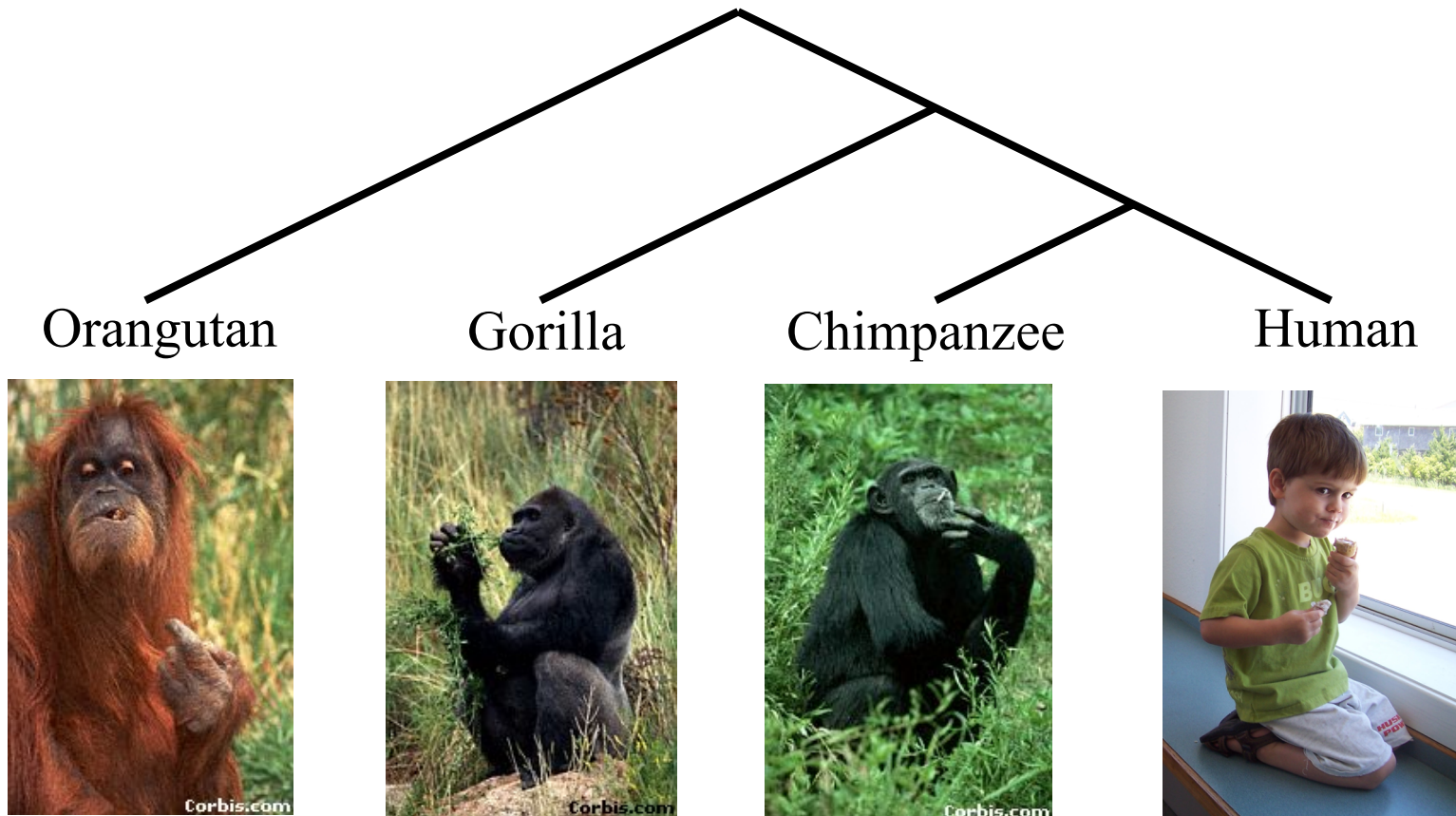


# Recent Breakthroughs (and **Current Challenges**) in Computational Phylogenetics

Tandy Warnow  
Department of Computer Science  
University of Texas

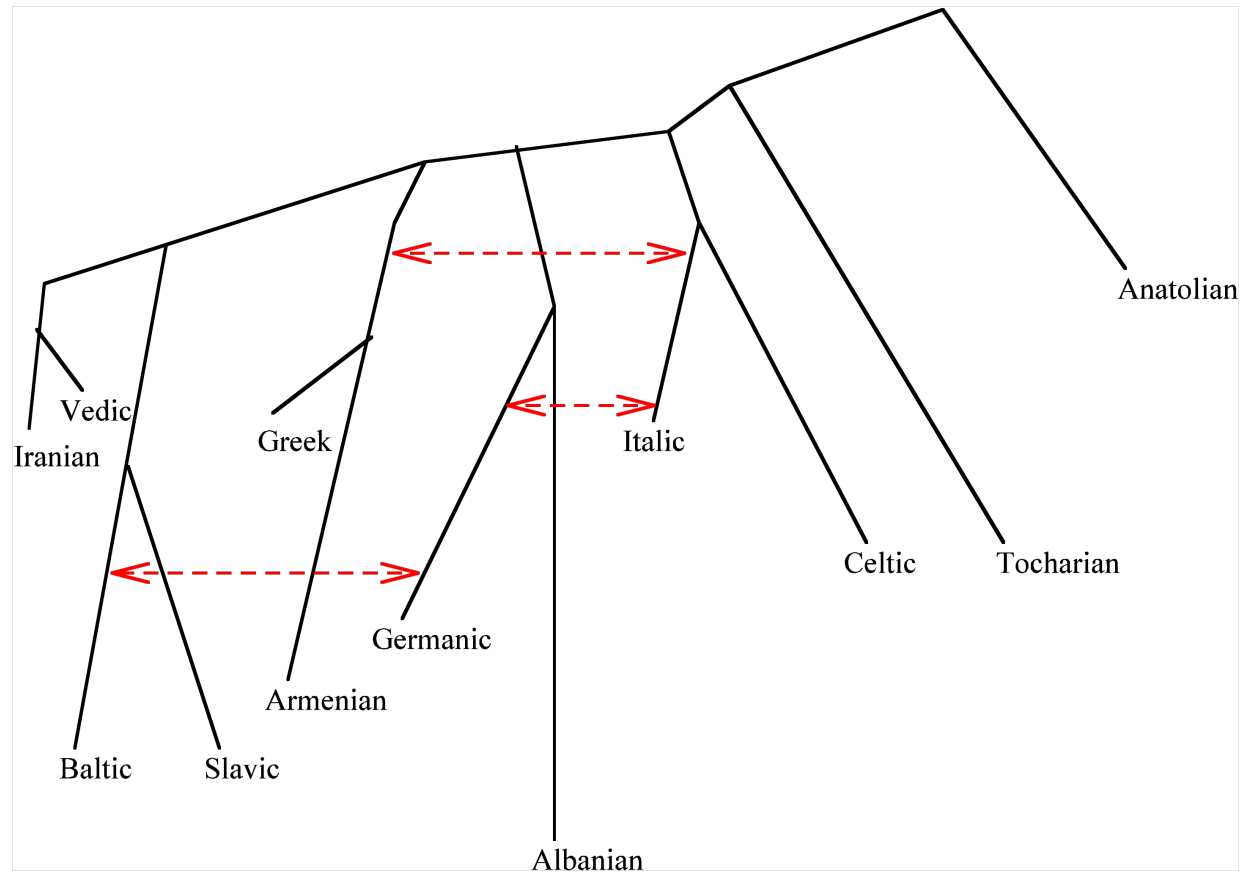
# Phylogeny (evolutionary tree)



*From the Tree of the Life Website,  
University of Arizona*

# Indo-European Phylogeny

Nakhleh et al., Language, 2005

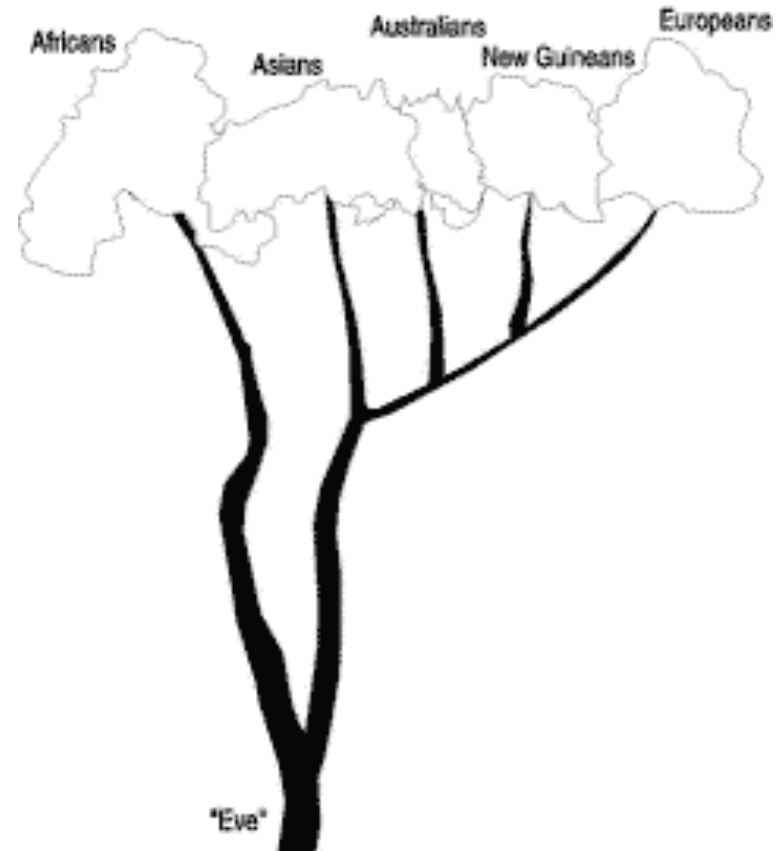
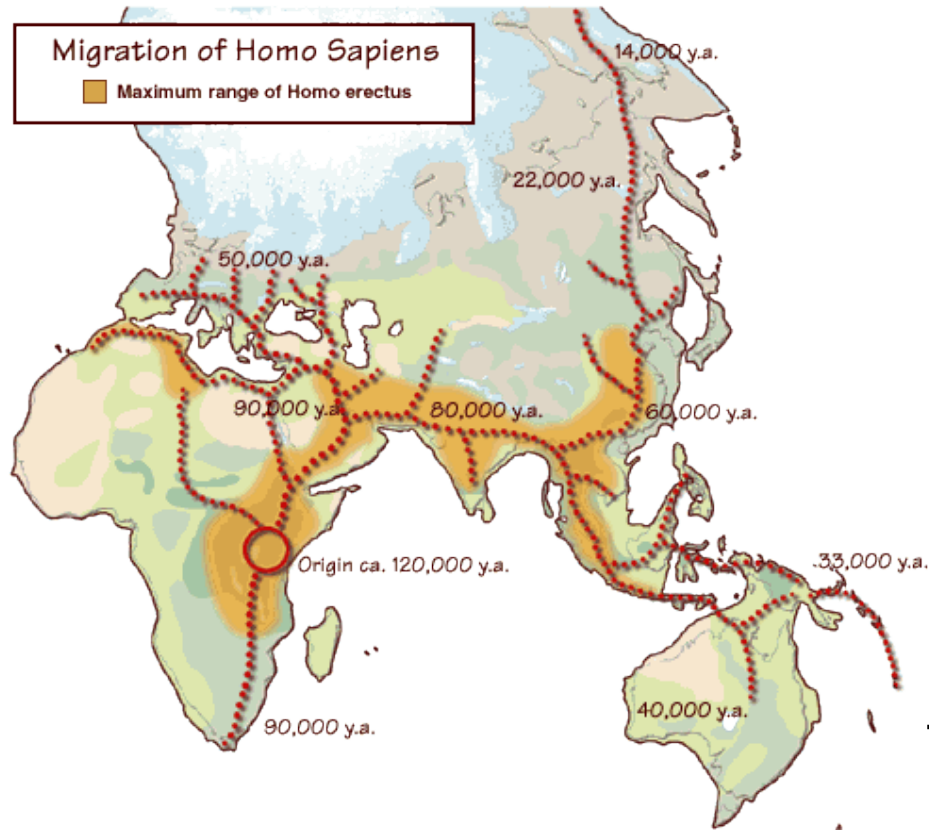


# Genome Sequencing Projects:

## Started with the Human Genome Project

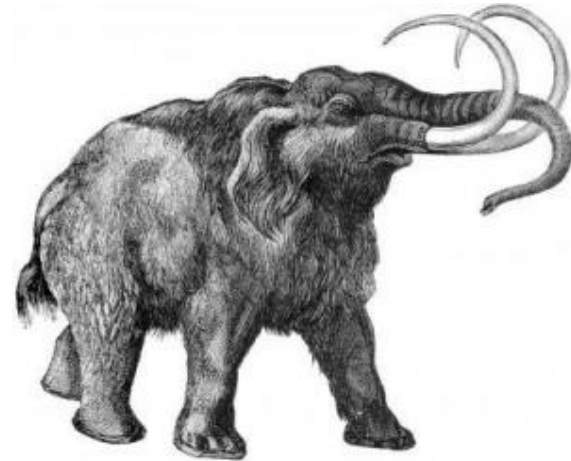
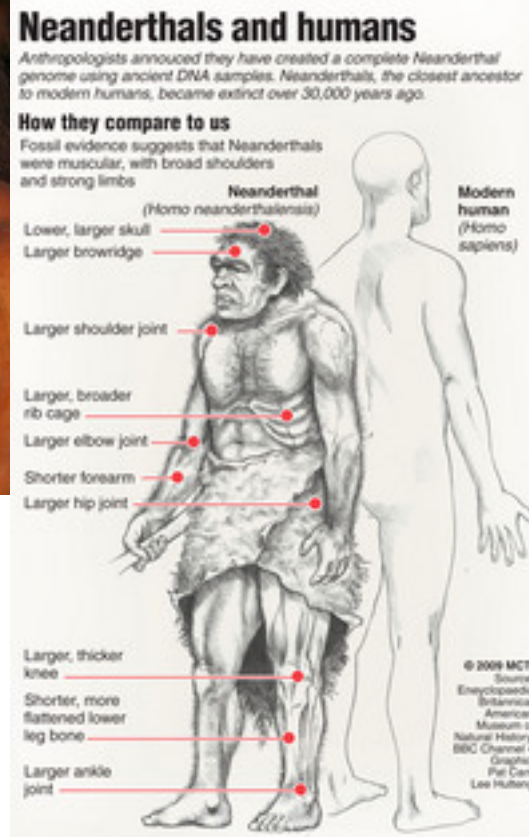


Where did humans come from,  
and how did they move  
throughout the globe?



The Mitochondrial "African Eve"

# Other Genome Projects! (Neandertals, Woolly Mammoths, and more ordinary creatures...)

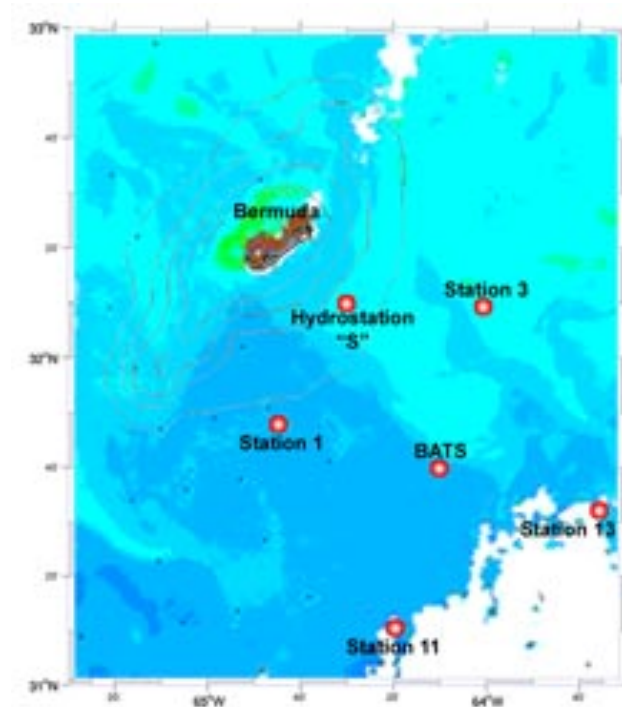




## Metagenomics:

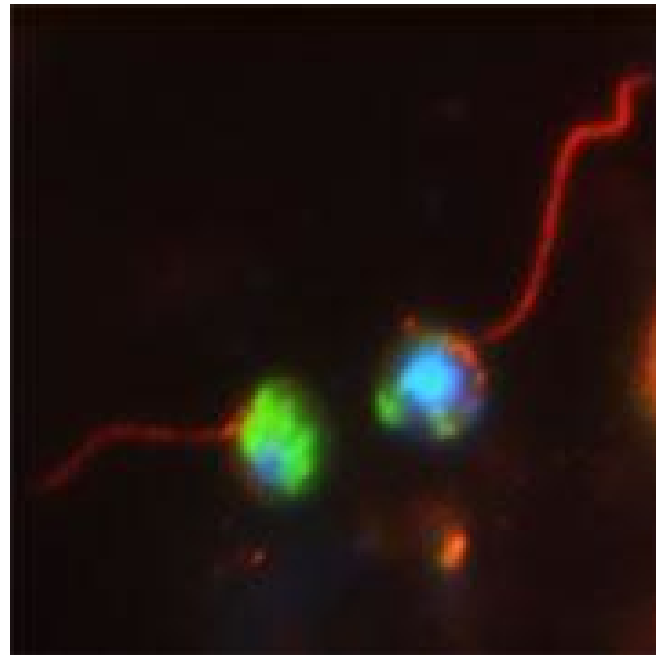
**C. Venter et al., Exploring the Sargasso Sea:**

**Scientists Discover One Million New Genes in Ocean Microbes**



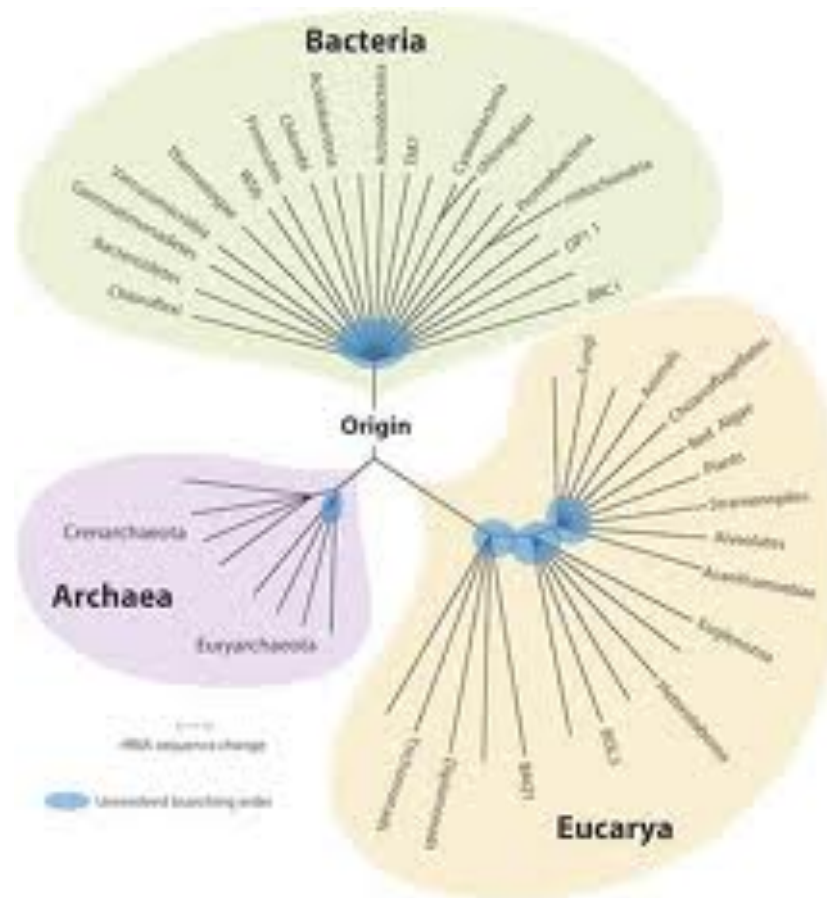
# Metagenomic analyses: discovery of new species!

Two cryptomycota cells found in water samples collected from the University of Exeter pond.  
Jones et al., Nature 2011.





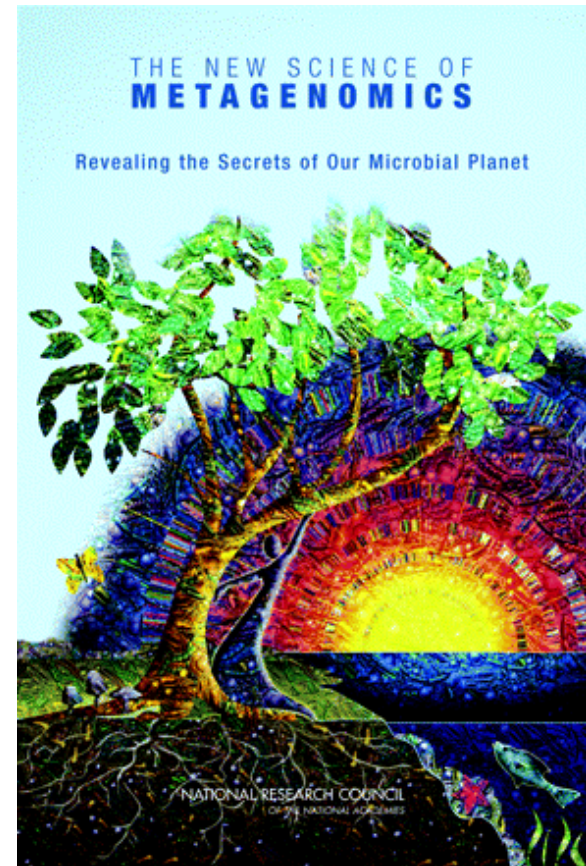
# How did Life Evolve?



# Computational Phylogenetics and Metagenomics



Courtesy of the Tree of Life project

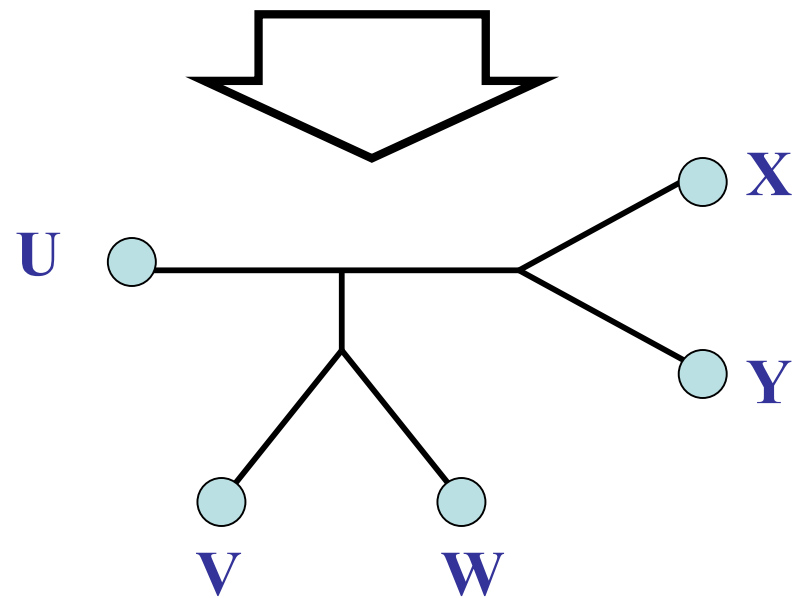


# Phylogenetic Estimation

Math/CS/Stat:

- NP-hard problems, finding good solutions can take months or years for single datasets
- Many optimal solutions for each analysis (data mining!)
- Mathematical modelling of evolutionary processes
- Probabilistic analysis of algorithms
- High performance computing
- Extensive simulation studies
- Real data analyses

<b>U</b>	<b>V</b>	<b>W</b>	<b>X</b>	<b>Y</b>
AGGGGCATGA	AGAT	TAGACTT	TGCACAA	TGCGCTT



# Today's Talk

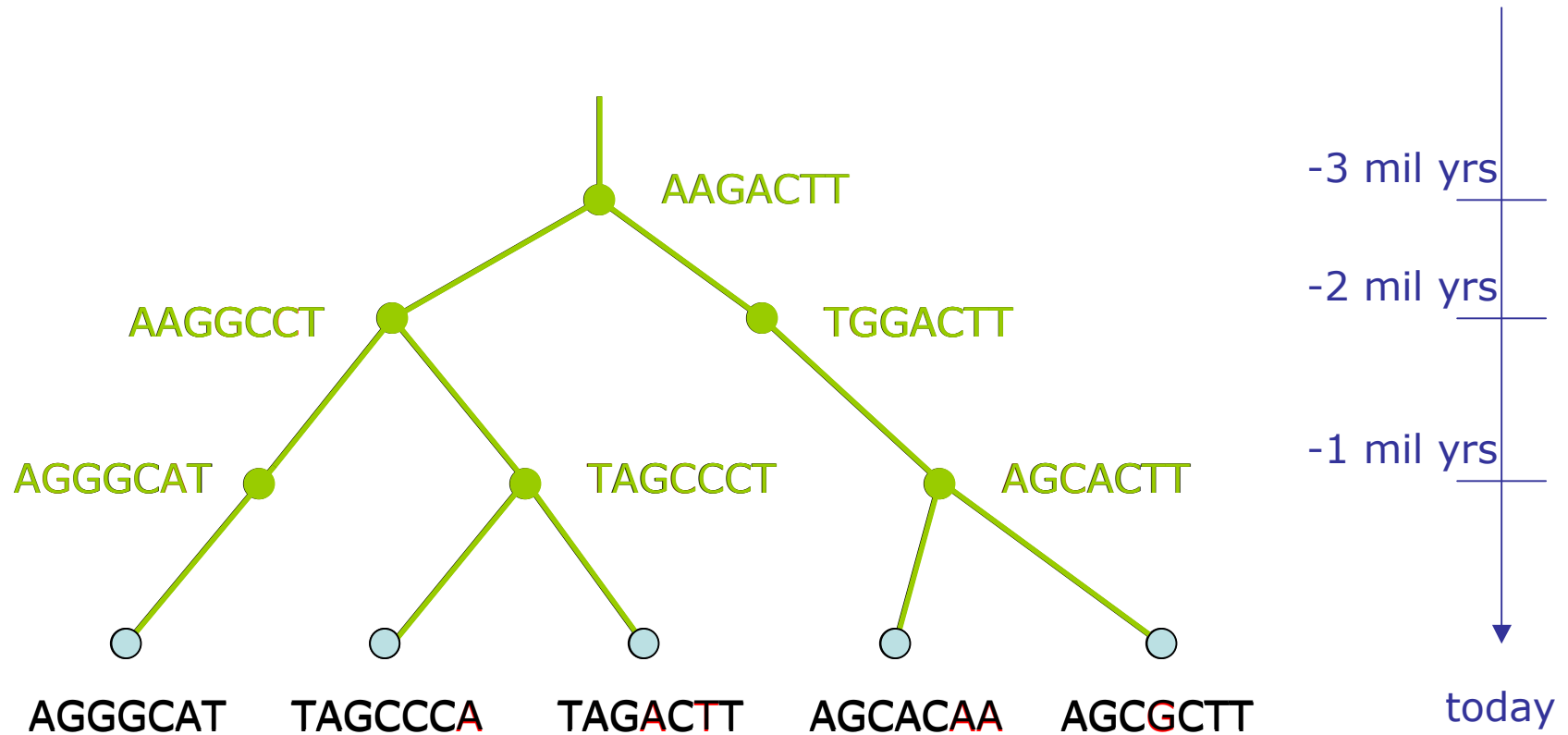
- SATé: Simultaneous alignment and tree estimation (Liu et al., Science 2009, and Systematic Biology 2012)
- DACTAL: divide-and-conquer trees (almost) without alignments (Nelesen et al., submitted)

# Part I: SATé

- Simultaneous alignment and tree estimation
- Liu et al., Science 2009, and Systematic Biology (in press)
- Software available at <http://phylo.bio.ku.edu/software/sate/sate.html>



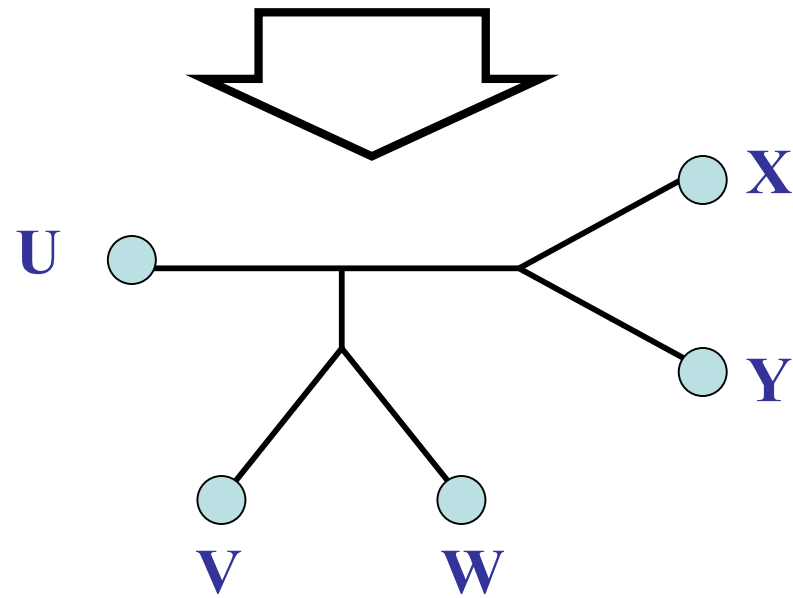
# DNA Sequence Evolution

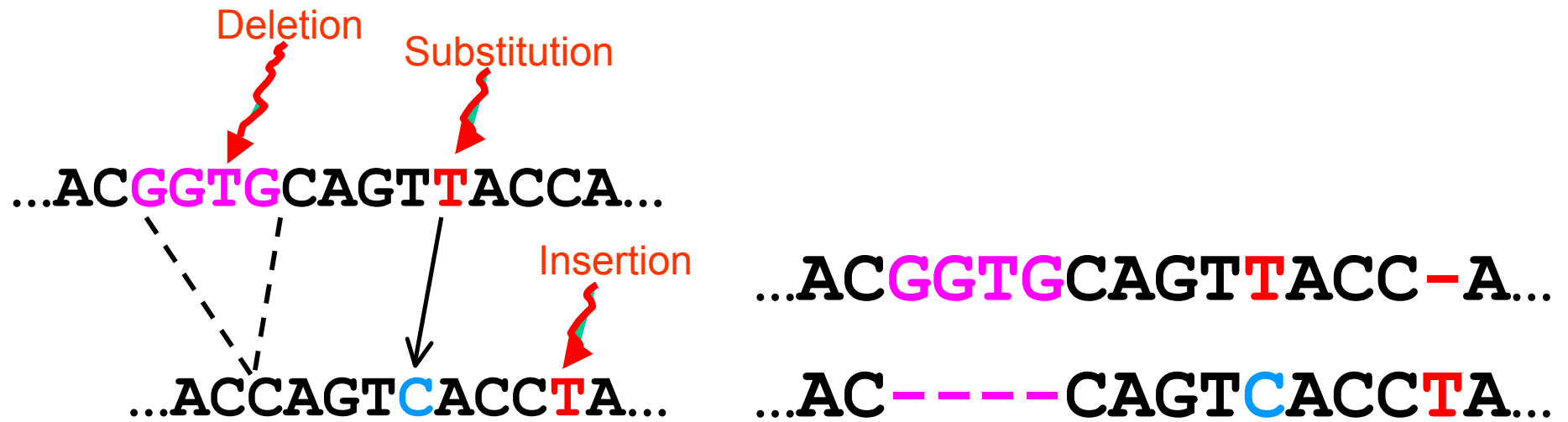


# Standard Markov models of biomolecular sequence evolution

- Sequences evolve just with substitutions
- There are a **finite number of states** (four for DNA and RNA, 20 for aminoacids)
- Sites (i.e., positions) evolve identically and independently
- Numerical parameters describe the probability of substitutions of each type on each edge of the tree

U AGGGGCATGA V AGAT W TAGACTT X TGCACAA Y TGC GCTT





### The **true multiple alignment**

- Reflects historical substitution, insertion, and deletion events
- Defined using transitive closure of pairwise alignments computed on edges of the true tree

# Input: unaligned sequences

S1 = AGGCTATCACCTGACCTCCA

S2 = TAGCTATCACGACCGC

S3 = TAGCTGACCGC

S4 = TCACGACCGACA

# Phase 1: Multiple Sequence Alignment

S1 = AGGCTATCACCTGACCTCCA  
S2 = TAGCTATCACGACCGC  
S3 = TAGCTGACCGC  
S4 = TCACGACCGACA



S1 = -AGGCTATCACCTGACCTCCA  
S2 = TAG-CTATCAC--GACCGC--  
S3 = TAG-CT-----GACCGC--  
S4 = -----TCAC--GACCGACA

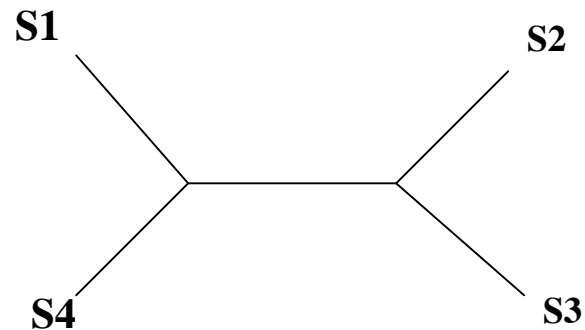


## Phase 2: Construct tree

S1 = AGGCTATCACCTGACCTCCA  
S2 = TAGCTATCACGACCGC  
S3 = TAGCTGACCGC  
S4 = TCACGACCGACA



S1 = -AGGCTATCACCTGACCTCCA  
S2 = TAG-CTATCAC--GACCGC--  
S3 = TAG-CT-----GACCGC--  
S4 = -----TCAC--GACCGACA



# Two-phase estimation

## Alignment methods

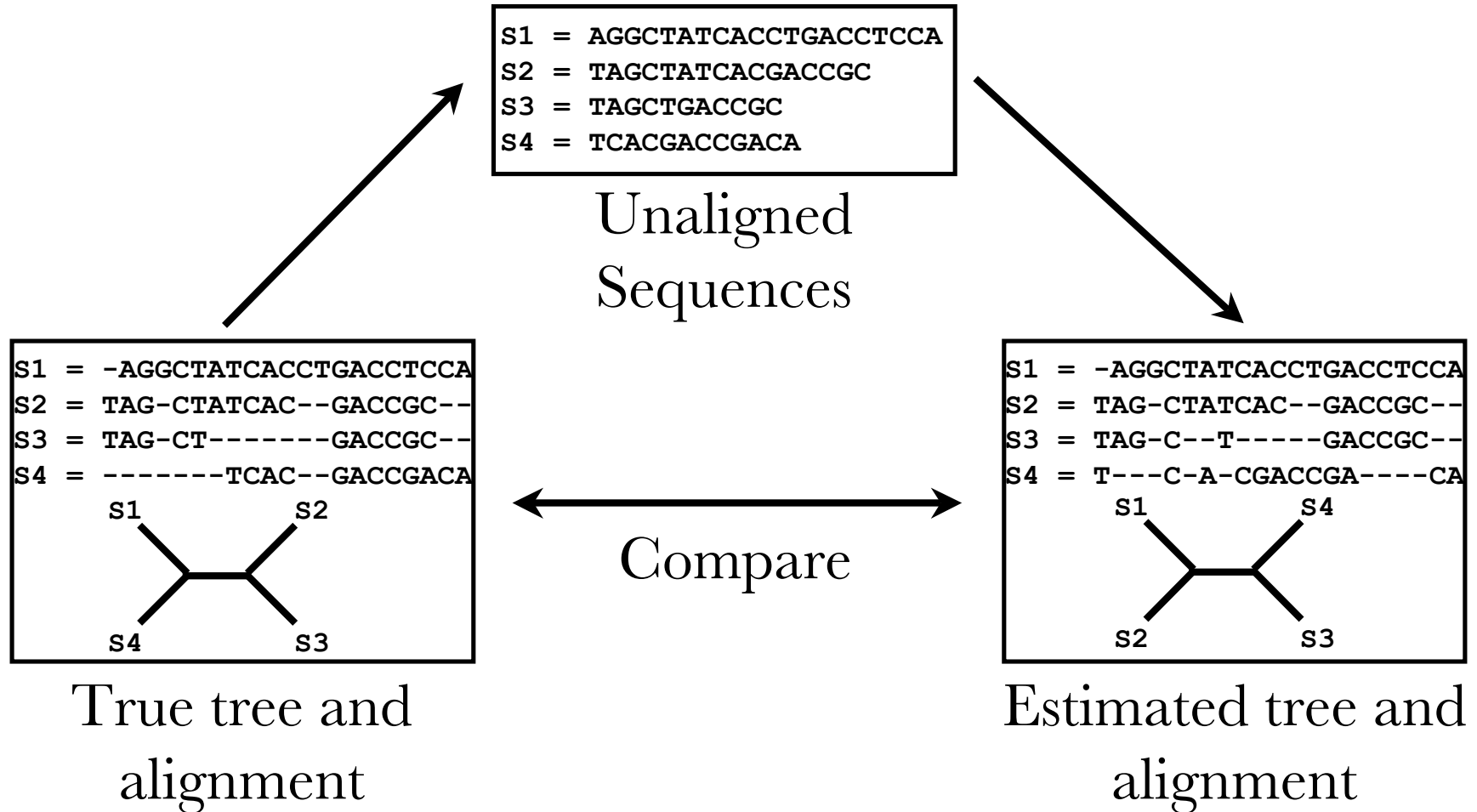
- Clustal
- POY (and POY\*)
- Probcons (and Probtree)
- Probalign
- MAFFT
- Muscle
- Di-align
- T-Coffee
- Prank (PNAS 2005, Science 2008)
- Opal (ISMB and Bioinf. 2007)
- *FSA (PLoS Comp. Bio. 2009)*
- *Infernal (Bioinf. 2009)*
- Etc.

## Phylogeny methods

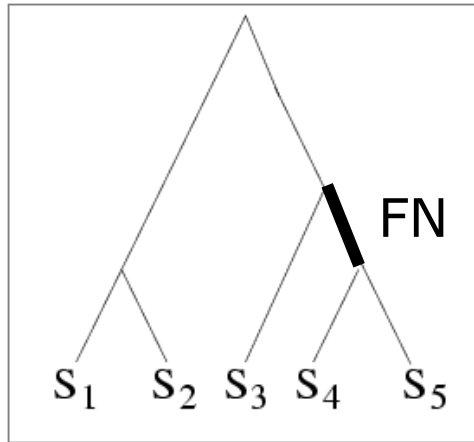
- Bayesian MCMC
- Maximum parsimony
- **Maximum likelihood**
- Neighbor joining
- FastME
- UPGMA
- Quartet puzzling
- Etc.

***RAxML***: heuristic for large-scale ML optimization

# Simulation Studies



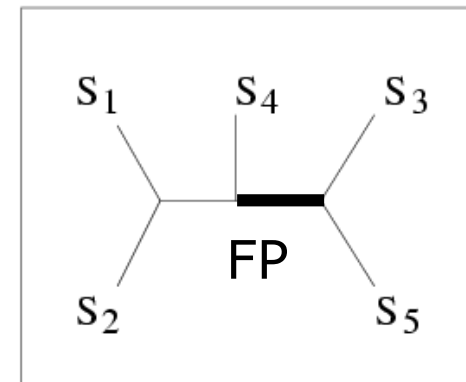
# Quantifying Error



TRUE TREE

S <sub>1</sub>	ACAATTAGAAC
S <sub>2</sub>	ACCCTTAGAAC
S <sub>3</sub>	ACCATTCCAAC
S <sub>4</sub>	ACCAGACCAAC
S <sub>5</sub>	ACCAGACCGGA

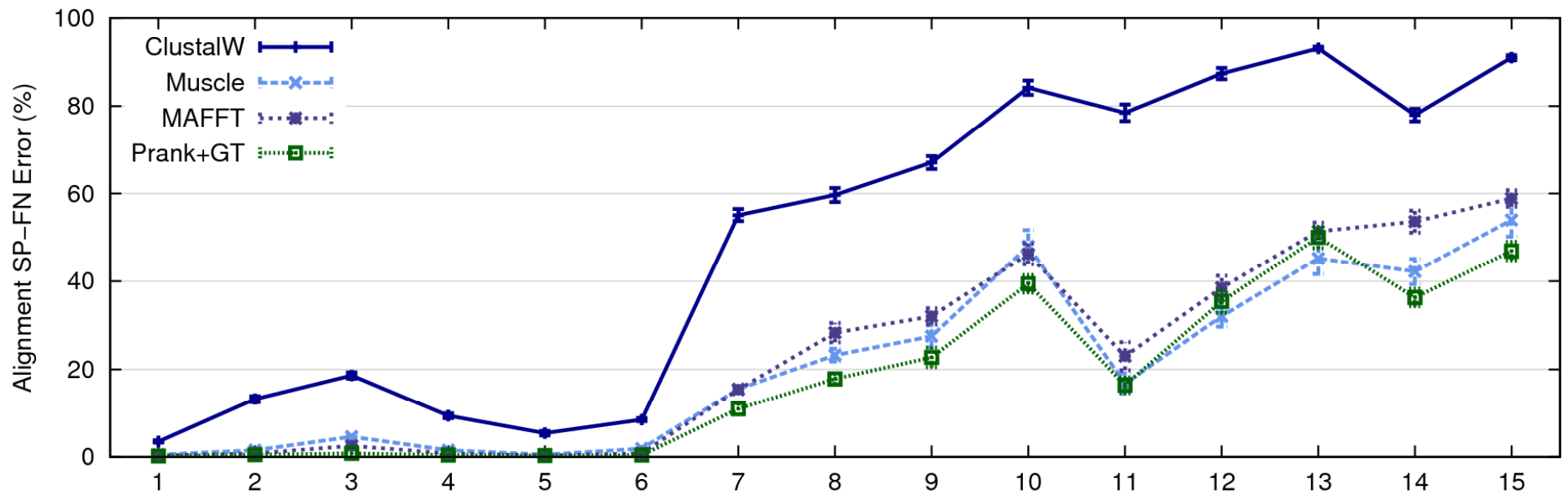
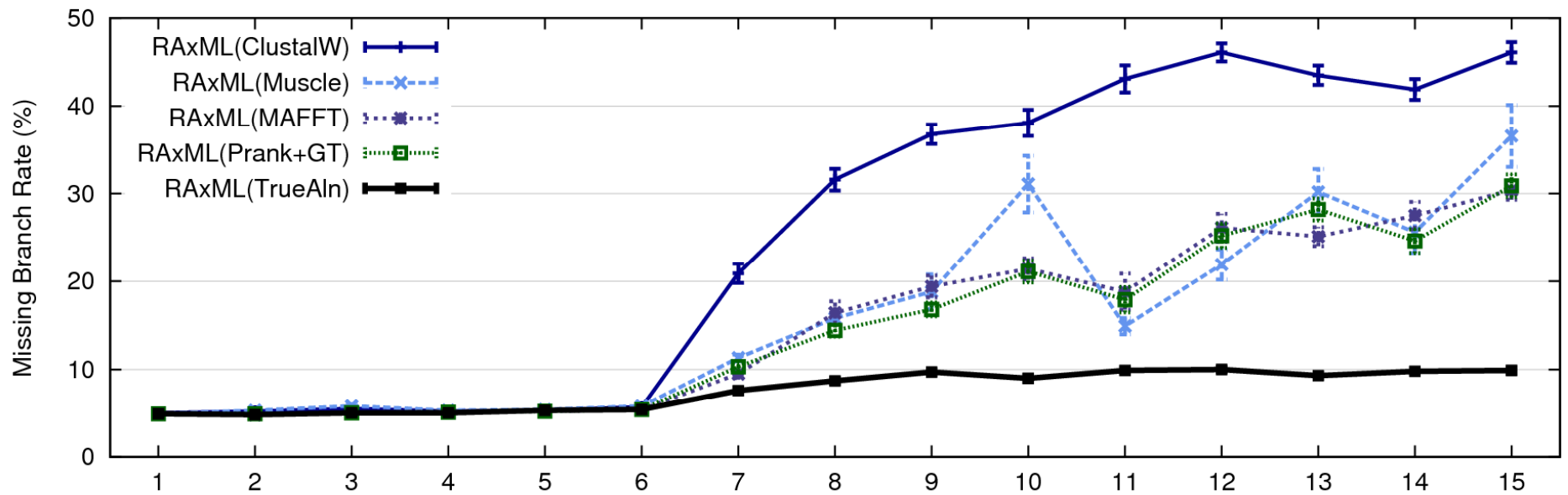
DNA SEQUENCES



INFERRED TREE

FN: false negative  
(missing edge)  
FP: false positive  
(incorrect edge)

50% error rate



1000 taxon models, ordered by difficulty (Liu et al., 2009)

# Problems

- Large datasets with high rates of evolution are hard to align accurately, and phylogeny estimation methods produce poor trees when alignments are poor.
- Many phylogeny estimation methods have poor accuracy on large datasets (even if given correct alignments)
- *Potentially useful genes are often discarded* if they are difficult to align.

These issues seriously impact large-scale phylogeny estimation (and Tree of Life projects)



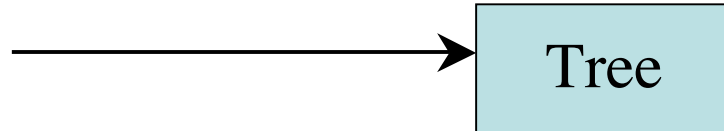
# **SATé**

Input: set of molecular sequences (DNA, RNA, or amino-acids)

Output: alignment  $A$  and maximum likelihood tree  $T$  on the alignment

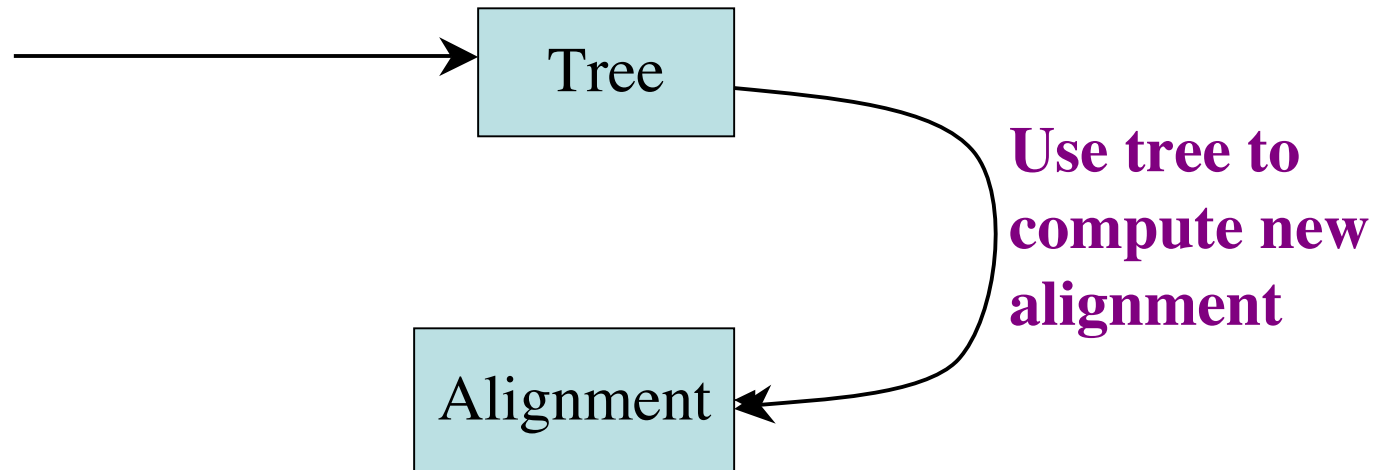
# SATé Algorithm

Obtain initial alignment  
and estimated ML tree



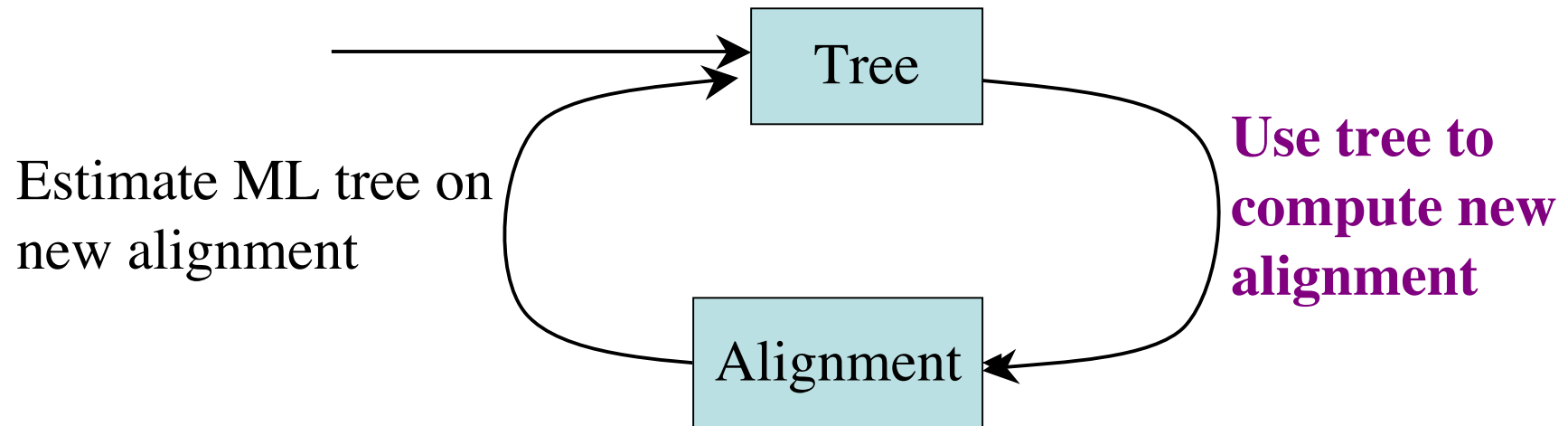
# SATé Algorithm

Obtain initial alignment  
and estimated ML tree



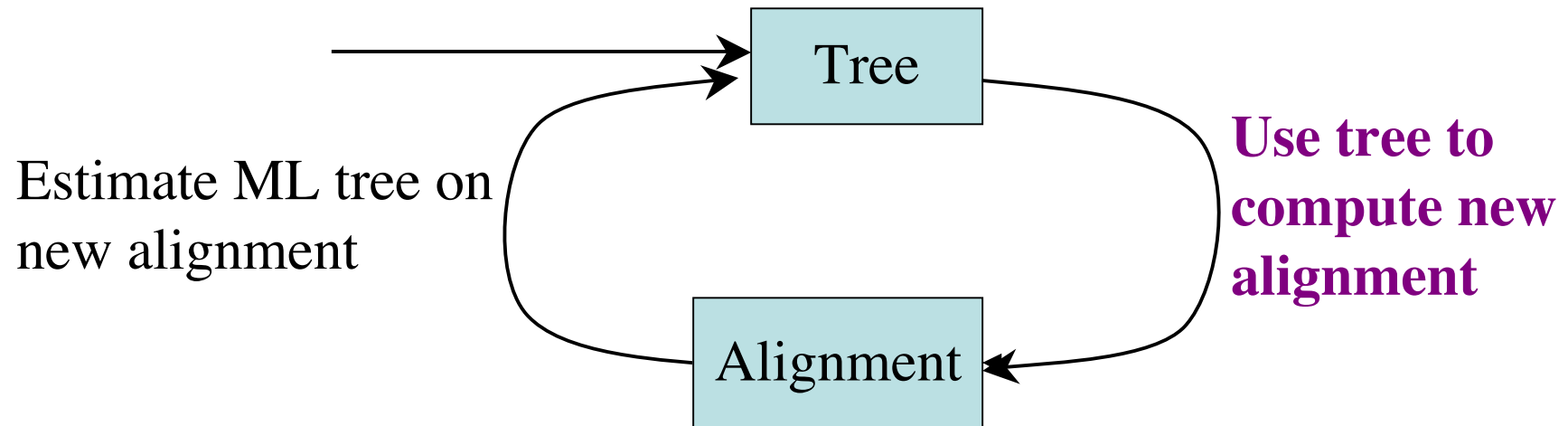
# SATé Algorithm

Obtain initial alignment  
and estimated ML tree



# SATé Algorithm

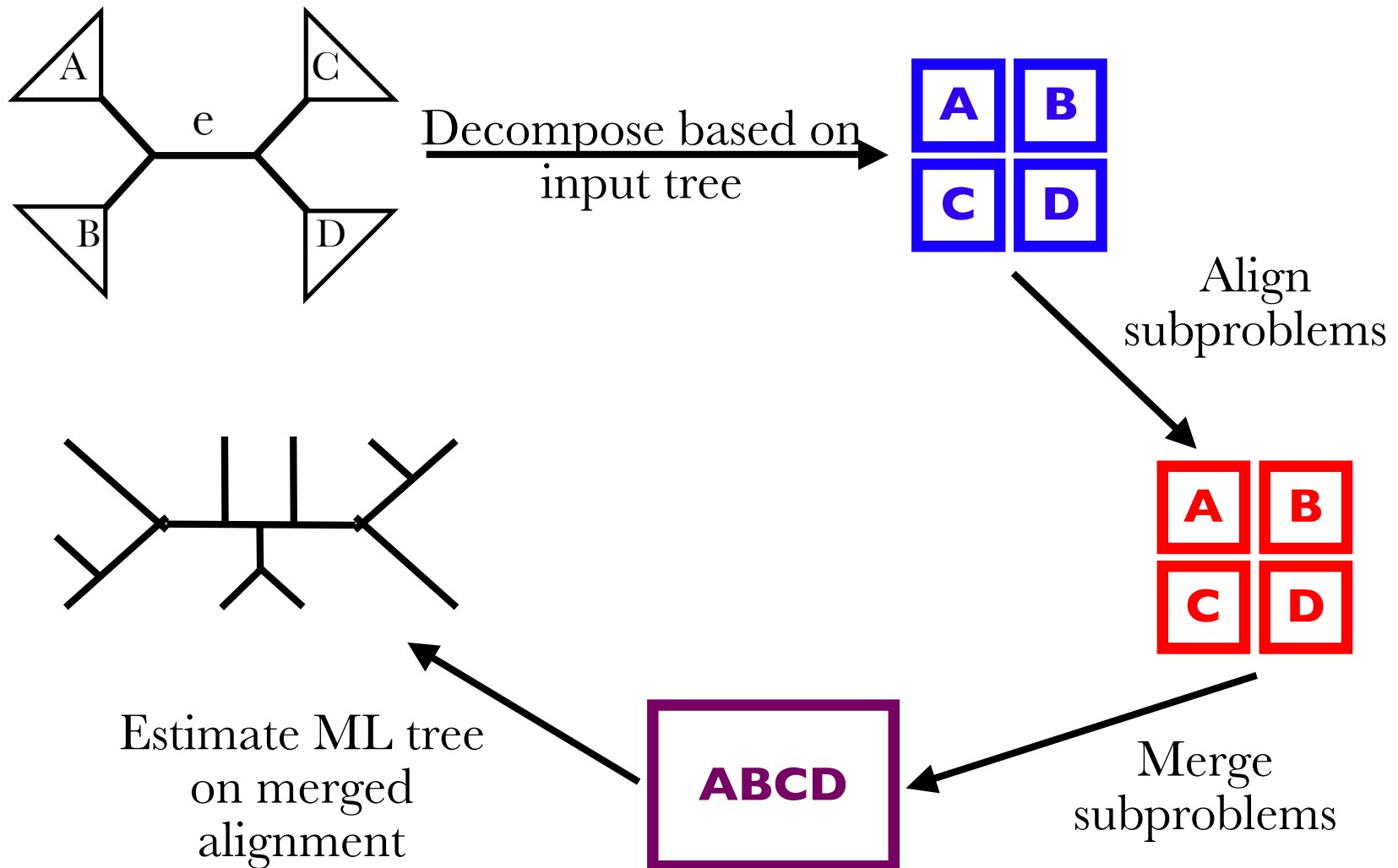
Obtain initial alignment  
and estimated ML tree



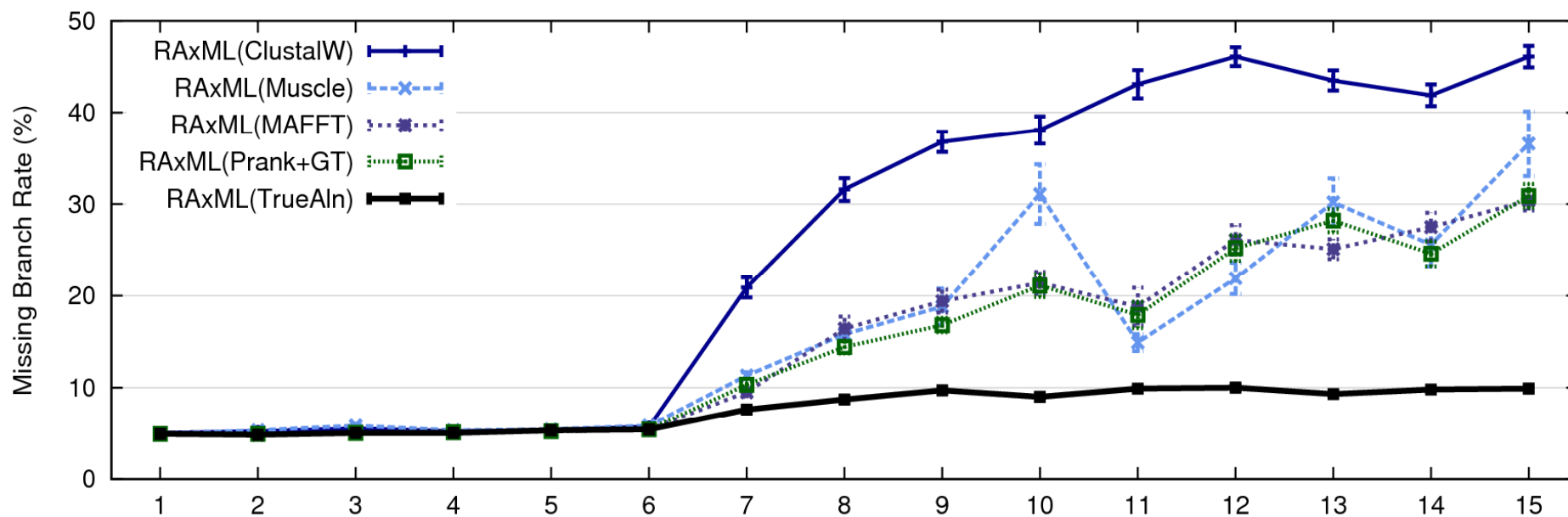
If new alignment/tree pair has worse ML score, realign using  
a different decomposition

Repeat until termination condition (typically, 24 hours)

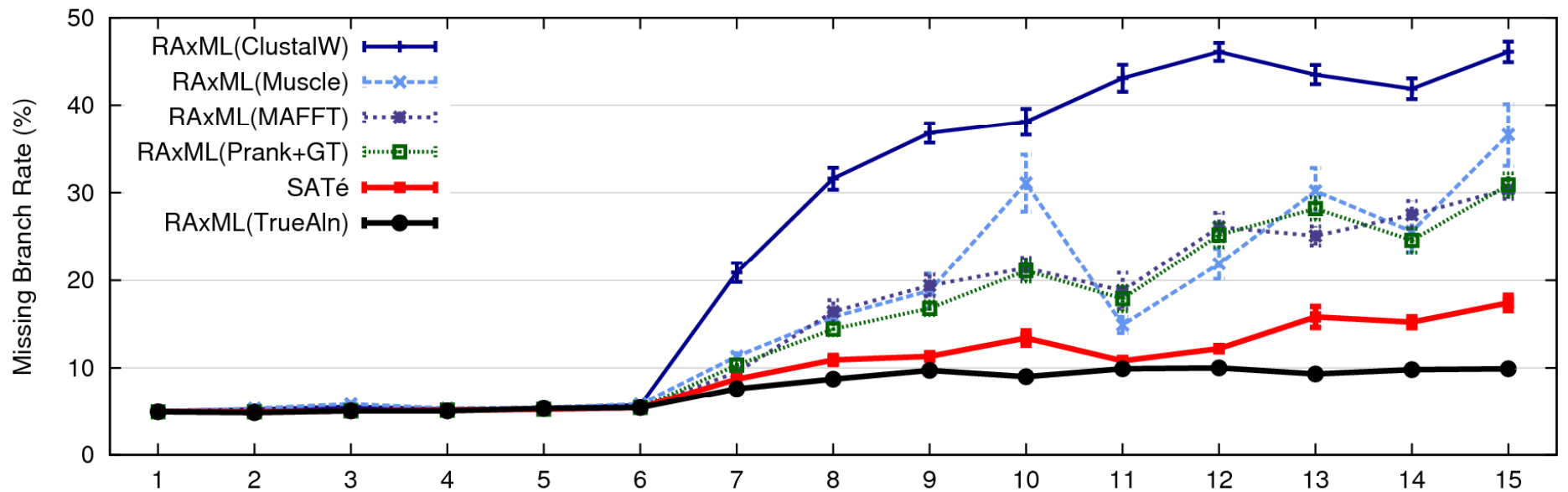
# One SATé iteration (really 32 subsets)





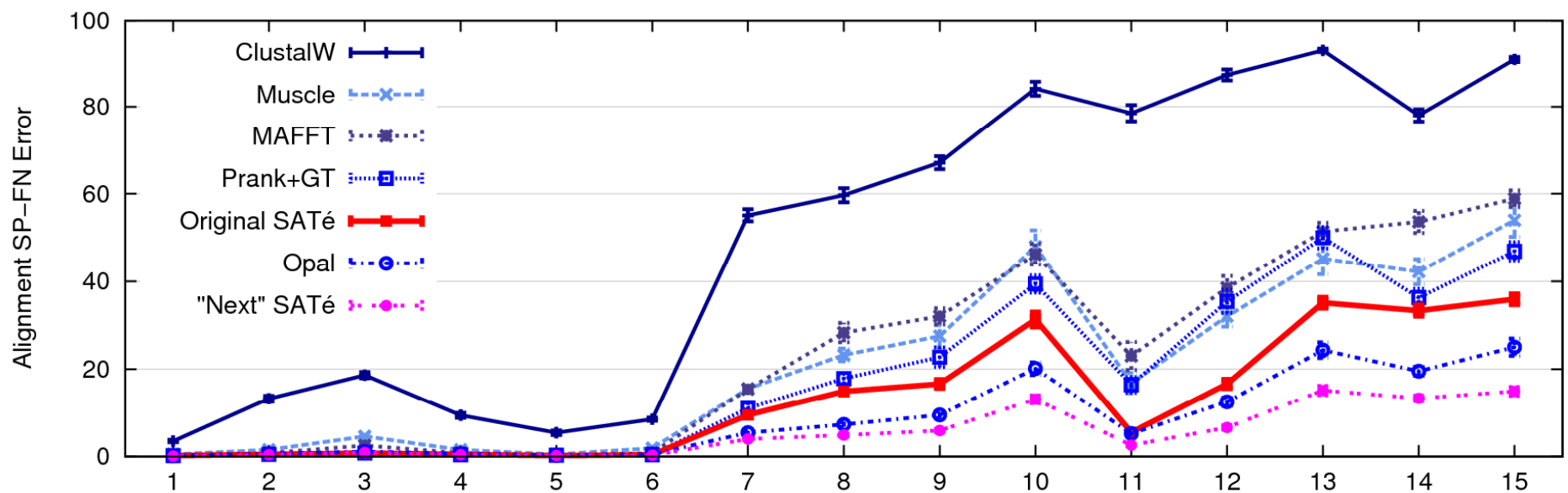
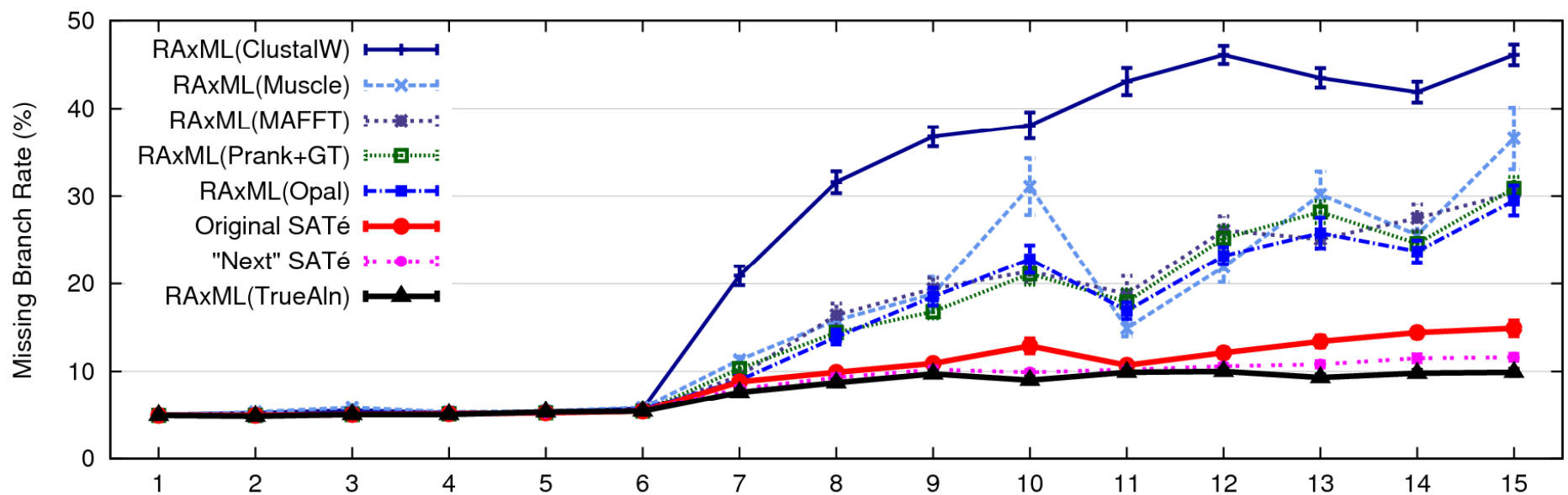


1000 taxon models, ordered by difficulty



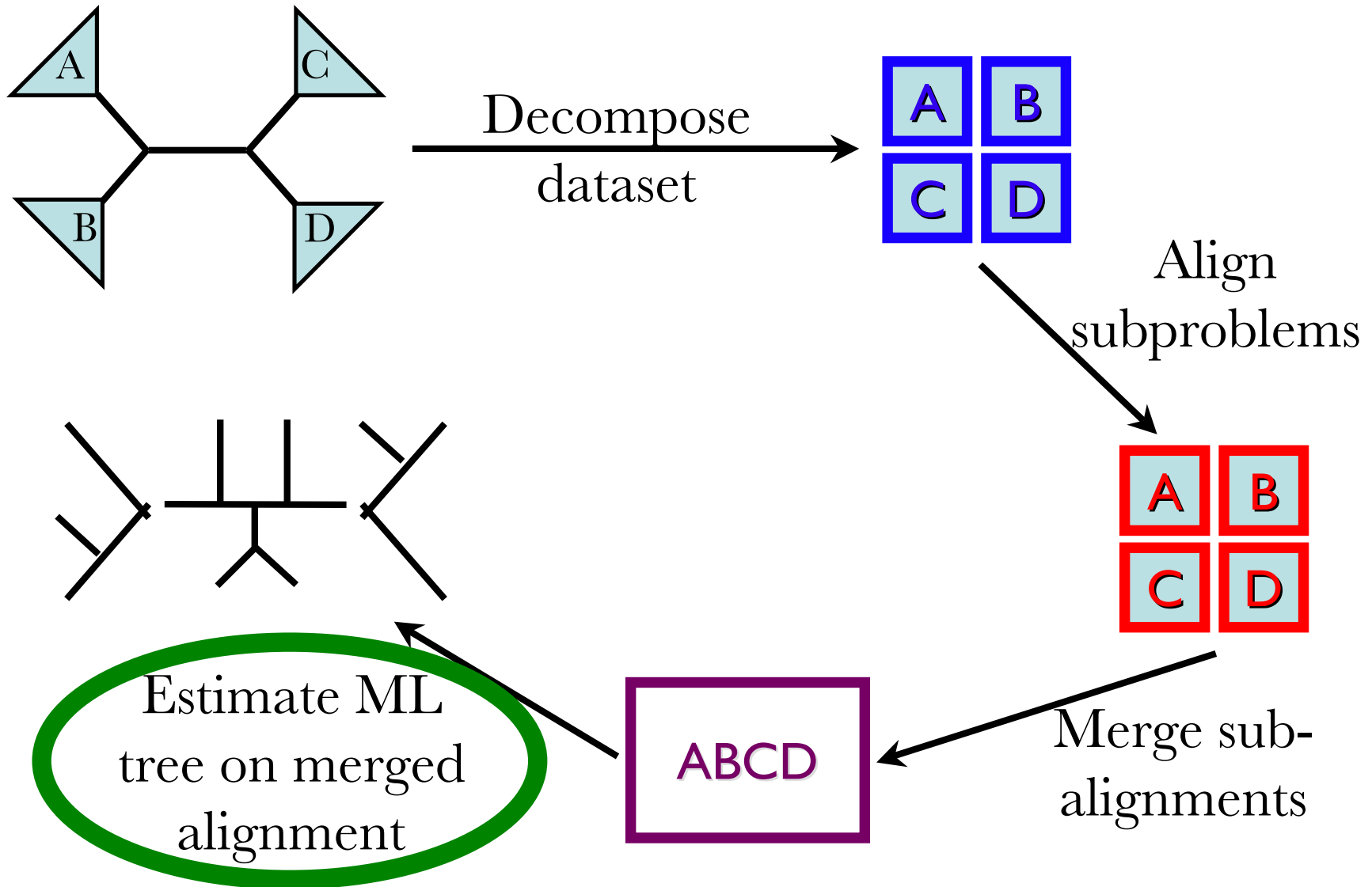
1000 taxon models, ordered by difficulty

24 hour SATé analysis, on desktop machines  
(Similar improvements for biological datasets)



1000 taxon models ranked by difficulty

# Limitations of SATé-I and -II



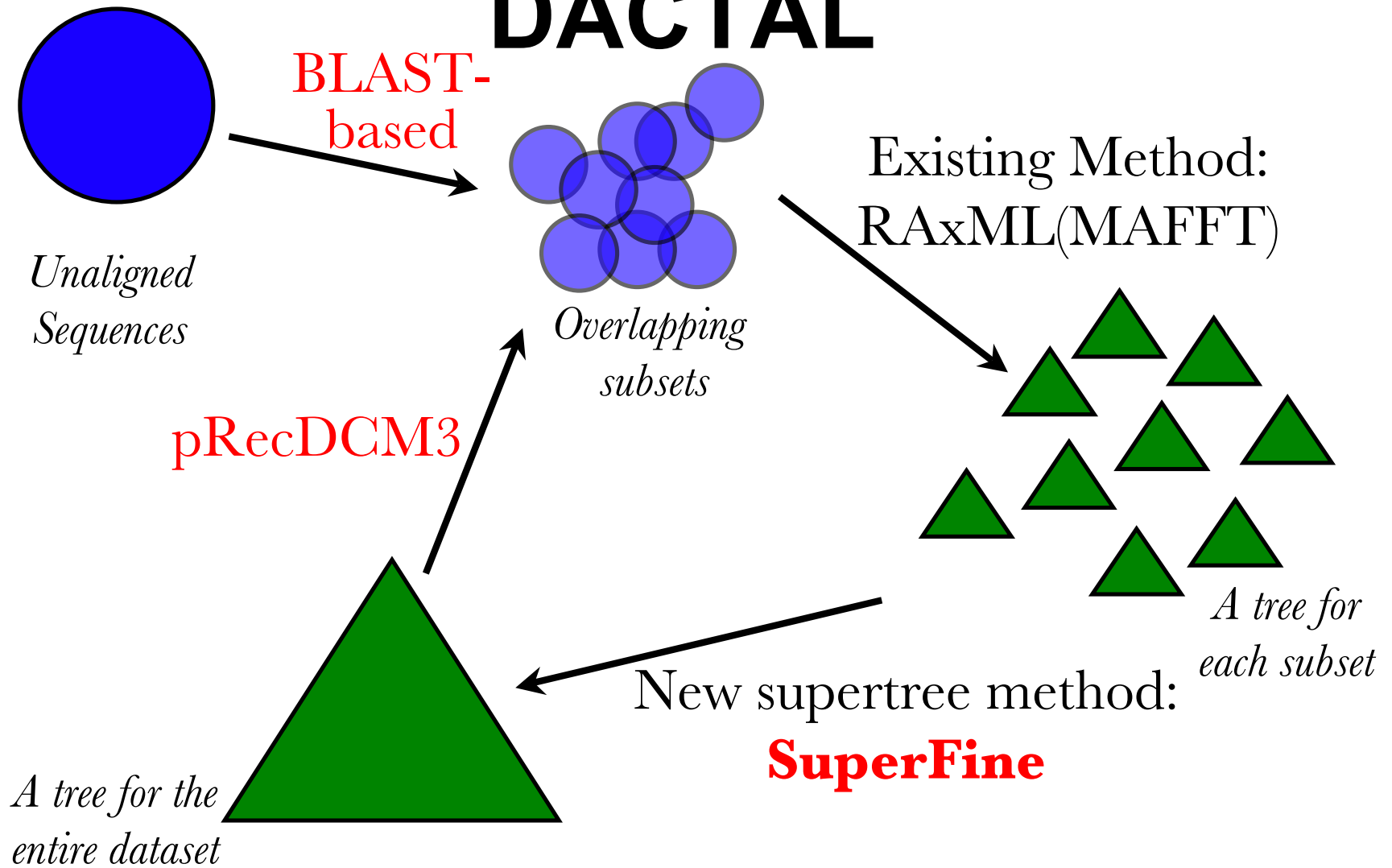
# **Part II: DACTAL**

**(Divide-And-Conquer Trees (Almost) without alignments)**

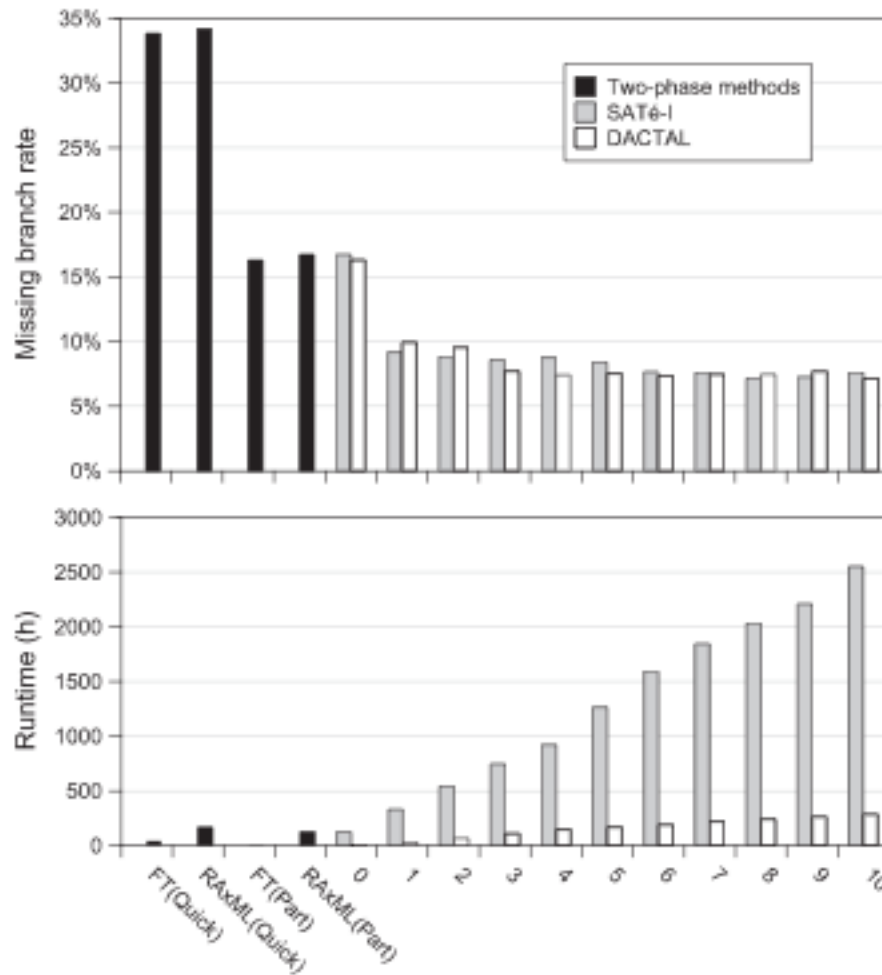
- Input: set  $S$  of unaligned sequences
- Output: tree on  $S$  (but no alignment)

(Nelesen, Liu, Wang, Linder, and Warnow, submitted)

# DACTAL



# DACTAL vs. SATé



16S.T dataset with 7350 seqs from the Comparative RNA website (Gutell).

DACTAL and SATé have comparable accuracy, but DACTAL is much faster.

# Average of 3 Largest CRW Datasets

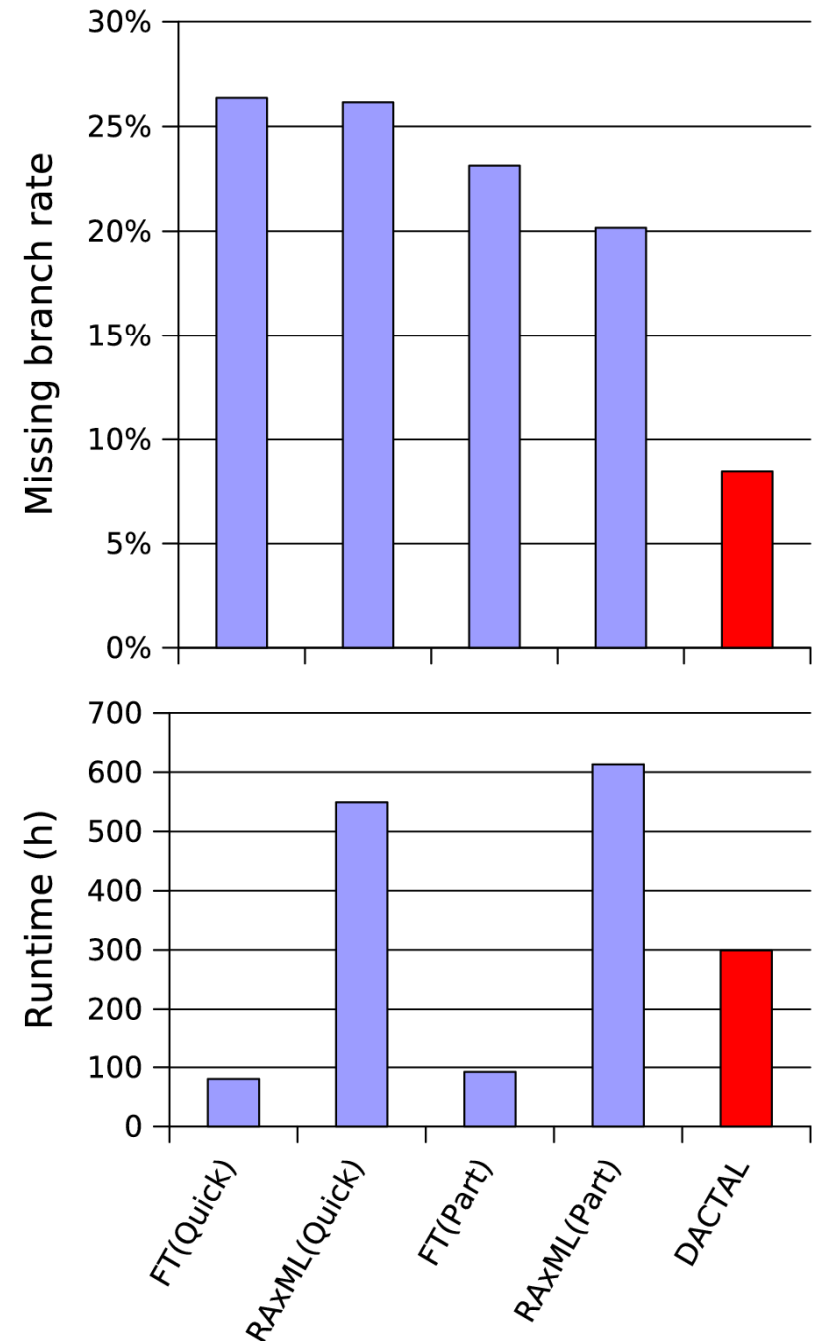
CRW: Comparative RNA database,  
Three 16S datasets with 6,323 to 27,643  
sequences

Reference alignments based on  
secondary structure

Reference trees are 75% RAxML  
bootstrap trees

DACTAL (shown in red) run for 5  
iterations starting from FT(Part)

FastTree (FT) and RAxML are ML  
methods





# Observations

- DACTAL gives more accurate trees than all other methods on the largest datasets
- DACTAL can analyze datasets that SATé cannot (and is faster on the datasets both can analyze)
- DACTAL and SATé are very robust to starting trees and other algorithmic parameters

# Current Challenges

- **Calculating ultra-large alignments:** The re-alignment step in SATé is polynomial time but still too slow on large datasets.
- **Calculating ultra-large trees:** We have not tested DACTAL on datasets with more than 28,000 sequences.
- **Analyzing metagenomic data:** How do we identify species from short metagenomic reads? How do we do this efficiently? Current datasets are Huge! (300,000,000 reads)

# Research Projects

Please come see me if you are interested in a research project in my lab.

- Metagenomics
- Phylogenomics
- Historical Linguistics
- Ultra-large alignment and phylogeny estimation

[tandy@cs.utexas.edu](mailto:tandy@cs.utexas.edu)

<http://www.cs.utexas.edu/users/tandy>