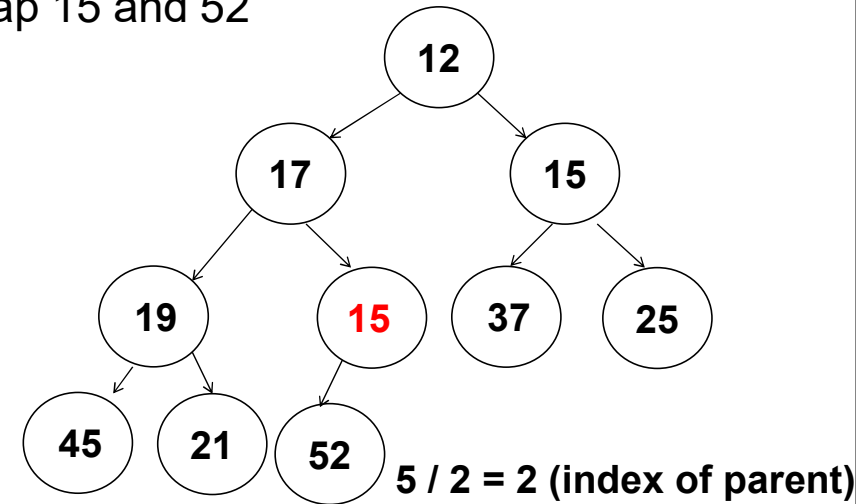
- Add 15 to heap
(initially next
left most node)



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	12	17	15	19	52	37	25	45	21	15					

Enqueue Example With Array Shown

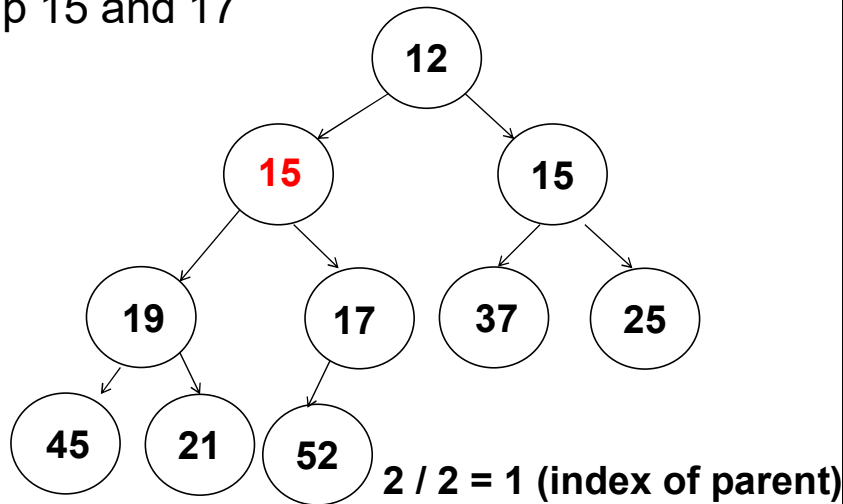
- Swap 15 and 52



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	12	17	15	19	15	37	25	45	21	52					

Enqueue Example With Array Shown

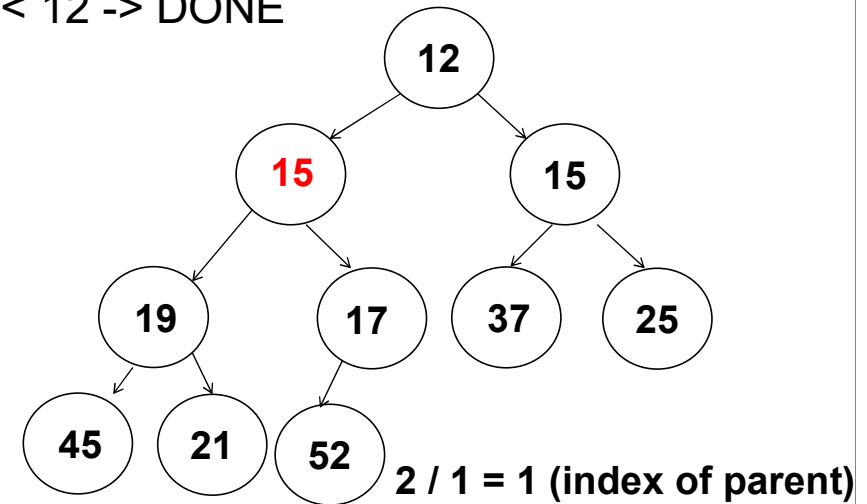
- Swap 15 and 17



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	12	15	15	19	17	37	25	45	21	52					

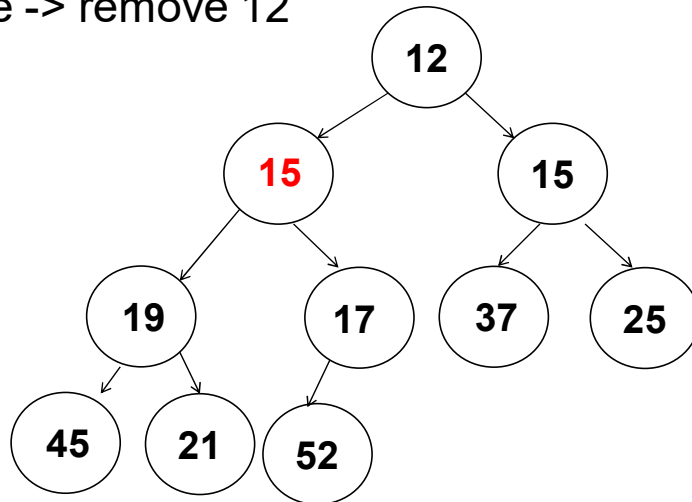
Enqueue Example With Array Shown

- 15 !< 12 -> DONE



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	12	15	16	19	17	37	25	45	21	52					

- Dequeue -> remove 12

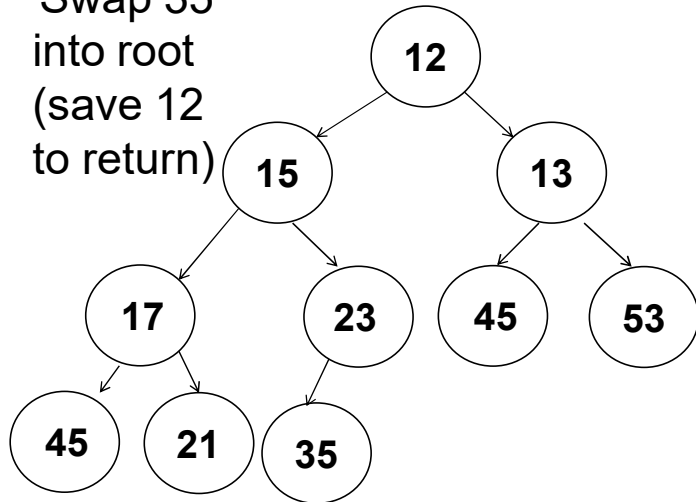


Dequeue

- min value / front of queue is in root of tree
- swap value from last node to root and move down swapping with smaller child unless values is smaller than both children

Dequeue Example

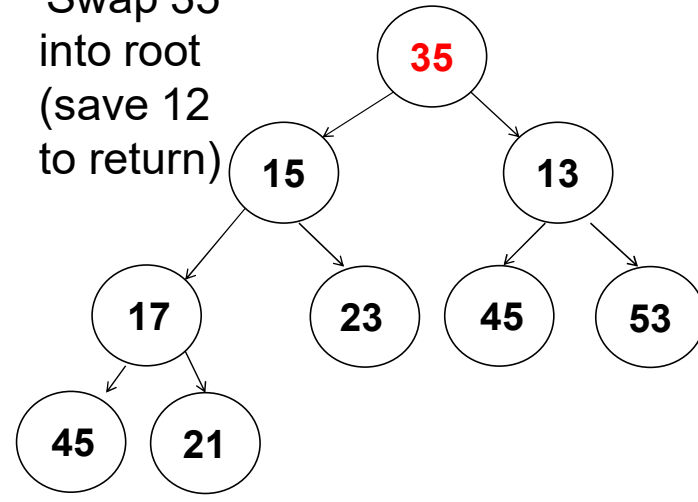
- Swap 35 into root (save 12 to return)



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	12	15	13	17	23	45	53	45	21	35					

Dequeue Example

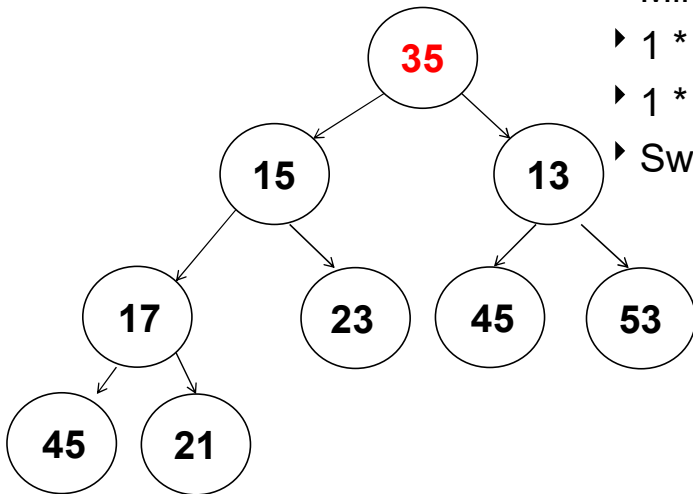
- Swap 35 into root (save 12 to return)



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	35	15	13	17	23	45	53	45	21						

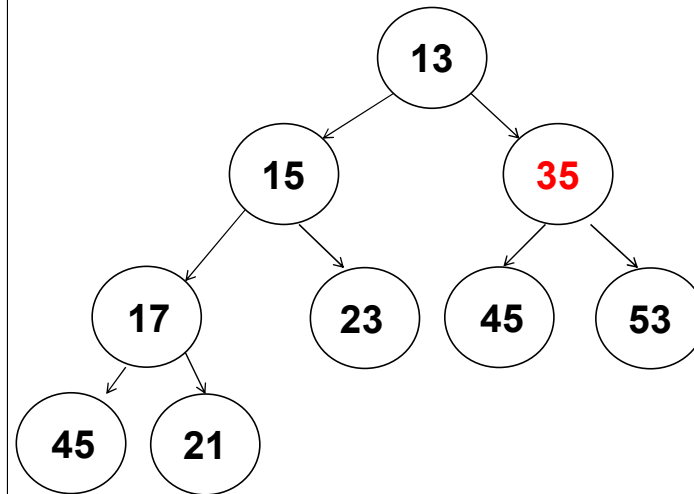
Dequeue Example

- Min child?
- $1 * 2 = 2 \rightarrow 15$
- $1 * 2 + 1 = 3 \rightarrow 13$
- Swap with 13



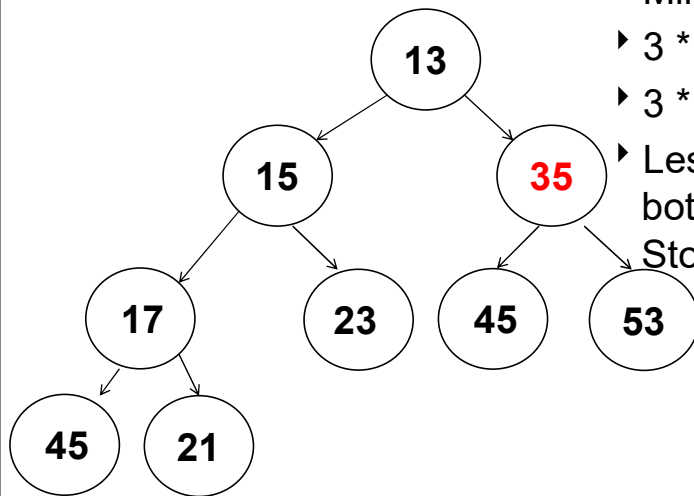
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	35	15	13	17	23	45	53	45	21						

Dequeue Example



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	13	15	35	17	23	45	53	45	21						

Dequeue Example



- Min child?
- $3 * 2 = 6 \rightarrow 45$
- $3 * 2 + 1 = 7 \rightarrow 53$
- Less than or equal to both of my children!
- Stop!

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	13	15	35	17	23	45	53	45	21						

Dequeue Code

```

public E dequeue( ) {
    E top = con[1];
    int hole = 1;
    boolean done = false;
    while ( hole * 2 < size && ! done ) {
        int child = hole * 2;
        // see which child is smaller
        if ( con[child].compareTo( con[child + 1] ) > 0 )
            child++;    // child now points to smaller

        // is replacement value bigger than child?
        if ( con[size].compareTo( con[child] ) > 0 ) {
            con[hole] = con[child];
            hole = child;
        }
        else
            done = true;
    }
    con[hole] = con[size];
    size--;
    return top;
}
  
```

26

Clicker 3 - PriorityQueue Comparison

- Run a Stress test of PQ implemented with Heap and PQ implemented with BinarySearchTree
 - What will result be?
- Heap takes half the time or less of BST
 - Heap faster, but not twice as fast
 - About the same
 - BST faster, but not twice as fast
 - BST takes half the time or less of Heap