

# YEMING WEN

Email: [ywen@utexas.edu](mailto:ywen@utexas.edu)

Homepage: <https://www.cs.utexas.edu/~ywen/>

## RESEARCH SUMMARY

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I am a 4th year Computer Science PhD student at UT Austin, advised by Prof. Swarat Chaudhuri. My research has evolved through several interconnected areas since I began actively training deep neural networks in 2018. Currently, my focus is on adapting large language models to meet diverse user needs and scenarios. Our recent work on efficiently serving tailored language models received an oral award at ICLR 2024. This research direction was inspired by my previous work on developing language models for code generation. We built synthetic data with execution signal to improve performance on tasks like data science notebook. I pursued this under the guidance of Alex Polozov (now at DeepMind) at Google and Google X.

Prior to this, my research was on uncertainty estimates and calibrations for deep neural networks. I interned twice with Dustin Tran at Google Brain (now DeepMind) and worked with Prof. Jimmy Ba and Prof. Roger Grosse at the University of Toronto on this topic, leading to several publications at ICLR and ICML. More about my publications can be found at <https://scholar.google.com/citations?user=J2GzNAkAAAAJ&hl=en>.

## EDUCATION AND INDUSTRY EXPERIENCE

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<b>University of Texas, Austin</b> , Ph.D in Computer Science Advisor: Swarat Chaudhuri	Jan. 2021 - Jan. 2025 (expected)
<b>University of Toronto</b> , M.Sc. in Computer Science Advisor: Jimmy Ba and Roger Grosse	Sept. 2018 - Jan. 2020
<b>University of Toronto</b> , B.Sc. in Mathematics and Computer Science Cumulative GPA: 3.98/4.0	Sept. 2013 - June 2017
<b>Student Researcher, Google</b> Remote    Host: Alex Polozov	Sept. 2022 - May 2023
<b>Ph.D. Resident, Google X, the moonshot factory</b> Mountain View    Host: Alex Polozov	May 2022 - Aug. 2022
<b>Research Intern, Google Brain (Now DeepMind)</b> Mountain View    Host: Dustin Tran	Feb. 2020 - Sept. 2020
<b>Student Researcher, Google Brain (Now DeepMind)</b> Toronto    Host: Dustin Tran	Aug. 2019 - Dec. 2019

## PAPERS

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**Yeming Wen**, Swarat Chaudhuri. *Batched Low-Rank Adaptation of Foundation Models*. International Conference on Learning Representations (ICLR), 2024 (Oral, 1.2%).

**Yeming Wen**, Swarat Chaudhuri. *Synthesize, Partition, then Adapt: Eliciting Diverse Samples from Foundation Models*. Advances in Neural Information Processing Systems (NeurIPS), 2024

**Yeming Wen**, Pengcheng Yin, Kensen Shi, Henryk Michalewski, Swarat Chaudhuri, Alex Polozov. *Grounding Data Science Code Generation with Input-Output Specifications*. Instruction Tuning and Instruction Following Workshop at NeurIPS, 2023.

Amitayush Thakur, George Tsoukalas, **Yeming Wen**, Jimmy Xin, Swarat Chaudhuri. *COPRA: An In-Context Learning Agent for Formal Theorem-Proving*. First Conference on Language Modeling (COLM), 2024

Pengcheng Yin, Wen-Ding Li, Kefan Xiao, Abhishek Rao, **Yeming Wen**, Kensen Shi, Joshua Howland, Paige Bailey, Michele Catasta, Henryk Michalewski, Alex Polozov, Charles Sutton. *Natural language to code generation in interactive data science notebooks*. Association for Computational Linguistics (ACL), 2023.

Jeremiah Zhe Liu, Shreyas Padhy, Jie Ren, Zi Lin, **Yeming Wen**, Ghassen Jerfel, Zachary Nado, Jasper Snoek, Dustin Tran, Balaji Lakshminarayanan. *A simple approach to improve single-model deep uncertainty via distance-awareness*. Journal of Machine Learning Research (JMLR), 2023.

Rohan Mukherjee, **Yeming Wen**, Dipak Chaudhari, Thomas Reps, Swarat Chaudhuri, Chris Jermaine. *Neural Program Generation Modulo Static Analysis*. Advances in Neural Information Processing Systems (NeurIPS), 2021 (Spotlight).

**Yeming Wen**<sup>\*</sup>, Ghassen Jerfel<sup>\*</sup>, Rafael Muller, Mike Dusenberry, Jasper Snoek, Balaji Lakshminarayanan, Dustin Tran. *Combining Ensembles and Data Augmentation Can Harm Your Calibration*. International Conference on Learning Representations (ICLR), 2021.

Mike Dusenberry, Ghassen Jerfel, **Yeming Wen**, Yi-an Ma, Jasper Snoek, Katherine Heller, Balaji Lakshminarayanan, Dustin Tran. *Efficient and Scalable Bayesian Neural Nets with Rank-1 Factors*. International Conference on Machine Learning (ICML), 2020.

**Yeming Wen**, Dustin Tran, Jimmy Ba. *BatchEnsemble: An Alternative Approach to Efficient Ensemble and Lifelong Learning*. International Conference on Learning Representations (ICLR), 2020.

**Yeming Wen**<sup>\*</sup>, Kevin Luk<sup>\*</sup>, Maxime Gazeau<sup>\*</sup>, Guodong Zhang, Harris Chan, Jimmy Ba. *Interplay Between Optimization and Generalization of Stochastic Gradient Descent with Covariance Noise*. International Conference on Artificial Intelligence and Statistics (AISTATS), 2020.

Tingwu Wang, Xuchan Bao, Ignasi Clavera, Jerrick Hoang, **Yeming Wen**, Eric Langlois, Shunshi Zhang, Guodong Zhang, Pieter Abbeel, Jimmy Ba. *Benchmarking Model-Based Reinforcement Learning*. arXiv preprint arXiv:1907.02057, 2019.

**Yeming Wen**, Paul Vicol, Jimmy Ba, Dustin Tran, Roger Grosse. *Flipout: Efficient Pseudo-Independent Weight Perturbations on Mini-Batches*. International Conference on Learning Representations (ICLR), 2018.

## RESEARCH EXPERIENCE

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### Research Internship at Google

Advisor: Alex Polozov

May 2022 - May 2023

Mountain View, CA

- Developing algorithms on language models to generate code with better fidelity
  - Build a static analyzer in Python to feed the semantic information in the code to language models.
  - Train a language model with the additional information from static analyzer along with the code.
  - Evaluate the model on the notebook dataset (ARCADE). Accepted to ACL 2023 (<https://arxiv.org/pdf/2212.09248.pdf>).

### Research Internship at Google Brain (Now Deepmind)

Advisor: Dustin Tran

Feb 2020 - Sept 2020

Mountain View, CA

- Combining Ensembles and Data Augmentation Can Harm Your Calibration
  - Made a large scale empirical study on the combination of BatchEnsemble/MC-dropout/Deep Ensembles and various data augmentation methods (including AugMix and Mixup).

- Implemented BatchEnsembles related codebase in the open-source uncertainty baselines, <https://github.com/google/uncertainty-baselines>.
- Built a data augmentation pipeline which is extensively reused in other research projects, [https://github.com/google/edward2/tree/master/experimental/marginalization\\_mixup](https://github.com/google/edward2/tree/master/experimental/marginalization_mixup).

### **Research Internship at Google Brain (Now Deepmind)**

August 2019 - Dec 2019

Advisor: Dustin Tran

*Toronto, Canada*

- Rank-1 Net: An Alternative Approach to Efficient Ensembles and Lifelong Learning
  - Extended BatchEnsemble (Rank-1 net) to more complicated lifelong learning set-up, including a new benchmark dataset SPLIT-ImageNet.
  - Demonstrated that Rank-1 Net is capable of learning a large number of lifelong learning tasks (up to 100) without forgetting, which no previous methods can achieve.
  - Experiments in uncertainty modelling showed that Rank-1 Net is orthogonal to existing ensemble methods. Combining Rank-1 net with existing ensemble methods such as MC-dropout leads to better uncertainty predictions.

### **Graduate Research Assistant at UT Austin**

Jan 2021 - Now

Advisor: Swarat Chaudhuri

*Austin, TX*

- Batched Low-Rank Adaptation of Foundation Models (ICLR 2024 oral)
  - Developed FLoRA (Fast LoRA), a framework allowing each input example in a minibatch to be associated with its unique low-rank adaptation weights for efficient batching of heterogeneous requests.
  - Demonstrated FLoRA's capability to retain the performance merits of LoRA while efficiently handling multiple task-specific adapters, addressing real-time serving constraints.
  - Showcased competitive results on the MultiPL-E code generation benchmark across 8 languages and a multilingual speech recognition task across 6 languages.
- Synthesize, Partition, then Adapt: Eliciting Diverse Samples from Foundation Models (submitted to NeurIPS 2024)
  - Proposed SPA (Synthesize-Partition-Adapt), a novel framework leveraging synthetic data to elicit diverse responses from foundation models by partitioning data into subsets and training multiple model adaptations.
  - Used data attribution methods such as influence functions to optimize model adaptations for unique aspects of the data, enhancing response diversity without sacrificing accuracy.
  - Demonstrated the effectiveness of SPA in diversifying foundation model responses while maintaining high quality, showcased through tasks in the code generation domain (HumanEval and MBPP) and natural language understanding domain.
- Develop algorithms on automatic code generation with large scale language models (NeurIPS 2021)
  - Use automata to generate equivalent programs to increase the size of training data, leading to improved performance of large language models on code generation.
  - Applied static analysis to generate JAVA method automatically.

### **M.Sc. Research Project**

March 2019 - Dec 2019

Advisor: Prof. Jimmy Ba

*Toronto, Canada*

- BatchEnsemble: Ensembles of Neural Networks in a Mini-Batch Friendly Way
  - Proposed an efficient ensemble method which is mini-batch friendly. It incurs negligible computational and memory costs.

- Demonstrated its effectiveness in image classification and machine translation. BatchEnsemble also captures model uncertainty in contextual bandits task and achieves compelling calibrated predictions on CIFAR-10 corrupted dataset.
- Demonstrated BatchEnsemble can be used in large-batch training and continual learning.

### Research Intern at Borealis AI

Advisor: Prof. Jimmy Ba

Sept 2018 - Feb 2019

*Toronto, Canada*

- Large-Batch Stochastic Optimization with Curvature Noise
  - Explored different intrinsic noise structures in SGD optimization.
  - Analytically showed that the convergence rate of noisy SGD optimization not only depends on the marginal variance of the noise but also the Frobenius norm of the noise matrix.
  - Empirically verified the above conclusion and showed that adding diagonal Fisher noise to large batch gradient leads to better generalization without increasing the number of training iterations.

### University of Toronto Excellence Awards

Research Assistant, Advisor: Prof. Roger Grosse

May 2017 - Sept 2017

*Toronto, Canada*

- Flipout: Efficient Pseudo-Independent Weight Perturbations on Mini-Batches
  - Analytically showed that Flipout is unbiased and gives lower gradient variance than naive stochastic neural networks.
  - Implemented the Flipout upon multiplicative perturbation algorithm with various neural network architectures, such as MLP, LeNet, VGG. Empirically evaluated that Flipout achieves an ideal variance reduction effect.
  - Extended the algorithm to Bayesian neural networks (trained with Bayes by Backprop) and evolution strategies in both supervised learning and reinforcement learning. Evaluated by MNIST data set and Mujoco environment.

## OTHERS

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<b>Reviewer</b>	ICML 2022, NeurIPS 2021, ICML 2021, ICLR 2021, NeurIPS 2020, ICML 2020, NeurIPS 2019
<b>Programming Languages</b>	Python, Matlab, R
<b>Frameworks &amp; Tools</b>	Tensorflow, MXNet, PyTorch
<b>Teaching</b>	TAed Calculus, Theory of Computation, Probability and Statistics